NATIONAL OCEANIC AND AIMOSPHERIC ADMINISTRATION HYDROGRAPHIC SERVICES REVIEW PANEL THIRD MEETING

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Nauticus, The National Maritime Center Norfolk, Virginia

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HYDROGRAPHIC SERVICES REVIEW PANEL MEETING

(Volume I of II)

MR. RAINEY: Welcome. I would like to go ahead and officially open this. According to our newly amended bylaws from our New York meeting, we need to take a look at the minutes. I would like to open it up to anybody that has any comments on that. They should be in your notebook. It's behind Tab 6. I would like to go ahead and approve those. If anybody has any comments or we can approve them -- they have been up on the site now for sometime, and we did send those out. Does anybody have any comments or changes or edits?

MS. BROHL: I have a question. Under the conclusion, Captain, I couldn't recall, did we have a vote on that discussion? I thought that we actually had a vote on that discussion.

CAPTAIN PARSONS: I believe we did, yes.

MS. BROHL: I would like the record to

reflect that. And then once we approve them, if there is
a spot for old business, I would like to just ask a

follow-up procedure to follow up on that.

CAPTAIN PARSONS: We'll have the minutes reflect that that particular issue was unanimously

ATTENDEES: 2 Scott Rainey, Chair HSRP Helen Brohl, Vice Chair HSRP 3 Captain Andrew Armstrong, NOAA (ret.) Jon Dasler Elaine L. Dickinson 5 William Gray Captain Sherri Hickman 6 Dr. Lewis Lapine RADM Richard Larrabee, USCG, (ret.) 7 Adam McBride 8 Captain Andrew McGovern Captain Minas Myrtidis John Oswald Captain Roger L. Parsons 9 10 Tom Skinner Michael Szabados RADM Richard West, USN (ret.) 11 Larry Whiting 12 13 Speaker: David Enabnit, Technical Director, Office of Coast Survey 14 15 Staff: 16 17 Monica Cisternelli Barbara Hess Steve Vogel 18 19 20 21 22 23 24 25

approved by the members who attended.

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MS. BROHL: I move to approve the minutes.

MR. GRAY: Second.

MR. RAINEY: Any further discussion? All

right. All in favor of approving the minutes?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: Okay. Approved as amended.

Let me just take a second and take a look at today's agenda. What I would like to do today is see if we can get through -- there are four documents on the agenda to comment on. Reading down the list, the first annual Integrated Ocean Observing System Development Plan, proposed Quality Assurance Certification Program for NDAA Hydrographic Products, proposed certification products for distributors of NDAA Electronic and Navigation Charts, NDAA Hydrographic Products, and the proposed NDS Strategic Plan, those areas that deal with hydrographic products and services.

I would like to go somewhat out of order as it's written on the agenda, because I have been talking to folks and my sense from our previous meeting, I think we'll probably have the most discussion on the ICOS, so I would like to suggest that we start with the quality

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assurance and the certification requirements for the distributors.

What I would propose to do and what I would like to do is, we sent out information ahead of time, and I know just from talking with folks, people have been tremendously busy. So just to try to maintain some sort of framework or order to the discussion, I put down some thoughts for each of those three items, and I passed them around to everybody. These are just some thoughts on this proposal.

One way to proceed might be to take these and walk through them and have discussions on them and see if we can agree or disagree on that. If other people have comments, if they have brought any written comments that we can discuss, we can discuss it and then if we can reduce it to writing, Barbara and Monica are going to try to keep up with us so that at the end of the day we'll have things that we can discuss and agree on and know where we ended up.

What I would like to do is -- let's take up, if we could, the certification requirements for distributors of NOAA Electronic Navigation Charts and NOAA Hydrographic Products. Let's take that one up first and see if we can go through that. The Federal Register Notice, and it's in your binder here, that's actually

memory? It's been a little while since they --

MR. ENABNIT: Sure. Announcements for public comments on October 15h, one was for distributorship for electronic navigational charts. Electronic navigational charts are an effector data base and charts which comply with international standards and are suitable for use in lieu of paper charts when used and type-approved through Effectus. NOAA makes these electronic navigational charts and we distribute them free over the internet. You can download them and use them yourself, download them yourself. They meet all chart carriage requirements.

We also are arranging for private entities, chart sales agents, people that make navigation software, to download and redistribute these electronic navigational charts. Their official status goes along with it so that the end-user, when he gets them from somebody other than us, if this person meets the requirements of the program we announced, then the electronic navigational charts will retain their official status. So the announcement we put in the Federal Register explains how to apply, become an official distributor. It explains what information to provide.

There is a simple certification process. There are some records that need to be maintained and

under Tab C.

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MS. BROHL: The deadline for comments was today.

MR. RAINEY: Right. The comment period ends today, so while we are at this meeting, the goal here is to go through these and get our comments in order and then submit them.

Could I just ask, just so I understand, are there folks on this particular agenda item that have prepared comments or written comments that they would like to throw in? Does anybody have a problem with proceeding with just what I have written out and then we can run through that, and then if people have comments, we can make them and add them afterwards, and we'll just try to capture all that? Does that seem like a reasonable way to proceed?

THE PANEL: (Responds affirmatively.) MR. RAINEY: Also, I would like to mention David Enabnit is here, and if you recall, he gave us an excellent briefing on these and the policies behind them up in New York. My assumption is that he had a great deal to do with offering these pieces, and it's great to have David here with us to help us if we need some insight.

David, can you just -- could you just maybe on this particular topic, if it's possible, refresh folks'

submitted to NOAA at certain periods. There are two types of distributorships that we offer. One is where you simply download, make an exact copy and pass it on. The second type is where you download and reformat into a different format called a system electronic navigational chart. We are offering certification for people that make that transformation if they use type-approved transformation software.

In most circumstances redistributors can package other data with the ENC if they differentiate between the official data and this other data. We allow them to compress the files. They have to provide certain information about the compression algorithms that they use. We also allow encryption if they so choose. Again, they have to provide information about the encryption, and it has to not damage the data at all. So we issue these regulations which enable people to become official distributors according to one of those two methods.

On October 15th we received two comments. One was a general comment, and these are a matter of public record, that NOAA was overreaching and that there was no need for such a program, and the second was more of an editorial nature. They felt that we had reputed an authority we already had under the Public Conservation Act, something like that, to change the regulation. And

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that's fine. We can respond to that. So generally I would say this has been noncontroversial.

We have received inquiries without them being submitted in a way of comments from others. I would expect the final rule to go pretty much as the proposed rule that you see in your binder. If there are other comments that are submitted today, I'll check tonight and let you know in the morning. Are there any questions on the electronic navigational redistributor program?

MS. BROHL: David, on Page 3 at the bottom, I think I'm reading this correctly, and it's probably just so simple, with regard to charging of the fees, the Office of Coast Survey is going to charge a fee for the time and effort involved in certifying a distributor, and the second sentence is saying that there is no exception for resubmission, meaning you can charge again for the second -- let's say they're denied and then they come back in with a revision of the work. I'm not sure I understand that correctly.

MR. RAINEY: You're asking him about a comment that I had -- that's different than the proposed rule. The proposed rule allows for a resubmission within 90 days and doesn't charge for it, and I had suggested -to me it seems like that is still going to cost Coast Survey resources, maybe less, but it's still going to cost

standards or adopt standards that are already accepted, how we move on and develop compliance tests to determine that the products meet the standards. So we would develop compliance tests, and the rule explains how we develop the compliance tests. Then it moves on into the certification part itself, and then the rule explains how it moves into the certification. It talks about where to submit, talks about fees, talks about rights of applicants to request reconsideration of government decisions. It's largely a mechanical process.

We've received three sets of comments so far on that. One was similar to the one on electronic navigational charts where an individual thought that we were overreaching, that there was no need for NOAA to be in this business. Again, our response is the same, we don't have any choice. The law directs that we do that.

The second set contained several comments. The second set of comments was largely on the policy statement that we included along with the proposed rule. In the written material we explained how we were interpreting the Act in the policy statement before we actually put a proposed rule in the document. This responder thought that it did not allow for the provision of electronic charts sufficient time to meet the Coast Guard's user requirement for mandatory carriage of

because they still have to look at it. To me it seems like that they should recapture that, those resources. So that was the comment I was making.

MR. ENABNIT: That will be in your written comments.

MR. RAINEY: Yes.

MR. ENABNIT: That one is pretty clear-cut. The second one we issued on October 15th was our implementation of the Hydrographic Services Improvement Act of '98 as amended by a '92 requirement, that we establish a Quality Assurance and Certification Program for hydrographic products.

I briefed on this in New York, and, remember, hydrographic products is something that is a product produced by a non-federal entity that contains hydrographic data. Hydrographic data is a very, very broadly defined term that includes just about anything that you can want it to include.

We wrote a regulation that implements that program. It's a set of procedures. I have a little handout which is just a recapitulation of those procedures. The rule itself just says these are the procedures, and then it walks people through them, how to submit, what we are going to do to decide whether or not we are going to accept it into the program, how we develop

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electronic charts by 2007. It thought that we were violating the Regulatory Flexibility Act of 1980 by having a significant negative impact on a substantial number of small businesses. We will respond to that accordingly.

The other one was to point out that there was already at least one standard for one hydrographic product published by the International Standards Organization, and that we did not allow ourselves sufficient latitude in the proposed rule to adopt standards that were already adopted by other originations. We will respond to these in due course. I don't see any of them as very problematic.

CAPTAIN PARSONS: Dave, it may be worthwhile to reiterate again the government's interpretation of this certification policy.

MR. ENABNIT: We are interpreting the Act as intended to call forth -- to cause to spring forth multiple products for the community to use as hydrographic products, to stimulate private industry to produce more products. It's not necessarily to provide a marketing claim for a company that already has a product out there. It's not to write private standards for individual products. So that was one of our interpretations.

We are going to work in partnership with the people that are affected by the standards that we raise in

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the compliance tests that we develop. We are not just trying to go undermeath the covers and write a rule and spring forth and here is the rule. We will work to the extent practical through the existing standard bodies or adopting existing standards.

If in the eventuality that we can't do that, we reserve the right to establish a standards writing body under our own authority and write the rule. In cases where the rule would be trivial, we reserve the right to write the rule ourselves. That was one of our policies that we work in partnership with everybody that was affected, producers, users, regulators, interested parties.

We also asserted in the policy statement that we are going to certify products as meeting the standard and not certify them for a particular use. The difference being our standard, I anticipate, will contain measures of content. We'll discuss what would be in a hydrographic product by content and by quality, but then would not say what that was certified to be used for. For example, the most contentious one is privately produced electronic charts. There is a certain contention out there that wants privately produced hydrographic electronic charts to be certified as usable in place of official electronic or paper charts. Our complaint back

more narrowly in this circumstance. There is indication in the House Report that says it's a content and quality type certification and not a use certification. So we stated that up front in our policy statement. I think

Were there others that you wanted me to read?

those are the contentious issues in the policy.

CAPIAIN PARSONS: No, that's fine.

MR. ENABNIT: So the second set of comments raised those three issues, that the existing method of NOAA and the Corps of Engineers providing electronic charts might not meet the Coast Guard's needs by 2007, that we violated the Regulatory Flexibility Act with our impact on small business, and that we don't allow sufficient specificity in the proposed rules to permit us to adopt existing standards.

The third set of comments came from another organization, and it reiterated the lack of clarity on our ability to adopt existing standards. We will correct that. We will be more specific in giving ourselves that authority under the regulation. They also felt that we had that repetitive paragraph where NOAA reserves the right to change these regulations at any time. They thought that violated the Administrative Procedures Act, and that we have to go through the Federal Register,

was, well, none of the hydrographic products that we intend to establish standards for and certify will be certified for a use. They will be certified against the standard, and there are several reasons for that.

First of all, in the case I just cited for electronic charts, it's not within NOAA's authority to certify things for chart carriage. That's the Coast Guard's responsibility. So there are a number -- in a lot of places we don't have authority to certify chart use.

The second thing is, since the law is so broadly written, we have to allow for the full range of products we might expect to see, and many of these certifications for use really don't have any meaning. One of the examples in the House Report that accompanied the original Act was fishing maps. Well, that's fine, we can certify the content, what goes in a fishing map, but there is no way I can't certify that that fishing map is going to give you better results on your fishing trip. It doesn't really have any meaning. That's the second reason we would object to certifying for a use.

The third reason, in particular with respect to electronic charts, is their safety issue. We are really not comfortable with having a Wild West out there with electronic charts with no regulated carriage. So for a number of reasons we said we are going to follow the law

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announce the change and open up for public comment.

In a third one they suggested that we be more specific in how we are going to select bodies with which we write compliance testing standards, and they referred us to a Coast Guard regulation on the way the Coast Guard selects laboratories to certify -- that had turned in certified products as being Coast Guard compliant, and we'll take that into consideration. The Coast Guard regulation is quite broad, but we'll do our best with that.

So that was the jest of the comments we received so far. I don't think, other than the clarity on our ability to adopt existing standards -- does anybody really challenge the procedures that I passed out? I think we are probably in good shape. We just need to get this one done quickly.

MR. GRAY: David, Bill Gray is my name. I haven't followed this closely at all. Could you just briefly summarize how the liability issues fall on this between the supplier, the commercial supplier or private supplier and yourself of hydrographic products?

MR. ENABNIT: For hydrographic products certified under this Act, we have a specific legal exemption in the original hydrographic services program that says the government is not responsible. However, it

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24 25 would take an attorney about 30 seconds to walk past that. We may not have responsibility for the hydrographic product or negligence on behalf of the producer, but they could attack the underlying standard under which we certify. So our exposure is that we are second in line but we are a big target also.

MR. GRAY: I guess what I would hope is that the private suppliers who might not do things with, I would hope -- I would imagine at times the quality that was produced by the experts, shall we say, that the user, the purchaser of the hydrographic product, would know that there is a distinction between what is supplied by NOAA and what is supplied by a private supplier.

For small craft there are all kinds of suppliers, I guess, of these little chartlets or whatever you call them. I don't know where the hell they come from or how good they are or anything else like that, and I don't particularly care, because I think people ought to know how to get along without those things, but it should not have a liability to be able to come back through to you people if they don't necessarily follow the procedures that NOAA has established.

MR. ENABNIT: It's helpful, but we are only going to be certifying standards of contact quality and not use. If we were making statements about use, we would

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who can they sue? If I have the chart the Coast Guard told me to carry and it was a NOAA chart --

MR. ENABNIT: NOAA would be first in line, and with hydrographic products, it would be neither one of 118.

RADM WEST: Why is that true?

MR. ENAPNIT: Because the law contains that specific exemption, that NOAA is not liable for negligence on the part of a user of a hydrographic product. As I just discussed, the only way they could get to us would be to say the original standard that NOAA developed was defective.

RADM WEST: We are talking about another persons' product, not yours?

MR. ENABNIT: Yes, the ones that would be certified under this Quality Assurance Program.

RADM WEST: Why would you ever tell anyone to use any other chart than what NOAA would use, for liability purposes?

MR. ENABNIT: We have no intention of that. RADM WEST: Well, that's what you're doing. I mean, if people know that, if they use anybody's chart other than you, they have no recourse.

> MR. ENABNIT: Against the government. RADM WEST: I don't think that's known

have much greater exposure. It also helps that in order to use the NOAA emblem, the logo, to demonstrate that your product is certified, we will write an accompanying statement that helps hopefully shield us saying what is certified.

MR. CRAY: I see that on the use of that logo, it's going to be limited to places where it's justified.

> MR. ENABNIT: Yes. It's not automatic. MR. GRAY: Thank you.

RADM WEST: I'm a little confused now between the liability between NOAA and the Coast Guard. I'm a little confused on what you just said. NOAA has the responsibility, a regulatory requirement for the validity of the chart, correct?

MR. ENABNIT: We have responsibility. RADM WEST: You said the Coast Guard has the type user -- explain.

MR. ENABNIT: The Coast Guard has the right, the regulation that says what charts you can carry to be compliant with the regulation. The Coast Guard regulation says you will carry the official NOAA chart, but we can't say to mariners you will carry the official NOAA chart. All we can define is what the official NOAA chart is.

RADM WEST: What if somebody goes aground,

widely.

CAPTAIN MCGOVERN: Probably not.

MR. ENABNIT: You might want to think about including that in the comments. If you'll put that in the comments, we'll respond to it.

CAPTAIN MCGOVERN: I have a quick question. Andrew McGovern. Pardon me if I'm wrong, but is this certification distributors for ENCs the first byproduct of the Quality Assurance and Certification Program? It seems to me that this is going to be the first, you know, byproduct of this, and the comments are kind of going in parallel instead of one after the other. It's almost like the cart before the horse.

MR. ENABNIT: Had we been better bureaucrats, we would not have done the ENC distribution ones. We would have done the Quality Assurance Program and not have it be the first one that it applied. However, we just historically arrived at it in the sequence. We were ready with the ENC. People wanted distributorship. We were not aggressively handling our responsibility to write the other regulations. So the ENC distributorship came out and we got into the process. We started it two-and-a-half years ago.

CAPTAIN PARSONS: But you're right, Andy, you can think of the distributorship policy as being a

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MR. ARMSTRONG: I have a question along similar lines. Our liability for ENOs, I would assume, does carry through this distributor process. Can you discuss that distinction a little bit?

MR. ENABNIT: The ENCs, the purpose of the distributorship is to retain it as our product. The official status goes with it. It is an official product. It's not a hydrographic product in the sense that it's not produced by non-federal entities. It's produced by us. What's produced by the private sector in this case is a distribution service, and we'll be certifying the service. So our liability for the product is there, and if they screw it up in the distribution, then the liability goes to them. If they fail to provide an update, that would be a failure of the certified service, and the liability stays with that sector.

MR. RAINEY: Captain Myrtidis had a question.

CAPTAIN MYRTIDIS: Yes. Good morning, again. I had a question. In reading the U.S. Coast Guard and Maritime Transportation Act of 2004 for the regulation that makes the use of ENCs, the carriage of ENCs by January 1st of 2007, what I really wonder is how NOAA goes about coverage. Do you cover the coast of the United

are accepted internationally through S61, the International Hydrographic Organization, and as a manager accepted for use in ecosystems and raster charts. So right away I say we have at minimum a hundred percent coverage with them. We also have forty-five percent coverage with the ENC, the effector data set, with the target date of completion of about January 2007.

CAPTAIN PARSONS: We are plenty close there. MR. ENABNIT: The one I'm sure about is the Corps of Engineers. They're working hard. I don't know their delivery date, and I can't make a definitive statement about how they're performing.

CAPTAIN MYRTIDIS: That brings another question to mind. You mentioned electronic charts. What is very interesting about this is that the electronic charts, which are approved for navigation, are ENOs, not all electronic charts. So I'm trying to understand here by saying that vessels have to carry electronic charts, what are we really trying to do? If it's not an ENC, it is not approved for navigation, and you only have forty-five percent coverage right now in the U.S. for ENCs which are the only approved charts for navigation.

MR. ENABNIT: That's not true. RNCs are also approved. Raster charts are also approved for navigation.

States or are you planning to? And I really wonder how you can be talking with the Coast Guard to see who is affected and how.

MR. ENABNIT: Okay. A couple of clarifications before I start to answer coverage. First of all, it does not require ENC. It requires electronic charts, which is an undefined term, and we expect to work with the Coast Guard as they develop the regulation to implement that, to put some specificity on what is an electronic chart.

The second one is the January 1st, 2007 date, and the way I read the law is, the regulations have to be implemented by then but they don't have to be effective by then. So the Coast Guard can write and publish a regulation by January of 2007 that, for example, allows a phaseout. So by January 2008 all commercial vessels six hundred tons and above will carry it. By January 2009 I would expect that flexibility, if it's needed, to be used in order to make sure that there is data available.

Now, in terms of coverage, because electronic charts is a generic term, I can say that NOAA already has a hundred percent coverage with graphs and navigational charts. They are an official product. They are up to date weekly for all notice to mariners. They

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CAPIAIN MYRTIDIS: A raster chart is just a paper chart.

MR. ENABNIT: But it does give you real-time positioning with respect to your charts, surroundings. It does give you the automatic updating capability, which is vastly improved over manually updating paper charts. It does allow you to put in programed routes with alarms for deviation from your planned track. It does allow you to put in wake points, obstructions, areas to be avoided. So it has a lesser set of benefits than the ENCs, but it has a significantly enough improved set of benefits over paper charts that the IHO and the IMO both went ahead and accepted those as well with restrictions.

With raster charts you can -- for SOLAS vessels you can only use them where ENCs are not available. Well, that's fine. So I have 45 percent ENCs use those, and the rest use raster charts. We have done this in a thoughtful manner. The 45 percent of ENCs we have now cover the 40 major port clusters, commercial port clusters in the United States, our major ports, and now we are connecting the dots, which is less critical navigation, and you can use the raster charts until we get the dots connected. It kind of works out. I'm not panicked. Are you panicked yet?

MR. OSWALD: Have there been legal issues

with this?

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MR. ENABNIT: No, not recently. There were when we started out, but it's been quiet for several years now. I believe I briefed at the last meeting, which is still not public knowledge, so government employees today you cannot share this, that we would --

MR. ARMSTRONG: This is a public meeting.

MR. ENABNIT: Sorry. But I clarified that last time, and we will arrange for the continued availability of raster charts in that format.

CAPTAIN MCGOVERN: I agree with Captain
Myrtidis in the fact that I think the Coast Guard -- well,
they need a lot of work on that. That's very poorly
worded. It's way too open. And as far as raster charts,
I do have a problem with them. I think they are over

People exceed -- and we were talking about this, and I think you brought it up as well, that NOAA is not going to talk about the use of the products, because people exceed the usefulness of raster chart or they exceed the abilities of that paper chart when you put it onto an electronic format, which you can't do when it's on paper format. There are problems with that, and that is going to be one of the problems if you start using these solely.

Have you considered ISO 9000 certification for providers of product, just to provide another level of oversight to ease your burden a little bit?

MR. ENABNIT: Well, the ENC distribution standard, if you're going to do a reformatting into the navigational charts, it does require ISO 9000 or equivalent in your reformatting process. But for the other stuff, I truly expect to see the bulk, and I'm guessing here, the bulk of the people coming in with hydrographic products to be certified.

I think people bringing in cruising guides or fishing maps or services to be delivered over mobile phones might be overkill. It seems unnecessary at this time. We can revise the rules later if we learn differently. It seems contrary to the intent of the law which says cause to spring forth from the private sector.

MR. RAINEY: Thank you, Dave, very much. I would like to ask you too, if we have something that's just incorrect, you know, technically inaccurate or we haven't understood something, you'll let us know and get us straight on that?

MR. ENABNIT: Sure.

MR. RAINEY: If we could then, if there is no objection, I would like to go ahead and proceed with proposed comments on the certification requirements for Even with GPS positionings on a raster chart, some of the raster charts were not designed for GPS positioning, so there are problems with that. You know, when you are taking fixes on things, it's apples and apples. Now you're putting a GPS position on a chart that was maybe laid out using fixes, and it just doesn't work.

MR. ENARNIT: You can abuse your tool regardless, and the Coast Guard also has reports of ENC induced groundings. A lot of it is due to configuration awareness. So there is a training issue. It's not necessarily a data issue.

MR. RAINEY: I just want to interject a little bit. At 2:30 tomorrow we've scheduled time on the agenda to talk about the Coast Guard Act and this requirement. So I would like to -- these are all really important issues and things we can try to discuss and capture and maybe make some preliminary recommendations on that tomorrow. We have time set aside to get into those particular issues.

Are there any more questions specifically back on the Federal Register notices, the two that Dave just briefed us on? Otherwise, I would like to go ahead and proceed and take a look at some of these comments and see if we can agree or disagree.

MR. DASLER: I just have a quick question.

distributors of NOAA's ENCs, hydrographic products.

In thinking about how to do this, in talking a little bit with Barbara and Monica on how to capture this, I suggest that we go ahead and look at the comment and the justification and then the recommendation, and then maybe just take these one at a time to see if we have agreement, discuss them if we need, and then vote them up or down, and then walk through this. Maybe we'll pick some of them up or not, and then we can open it up for a general discussion if people have further things they want to add to that. So let's try that and see how we go.

Again, these were just -- I went through it based on our briefings and materials and just some comments here.

The proposed title for the new Part 995,
Certification Requirements for Distributors of NOAA
Hydrographic Products, seems broader or is broader than
the scope of the proposed rule. In my reading of the
stated purpose and scope of this particular rule, it's
essentially limited to the certification for distributors
for NOAA ENCS. There really wasn't too much talk about
the hydrographic products, and I think David eluded to
that in answering Captain McCovern's question.

My recommendation was, and it seemed to me it was a little bit broader than what's really in this part, I would recommend changing the title, Part 995, to

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23 24 25 Certification Requirements for Distributors of NOAA ENCs. If you look at the two that we are commenting on, both of these are part of a new Subchapter F which talks about the hydrographic products and services. In Part 995, which is what we are looking at now, it is primarily ENCs certification requirements for distributors. Part 996 follows, and that's your hydrographic products with quality assurance there. So that was my comment. I would like to open that up.

CAPTAIN MOGOVERN: I make a motion to accept, to recommend that change.

DR. LAPINE: Second.

MR. RAINEY: Further discussion?

THE PANEL: (No response.)

MR. RAINEY: In favor?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: All right. That's accepted. So the recommendation then, whoever is trying to capture

this then to read it, is to change the title to Part 995, Certification Requirements for Distributors of NOAA ENCs.

The next comment, again, this kind of follows, the few and occasional references to hydrographic products add confusion and should be moved to Part 996.

of Subpart F, which is 996, Quality Assurance. In dealing with hydrographic products, it seemed more to confuse the issue than an ENC distributor.

CAPTAIN MYRTIDIS: So that is basically based on the term distributor?

MR. RAINEY: Yes. They seem to be inconsistent terms. So if it's a term that you need for some reason, it seems like we would move it to Part 996, and then there seems to be a need to clarify the apparent inconsistencies of the distributor. It doesn't seem to fit with the two types that you set out.

CAPTAIN MCGOVERN: I move to accept recommendation two, I guess, for lack of a better word.

CAPTAIN MYRTIDIS: Second.

MR. RAINEY: All in favor?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: Do you have these, Barbara, or should I read them to you? Move the definition of distributor to Part 996 and clarify why a distributor --

MS. HESS: I just have recommendation, accept as is, unless you want to give me a copy of that if you have an extra. Would that make it better?

MR. RAINEY: That is a good idea. So then

An example I had of that was, in the definition section, I got somewhat confused. There is a very explicit description of the two types of ENC distributors. You can have a CED or the CEVAD. The Certified ENC Distributor can distribute the NOAA ENC. The Certified Value Added. the ENC Value Added Distributor can add some things and reformat and end up with the System ENC. So they can move it around.

But then later in the definitions there is a third thing, they define the term distributor, and what I got confused there was, they then come back and say the distributor means a company that redistributes a NOAA Hydrographic Product to the end-users in its original format. So it seemed broader on the one hand because it's talking about distributing hydrographic products, and, as I put in my remarks, presumably not limited to an ENC or SENC. But then it also says in the definition that it must distribute a NOAA Hydrographic Product in its original format. So then that seems to go back and kind of limit it a little bit. To me that caused a little bit of confusion.

My basic recommendation of that was, if there is a need for this sort of third general defined term as a distributor of hydrographic products, that it seemed more appropriate to move that to the next section

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moving on to Page 2 -- and this is a comment from Subpart A, in general, under the Purpose and Scope. My observation or comment on that was the proposed rule needs to clarify and distinguish between the certification of distributors, the certification of processes, and the certification of the products, in other words, the official status, whether the product meets federal chart requirements. Let me try to explain what was troubling

The proposed rule establishes requirements for the certification of entities as one of two types of ENC distributors. It talks a lot about how you get certified. The certification is based on a certified process. Then I put in quotes, a certified product, because technically it's not certified per se, but the product that results from this process meets the federal chart carriage requirement, again, assuming it follows the procedure set forward.

So quoting out of the text there, "The requirements apply to entities wishing to have products and services for the redistribution of NOAA ENC data authorized as Certified NOAA ENC Distributor and/or Certified NOAA ENC Value Added Distributor." What I'm getting at, this is kind of a nice argument, but my point is, it seems confusing. It doesn't seem like you would

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stamp a product and call it a Certified NOAA ENC Distributor. It seems to me that you would, following my recommendation, that you tweak the thing, you rewrite it a little bit. I'd recommend that the qualified distributors should be designated Certified NOAA ENC Distributor, CED, or Certified NOAA ENC Value Added Distributor, but that the qualified products, in other words, are at the end of the line. In this instance we would be talking about ENCs or the System ENCs, SENCs, should be designated Certified NOAA ENC or Certified NOAA SENC and should clearly indicate that the product meets federal carriage requirements for nautical charts. Again, that's just my recommendation.

CAPTAIN MYRTIDIS: I think I agree personally with everything, but it could not be then a Certified NOAA System ENC.

MR. RAINEY: And I need Dave to help clarify on how that is, but in the reg, the specific language in the regulation, what I was pulling from, and this is great to have this here, under the general Purpose and Scope, if you look at Subparagraph B it talks about the two types we just discussed, and then it ends up, "Both types of certification result in products that meet federal chart carriage requirements."

So going to Captain Myrtidis' point, I guess

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that's not certified expressly or implied marketability for any purpose. So in other words, you get a certification, but that's not an official product at the end of the day.

So there is confusion, or I'm afraid there can be confusion, and you'll see that in some of my other comments, because you get a different result there. If you're going to put the NOAA emblem on both of these things, one of them may meet the federal carriage requirement, the other one is not going to. It seems to me that's going to cause some confusion with end-users out there.

So what I was trying to do here, and just with this particular recommendation was, it seems to me that there needs to be a different label on the product that comes out of this than the name of the person who redistributed it, basically.

CAPTAIN MCGOVERN: I just thought of another angle. David mentioned earlier that NOAA does not decide what is the official carriage requirement, the Coast Guard does. But it's kind of saying in this rule that NOAA is saying that this is able to meet the official carriage requirements.

CAPTAIN PARSONS: The carriage requirement already exists on official ENCs.

backing up, my trouble with it is it seems awkward to take a product and at the end of the day you label a product that it's a Certified NOAA ENC Distributor or Certified NOAA Value Added Distributor. It seems to me that's the entity that went through this process, and the product should be called -- you know, official, because both of these result in, if you follow these processes, you have a -- you do meet the federal carriage requirements.

MR. ARMSTRONG: I think you made a good distinction there, the distinction between a certified product and an official product. I think it's probably not good to try to say the product is certified because it's an official product to begin with. The process is what's being certified in this case, I think.

MR. RAINEY: This is what troubled me, and you'll see it reflected in my shot at the other one. You have two things.

In this Federal Register, when we are talking about the distributors of the ENC, at the end of the day you end up with a product that meets federal carriage requirements. That's a different result than you get under the certification of hydrographic products, and you'll see it in my comments reflected there. What you have there is, you can end up with a certification, but as Dave just told us, the way they've interpreted the rule,

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CAPTAIN MCGOVERN: Right, official ENCs, but these are not official ENCs. These are certified ENCs.

CAPTAIN PARSONS: I recognize the distinction. You want us to certify distributors of official ENCs that retain their official status. I think we can work our way through that.

CAPTAIN MYRTIDIS: I think I'm having a problem with Certified NOAA System ENC. Once it has become a System ENC, it is because something has changed, even though the ENC maintains or meets the federal carriage requirements. So I think we need to rephrase that because it's not a NOAA chart anymore.

MR. RAINEY: That is the exact question, and I had a comment, and I didn't include it, but let me ask a follow up on Captain Myrtidis's point there. In the notice here, and, again, under the Purpose and Scope, let me just read this, and then I have to go to the definition of the System ENC. Under Paragraph B in 995.1, Purpose and Scope, Paragraph B -- and just bear with me for a second. We are talking about the different types. "The second type, Certified NOAA ENC Value Added Distributors, CEVADs, permits reformatting official NDAA ENCs into a system electronic navigation chart using type-approved software and distribution of that SENC. Both types of certification result in products that meet federal chart

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carriage regulations." So my understanding is that CEVAD has a process to take a NOAA ENC, mess around with it in an approved way, and they end up with a product called an SENC which, if it followed the process, results in a product that meets federal chart carriage regulations.

MR. ENABNIT: Yes.

MR. RAINEY: So it does seem to me that you have an animal that is a certified SENC that gets redistributed as a product.

MR. ENABNIT: Yes. The international regulations for ENCs have changed. It now permits distribution of ENCs as SENCs. So that is in compliance with the IHD and the IM standards, and we just ruled it here so that our distributors can do the same thing. In the type-approval process, one of the steps is to validate that an ECDIS manufacturer software makes that conversion from ENC to an SNC properly, and that is tested for using the International Electric Technical Commission's Standards. And the Coast Guard regulations have already been amended to accept for SOLAS vessels to accept the IMO regulations and the IHO regulations. So it does all work together. SENC distribution is permitted in the international community, and not to go beyond it. I think that standard is incorporated by reference in the -somewhere. IHO Technical Resolution, 83.11, ENC, SENC

Distribution Options. So we are being completely consistent with what the rules agree to.

MR. RAINEY: Let me ask one other question. Is there an instance, is there a possible instance under this proposed rule for the distributors, just with the ENCs now, is there any case where you would certify, and in using your term, does NOAA, a Certified NOAA ENC Distributor or a Certified NOAA ENC Value Added Distributor, is there -- unless I misunderstood it, if that's certified, the only result is that it meets the federal carriage requirements; is that not correct?

MR. ENABNIT: That's correct.

MR. RAINEY: So I guess I'm still -- the major point of this recommendation that I was trying to get at, it seems like you would want to have -- the product label is what it is, that it's certified and that it meets the carriage requirement. It seems to me the end-user, that's really what -- does it or does it not meet --

MR. ENABNIT: Yeah, and I think the statement that goes along with the use of the emblem would indicate that, that this is being distributed by a certified redistributor.

MR. RAINEY: Going back to the question then, I'm not hung up on the label per se, but would that

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Certified NOAA ENC, would that be -- I mean, it's tantamount to an official product, I guess.

CAPTAIN PARSONS: It is an official product and will be labeled as such.

> MR. ARMSTRONG: Can I ask one more question? MR. RAINEY: Sure.

MR. ARMSTRONG: We are not certifying the process, or NOAA is not certifying the process of converting ENCs to System ENCs, we are only certifying the distribution of something that's already converted to an SEC under someone else's regulation; is that right?

MR. ENABNIT: Yes.

MR. DASLER: That's where I think it can be very confusing to the general public. Say you have somebody who put together a fishing map to use official ENC charts but they put fishing hot spots all over where it clutters the chart --

MR. RATNEY: But then that wouldn't be a NOAA Certified SENC.

MR. DASLER: But just that they could use some of these distributed charts since they were applying this data set to the system, and it could be really confusing. The charts that they load in may be official ENCs, but the display isn't.

MR. RAINEY: Yes, but my point there, I

think, if I understood the way this is written, is that they could not then redistribute that, and that would lose its certification from NOAA. Once somebody did something that wasn't certified to it, they couldn't redistribute it as a certified SENC.

MR. DASLER: So maybe having a statement to that effect on the product --

MR. RAINEY: That comes right up -- let me ask this then after all that. Well, this may be confusing, but I think maybe instead of just trying to make it up as we go, let me just take it as written and we can vote it down if it doesn't make sense after the discussion. Do we have a motion on that recommendation?

CAPTAIN MYRTIDIS: Before you do that, I just want to clarify something. I agree with the entire recommendation and what Dave says. What I have a problem with is only the wording, Certified NOAA System ENC. I think I would personally be fine if you say a System ENC which meets federal carriage requirements but is not NOAA certified anymore, because it has changed, even if it has changed through an approved process. This is what I think is my point. Maybe I'm wrong, but this is the way I look at it.

MR. RAINEY: David, did I misunderstand the rule? How does the Value Added Distributor get

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MR. ENABNIT: For his SENC software?

MR. RAINEY: You're going to submit a process that he is going to use, and thereby if he follows that process, he ends up with -- I'm trying to understand that last point. Is that product NOAA certified or not?

MR. ENABNIT: I would have to go back and read the standard. It's an official, a certified distribution that he has a process that we agree with and preserves this, and within that certified process he has proven to our satisfaction that he makes the conversion from an ENC to an SENC in an approved marmer. So the result meets chart carriage requirements.

CAPTAIN MYRTIDIS: Is it a hydrographic certified chart, is the question, after that?

MR. ENABNIT: I don't think that makes any difference. In this case we certified it for a use. It is certified to meet chart carriage requirements.

MR. RAINEY: Well, I think then --

DR. LAPINE: Before we have a vote, your first recommendation has two subsections, and both of these, in subsection one, these are adequately defined in the definition of the regulation. You're just saying that you approve of the definition?

MR. RAINEY: Right.

we approve the definition that's in the regulation. I don't have any problem with it. I just wanted to make sure that --

MR. RAINEY: I wasn't suggesting any change to the recommendation there other than just to -- I just wanted to clarify whether the product or not, at the end of the day, was a certified product.

CAPTAIN MCGOVERN: I guess my question is, and I think this is what Captain Myrtidis was alluding to, is that it's nice that we have this new word here, certified product as opposed to official product. When you go in and you have a PORTS state control inspection, the blue-suiter is going to know that a certified product is as good as an official product, because they may say this is not any good, you don't have -- look, here are the regs. The reg says you have to have an official NOS or British Admiralty, and you don't have that. You have this Certified Systems Electronic Nautical Chart, what's that, you know.

CAPTAIN PARSONS: We can certainly ensure that products that meet this regulation are noted as being official carriage requirements.

MR. ENABNIT: Yes, we can sort this out. I understand the distinction you're making, and I think the Coast Guard inspectors are going to have the same problem DR. LAPINE: What's the purpose of Sub 1,

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MR. RAINEY: It's seems to me that there are two different things the rule talks about, and if you read through there, certification is used without really specifying whether they're talking about the entity, the process itself, or the product that results from it. They talk about certification all through the reg, and it seems to me that they need to be specific about it, if we are talking about the distributor itself or the process, or most importantly, the product.

So what I was trying to get at was, if, in fact, the product at the end of the day, and we call it a process of a certified product, then it should state it's a certified product. And that's what we were just talking about a minute ago, that perhaps the SENC is not technically a certified product but it meets the requirements. So I think that was the proposed change that Captain Myrtidis was suggesting. So you're absolutely right. The first thing is exactly just saying, yes, the definition on the proposed rule for a distributor --

DR. LAPINE: Which agrees.

MR. RAINEY: Right.

DR. LAPINE: We are just recommending that

with SOLAS vessels coming in. The British Admiralty, for example, they're going to say it's a System ENC and we have a British Admiralty chart, and the Coast Guard is going to say it's not a British Admiralty ENC, it's an SENC, what the hell is this. We'll sort it out. I'll clear up the definitions and we'll have to send a letter to the Coast Guard at the end explaining what this is, and it will work it's way into the system.

MS. HESS: So is that recommendation as stated?

MR. RAINEY: I don't think so. Let me propose this. Let me read simply, to take up Dr. Lapine's point, one is merely restating what's in the proposed rule. I'm looking at the recommendation two, and let me propose this if you can follow it, and maybe this will incorporate Captain Myrtidis' point. Qualified hydrographic products, in this instance ENOs or SENOs, should be designated Certified NOAA ENC, or in the case of a Certified SENC, should clearly indicate that the product meets federal carriage requirements for nautical charts.

CAPTAIN MYRTIDIS: Sounds good.

MR. RAINEY: So at the end of the day the user knows that that meets the federal carriage requirement.

MR. ARMSTRONG: Mr. Chairman, could I

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suggest that you propose that the Board approve the comment but not the recommendation? That way --

MR. RAINEY: Sure. Leave it to NOAA to resolve it. That will be acceptable. So we'll just recommend the comments, the proposed rule. Okay?

MS. HESS: Comment approved but not the recommendation as stated?

MR. RAINEY: Okay. So what we will be voting on then is that we recommend that the proposed rule needs to clarify and distinguish between certification and distributor, certification of process, certification of the product, whether or not it meets federal carriage requirements.

CAPTAIN MCGOVERN: I make a motion that the recommendation on that page also be accepted. The ultimate user must be able to readily determine whether or not a hydrographic product is suitable for navigation and meets federal chart carriage requirements. That's the bottom line.

MR. RAINEY: That's the next stop I'm trying to get to. Let's go ahead and put those two together, and do I entertain a motion?

MR. GRAY: Motion.

DR. LAPINE: Second.

MR. RAINEY: All in favor?

download official ENCs for their own use, and if imported unaltered and can type-approve that against the result, it does meet the federal chart requirement." My only point was, it seems like the primary purpose of the rule is to ensure the data base integrity as these things are redistributed, and it doesn't seem to me anymore likely that an entity is going to corrupt the data if they download an official product for their own use that an individual would.

I understand the point about the redistributing and the reformatting and all that, but that would need to be a certified distributor. But it seems to me that if you want to make a distinction on whether it's an individual or an entity, if they're just downloading an official ENC into their approved system for their use, it would have to come out the same way. You couldn't come up with a different result.

My recommendation there is that they should treat it the same. If you're going to allow an individual to download an official product and use it and it meets the federal requirements, it seems to me that you would have to allow an entity.

Now, further in my discussion I suggest that the regulation -- I carried this forward. Say in the case of like a cruise line, you have a fleet of ships, then the

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: On the next page my comment there was, the regulation should treat the downloading and use of official ENCs between individuals and entities or companies the same. The proposed rule mandates different outcomes for the same procedure simply because of who performed it." In other words, the rule provides that if an individual downloads an official ENC for their own use, it meets the federal carriage requirements. The rule comes out with a different result. It says if an entity uses -- and then it also strings other things that it does. But simply if the entity uses -- if the entity is not a certified distributor of one of those two types we talked about, then it does not meet the federal carriage requirements.

My point there is, I think I understood what they're talking about because they strung along -where we are again is the Purpose and Scope, and we are down in -- if you follow down to Subparagraph C, I can read it to you. "Entities without certification may continue to download official ENCs and use, alter, and/or distribute that data, but the result does not meet federal nautical chart carriage requirements. Individuals may

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question I would have would be -- a foreseeable question that's going to come up to me seems like, can a cruise line download official ENOs and then redistribute them for their own use among their fleet; is that considered own use or would that be considered a redistribution? And I just suggest that perhaps if NOAA thinks through this, they might need to establish some sort of a commercial transaction test to distinguish between acceptable own use and non-certified distribution for purposes of meeting the carriage requirements.

If you're going to hold out the possibility that somebody can sort of sell service directly off the NDAA internet, whatever the procedure is, download it and use it in your system, it seems like -- I can't see how you can distinguish between an individual doing that versus a company doing that. So that's the argument. It's sort of an equal protection kind of a deal. You have to treat people the same that are doing the same things.

CAPTAIN MYRTIDIS: That was one of the questions that I was having. We have a fleet of 12 vessels. It would be much more convenient for me to download it very quickly in my office than wait for each individual captain to do his download via satellite. It's going to take hours. Now, once I do that, what I really do as a distributor for ENC without --

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CAPTAIN PARSONS: Did we consider that, Dave, in this proposed rule, the difference between an entity and an individual?

MR. ENABNIT: Not quite to the extent of defining own use, and it's a good issue. The original origin of this whole distribution plan was companies like Kelvin Hughes who do service the industry. They want to be able to ensure their customer that what they're getting meets the carriage requirements. So what you see is tailored towards that, a business model.

A company downloading on their own and redistributing it to their own vessels, we had not really drawn the fine line in there, and maybe we should try to define own use. The requirements are not onerous. I would consider if you download it -- here I am writing a regulation on the fly, but I'm just thinking, if you download and redistribute it to your own fleet, we would encourage you to be at the lowest level redistributors so that we can give you what guidance we have. How is the Coast Guard going to tell whether you downloaded that yourself or you downloaded it at your headquarters and distributed it to your ship? There is no way.

CAPTAIN MYRTIDIS: What is important is exactly what you were saying, and I need to know that I'm able to do that. In terms of convenience, I can tell you

have much better capabilities than a tug fleet out here as far as downloading. It may take them awhile, but at least they probably could do it, where these vessels would not be able to. The only way they're going to update their charts is through the office, you know, having it thrown on a CD and sent out to each one in the fleet. So I think it has to be that in-house use, because he has taken on the liability.

Whether the office has taken on the liability or the vessel has taken on the liability, it's within that same company as opposed to, let's say, Kelvin Hughes came in and threw it in. Then you have some murky waters that you may have to wade through. But I think it's fairly clear, and definitely I think it does have to be addressed.

MR. RAINEY: I guess what I was trying to get at was perhaps NOAA should establish a commercial transaction test. Again, it's just an idea. It seems kind of like in line with what you were suggesting.

CAPTAIN MOGOVERN: I think your recommendation covers it, though, that own use is the key, I think. Whether it's an entity or an individual, it's own use. It's not going outside of that.

MR. RAINEY: At least that they should look into that. Do we have a motion?

that to be on a land-based PC and do this process, it's going to take no time versus trying to do it on a ship doing that across the ocean via satellite.

MR. ENABNIT: The vehicle is here. You could be at that low-level ENC redistributor where you just copy it and pass it on. But we hadn't made a statement about when you're required to have that certification, and that goes to the definition of own use, and if you send a comment to that effect, we'll wrestle with the issue.

CAPTAIN PARSONS: We can better define own

MS. DICKINSON: Maybe you can just language it to differentiate by saying a public redistributor versus a private redistributor. It seems like the regulations are intended for the commercial sector that's redistributing products to the public, as opposed to a fleet of ships which would be private.

CAPTAIN MCGOVERN: I was thinking the same thing. Basically you do want entities that download this to be certified. Like if Kelvin Hughes is going to put this on many different vessels, let's say, and it's really for a profit as opposed to -- especially if this guide requirement goes into effect with the Coast Guard that -say tug fleets, they definitely -- I mean, captains just

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MR. GRAY: I call a motion.

CAPTAIN MCGOVERN: Second.

DR. LAPINE: Maybe it's my computer illiteracy that's in question here, but how do we know that this ENC doesn't get corrupted somehow in this download process?

MR. DASTER: We have checks on the requirement.

DR. LAPINE: You're not going to magically lose a line of --

CAPTAIN MYRTIDIS: How would you know? DR. LAPINE: Well, that's what I'm getting at. Now, you're downloading it in your office, you're going to get this check. Now, you're going to generate a CD. You're not going to get a check on that CD. How do you know that you didn't corrupt it when you --

CAPTAIN MYRTIDIS: Let's say it goes out all along to everybody. It could be exactly what you've described.

DR. LAPINE: If you successfully download this and then you burn 25 CDs for your fleet and something gets corrupted in the burning of those CDs, who is responsible now?

CAPTAIN MCGOVERN: He is.

DR. LAPINE: How does that fit in with the

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it doesn't.

recommendations?

MR. RAINEY: Is it safe to say that what we are contemplating in this to be official data is also being used in type-approved equipment that has type-approved software?

MR. ENABNIT: That's correct.

MR. RAINEY: When you throw your ENC in there or your SENC, in working with that and that type of equipment, they have some checks there that will assess it. There is some measure there, right? I mean, it's not completely without a --

MR. ENABNIT: I'm not sure what it is, to be honest. It's been too long since I have been at that level.

DR. LAPINE: So Captain Myrtidis would have to have some type of special software to create these CDs to make sure --

CAPTAIN MYRITIDIS: What he's saying is that once you put the electronic chart into the ecosystem through an approved system, there are safety features there to say whenever the data is corrupted or not. Whether this is, I think, a true statement, I don't know, but this is the way it works right now.

DR. LAPINE: I don't think the software is going to be anywhere near sophisticated enough.

CAPTAIN MCGOVERN: I think if somebody wants to take that extra step, they can, but a lot of these small entities --

MR. DASLER: Most burning software has a verification process.

DR. LAPINE: Like I said, it could be my level of -- that I have a question there.

MR. RAINEY: I think we have a motion and a second on the floor. In favor of adopting the recommendation?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: Motion adopted. Just following down, we are almost through here "The regulation should require any commercially redistributed official ENC or derived product from an uncertified entity to affirmatively notify the user that the product does not meet federal chart carriage requirements." Again, my only thought there was -- it goes back to what we've talked about and maybe we've covered it, but as an end-user, if you go in and you're buying software, there has to be something that says to the end-user -- they have to know it either meets the federal chart carriage requirements or

CAPTAIN MYRTIDIS: Well, it is already. CAPTAIN PARSONS: When it's loaded into the system, it will.

MR. SZABADOS: The CD industry has already built-in technology to certify that that copy is certified. If it doesn't, you're not going to be able to read the file. I think the concern is if somebody makes changes to those files. If you get a corrupted file, you're not going to be able to read it.

DR. LAPINE: What if the last ten megabytes of the file just didn't get copied for some reason?

MS. DICKINSON: It wouldn't work.

MR. RAINEY: Any further discussion? CAPTAIN MYRTIDIS: The technology is there

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DR. LAPINE: I would think that if you were a limited distributor, the lowest level distributor, you would have some software that would guarantee that the copies that you make from the one you're downloading were also certified as original copies. It's sort of a protection to you, or to me, if I'm riding on one of those ships, I guess. It wouldn't have to be something you buy, it would just be something you would be entitled to if you were the lowest level -- maybe this has nothing to do with the regulation.

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Whether it's the use of a NOAA seal, emblem. or a statement on there, it's seems like if somebody downloads an official ENC and then alters it, and this is going to Mike Szabados's point, it seems like, again, if it's possible, it seems like there should be something in the regulation that says you can download these ENCs. I mean, it states that in the proposed rule. Obviously they are putting it on the internet and anybody can grab it and run with it.

It seems to me there ought to be a requirement that you can't take that, do something to it, and then redistribute it without a notice on there that it's no longer an official ENC. Now, how you police that, I have no idea. That is one of the questions I have for this whole thing, is how are we actually going to -- you know, whether it's an audit, police on this thing, but that was just a thought.

CAPTAIN MCGOVERN: I have a motion to accept that recommendation.

MS. DICKINSON: Second.

MR. RAINEY: All in favor?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: The next one, "The regulation

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should prohibit the packaging of certified -- and I put in parentheses -- official products with non-certified products." Now, technically that may be inaccurate because of our discussion we just had about the SENCs. They may be certified but they're not necessarily certified as an official product. They meet the federal requirement.

Based on that discussion, my recommendation on that is -- again, I'm just trying to really make it clear when somebody is buying something that it's either an official product or it's not an official product. If you pack them all together and the top one you have under the label is the official product, and then you slide in a bunch of other things, it seems like you would want to clearly label them.

MR. ENABNIT: That's back in the template. There is something called distribution of data, of additional data. It says, "Evaluated distributor shall indicate in his application to us if additional data is to be distributed with the NOAA ENC data. If so, evaluated distributor shall provide examples of how the data users will be informed as to the official and unofficial contents of the data as described." So they have to tell us in advance of us ordering the certification how they're going to differentiate to the end-user what is official

and what is not official. It's a place to start, and we'll see what we get.

MR. RAINEY: Given that information, let's go ahead and move on. "The Hydrographic Services Improvement Act provides that the Office of Coast Survey can charge fees for this." In the proposed rule, if they follow along the requirement, then they say -- well, what I'm proposing is that there should be no exception for resubmissions of revised requests that were initially unacceptable and are sent in within 90 days. What the rule provides is that you charge a fee, but if an applicant is initially denied, if they resubmit it within 90 days, the proposed rule says that they won't charge for that.

My recommendation is that even though the resubmission may be less, you know, intensive, may be less onerous to review, maybe just a couple of things they needed to fix and then they could get certified -- and then it may not be. They might send it back and there is still a ton of work. It seems to me that the Office of Coast Survey should still recapture the cost of the personnel, the labor, and the resources that they're putting in. They still have to look at it. So it seems to me that I would eliminate that exception. It just seems to me that the Survey office ought to recapture

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their actual reasonable cost, as authorized in the Act, to administer this Quality Assurance Certification Program.

CAPTAIN PARSONS: Was this a General Counsel requirement, Dave, to collect fees, the time frame for not having --

MR. ENABNIT: No, the 90 days came from us.

DR. LAPINE: I can tell you from the work I do, if you allow them free -- this first free look, what they're going to do is make you do their quality control for them. We stopped doing that, and as a result it comes in right the first time. So I fully agree with your recommendation.

MS. DICKINSON: Scott, the OCC already does that. Like with license applications, you pay a processing fee, and if you miss one little tiny thing, it has to be resubmitted and you pay the whole thing all over again no matter what. So there seems to be some precedent for that. The government is supposed to collect the cost of processing anything, no matter what.

> MR. RAINEY: Do we have a motion then? CAPTAIN MCGOVERN: Motion.

DR. LAPINE: Second.

MR. RAINEY: In favor?

THE PANEL: Ave.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: I see everything is -- we are close to the end, but do you want to take a break for a minute and have more coffee or get rid of some? Why don't we do that.

(Whereupon, a brief recess was taken.)

MR. RAINEY: I'd like to pick up -- I guess I'm on Page 4 of the comments here. This is on Section 995.15, Termination of Certification. I'll try to speak up. A couple of folks tagged me and can't hear down at the end there.

It's a simple recommendation to strike a redundant sentence that's repeated directly from the preceding section. "NOAA reserves the right to audit certified distributors." That exact sentence is written in the section previous to that under audited. It seems redundant. Can we entertain a motion for that?

MR. CRAY: So moved.

MR. RAINEY: Second?

MR. DASLER: Second.

MR. RAINEY: Any further discussion on it?

THE PANEL: (No response.)

MR. RATNEY: All in favor?

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THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: Okay. Another recommendation I had -- again, this is under the Termination of the Certification. It goes through a pretty elaborate process, but one thing that struck me here that I thought might be good to add, and my recommendation was, "NOAA should require, to the extent possible, the recall of all redistributed ENCs and derived products that were produced during the period of non-compliance as determined by the NOAA audit." My premise is you're getting decertified for some reason. NOAA is not going to arbitrarily decertify a distributor. So there obviously was a problem in the process somewhere along the line.

It seems to me that you would want to get those products that were produced during the time period that the audit said that there was a problem off the street so people are not using these products on the waterways.

CAPTAIN MYRTIDIS: That's very good.

RADM WEST: I think only the product is the problem, because you can create a huge problem if you have to recall a bunch of graphs. I'd leave a little leeway there.

of data users. My take on it was, "The proposed rule unreasonably places an affirmative duty on certified distributors to ensure that the recipient has a sufficient level of knowledge about the NOAA ENC and the service provided by a Certified ENC Distributor and a Certified ENC Value Added Distributor." This is my point or my comment on that. The training is essential, no question. "Users need to be knowledgeable concerning the capabilities and limitation of hydrographic products." In my opinion, it is unreasonable to require a distributor to ensure that the recipient has a sufficient level of knowledge. I don't think that you can just sort of regulate that.

NOAA does not hold itself to that burden.

NOAA places its ENOs on the internet and authorizes an individual to download it and use it to meet federal charting requirements. There is no training required. To try to hold somebody accountable for the user having a level of knowledge, I don't think that's possible.

So my recommendations following that are,
"NOAA can and should properly require training and
education materials to be provided but cannot regulate
that CEDs or CEVADs shall ensure that the recipient has a
sufficient level of knowledge. This would require some
form of testing on criteria that has not been

 $$\operatorname{MR}.$$ DASLER: As long as they reserve the right to do that.

DR. IAPINE: Reserve the right to recall?

MR. RAINEY: So we could amend that then, if everybody can follow me, NOAA should require to the extent possible --

MR. DASLER: Should reserve the right.

MR. RAINEY: NOAA reserves the right.

MR. ENAENIT: Scott, you can just make the comment that you don't think that's good enough, and then we'll worry about the language.

RADM WEST: I think Dave knows what he's talking about. If it's a procedural thing, you don't want to try to recall --

MR. RAINEY: Right. I understand, unless the audit catches it or -- okay. Can I have a motion then on that comment?

MR. DASLER: Motion.

MR. RAINEY: Second?

MR. GRAY: Second.

MR. RAINEY: In favor?

THE PANEL: Aye.

MR. RAINEY: Any opposed?

THE PANEL: (No response.)

MR. RAINEY: I had a comment on the training

established." Secondly, "NOAA should advise the U.S. Coast Guard on recommended training requirements for the safe use of NOAA ENCs and certified derivative products."

CAPTAIN MYRTIDIS: I absolutely agree. I think I disagree with the second. I don't think this is necessary at all. You know, training requirements for people that use ENC systems are already in place. We shouldn't go out and try to reinvent the wheel.

MR. RAINEY: Okay.

CAPTAIN MYRTIDIS: I think it's a very valid point that -- how in the world can you say that you now can make sure that the user is certified? That's up to the user. That's their responsibility.

MR. RAINEY: Could everybody hear Captain Myrtidis? I know from the break we were having a little trouble down at the other end. He is suggesting striking the second recommendation. So Captain McGovern, any comment?

CAPTAIN MCGOVERN: I think there are some requirements already out there for the upper level mariner, let's call them. But for the lower level, especially if this Coast Guard regulation goes through requiring electronic charts on all vessels, maybe the Coast Guard has to look at a training level for those lower mariners that is not going to be addressed at the

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23 24 25 IMO. I think I would leave in number two, knowing it's not going to affect -- it's not necessarily redundant with what's out there at the IMO level. We are now looking a lot lower than that.

CAPTAIN MYRTIDIS: If I may --

CAPTAIN MCGOVERN: I mean, we could add some wording that it not be redundant, but --

CAPTAIN MYRTIDIS: I think I understand what you're saying, Andrew; however, I don't think it's really NOAA to advise the Coast Guard of what kind of training is required. At the end of the day, it doesn't matter if it's a user on a 3,000 passenger cruise ship or in a smaller unit. It is the responsibility of the user of the equipment to be familiar with the use of the ENC. And there are -- actually, I'm pretty certain that there are regulations either in the CFRs for the United States Coast Guard or International Standards that they say that if you have this type of equipment, then you have to have some type of training to be able to operate that.

CAPTAIN MCGOVERN: I don't know if I would go that far with what's in there. I agree with you as far as what maybe NOAA shouldn't advise. Maybe the wording should be worked with the Coast Guard or assists. The Coast Guard is going to look at NOAA as the expert.

MR. RAINEY: I see some other folks have

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to happen.

MR. ENABNIT: It really wasn't our intent.

MR. RAINEY: Isn't it part of the application that they have to provide that? I think it does talk to that, as far as getting the whole process certified. If I recall, there is provision that this needs to be included. In fact, what I was going to say is that there is almost too much of a burden here. You can ask them to provide that, but you can't have them as a guarantor that -- you know, the person is going to have a level of knowledge. I guess what I'm trying to say is, my reading of the rule was that it did provide for what John was asking about.

MR. ENABNIT: I'm sorry. I'm lost. John, did you want me to say something here?

MR. OSWALD: Are you going to certify training?

MR. ENABNIT: No.

MR. OSWALD: Manuals or --

MR. ENABNIT: No. And certainly the word ensure is something that -- we need to look at that again based on -- the fact you raised a comment, we'll go back and look at it and write up -- take the intelligence on the comment and put it into regulatory language.

MR. RAINEY: Because it does say the CED or

some comments, but one thing I might toss out, and I don't know if this would help with the other comments that are pending, but tomorrow, again, we are going to talk about the Coast Guard reg, that the class of people that are going to be affected by that, as Captain McGovern pointed out, is a much broader class than the SOLAS requirement for ECDIS. We might, for the purpose of comments on this Federal Register, hold off and not include this second recommendation but bring it up again tomorrow in the context of looking ahead at the Coast Guard Act. Would that meet with everybody's approval?

CAPIAIN MCGOVERN: That's fine.

MR. RAINEY: I guess I need a motion then on the first recommendation.

MR. CRAY: So moved.

MS. BROHL: Second.

MR. RAINEY: Any further discussion on the first recommendation?

MR. OSWALD: Scott, I have a question. Would it be the intent then -- or maybe this is more pointed at Dave -- if NOAA should require training and educational materials, would that be in effect certified? Would you look into a company's education, training, and documentation of materials?

CAPTAIN PARSONS: I don't think that's going

CEVAD shall by providing appropriate training and/or adequate documentation -- and I'm assuming that's referring to training documentation since it's under the training subsection, so I'm implying that there is some requirement to do that.

Anyway, any further discussion then on the recommendation? Now, we are talking, again, just about recommendation number one. So all in favor of adopting that recommendation under training?

THE PANEL: Aye.

MR. RAINEY: Any opposed?

THE PANEL: (No response.)

MR. RAINEY: The last one I had on Page 5 was on the redistribution of data. Now, Dave, when he gave us the refresher briefing, in his comments -- I may have just misunderstood, because he said that there could be additional things when he was briefing us this morning. When I read the rule, it seemed to me by definition that the Certified NOAA ENC Distributor, by definition, was an entity that can distribute the NOAA ENC and that they did not reformat that or add additional information. If you did that, then you needed to be certified as a Value Added Distributor.

My comment was, it seems to me by definition that a section on additional data under the CED was not

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23 24 applicable, that you should strike that, because if you're going to put additional data in there, you have to be a CEVAD. That was my comment. Am I right on that

MR. ENABNIT: I would have to go back and read it in detail, but I think the comment is clear enough that there might be a redundancy. If you just make that comment, then we'll go back and read it carefully. If it's not redundant, then we'll change it.

MR. RAINEY: It's not a question of being redundant. It just seemed like it didn't apply. Because by definition it seems to me that if you are an ENC distributor, that's what you could do. You just grab the official thing and redistribute it. But if you wanted to reformat it or in anyway add stuff to it, then you have to follow the more detailed process.

MR. ENABNIT: So the comment's clear enough, if you'd just include it in your list, we'll --

MR. RAINEY: All right. Can I have a motion on that regulation?

MS. BROHL: So moved.

MR. RAINEY: Second?

MR. GRAY: Second.

MR. RAINEY: Any further discussion?

THE PANEL: (No response.)

of who is certified and what they are certified to do so that when you're going in to buy a product, you don't have to believe exactly what the salesman tells you because -and also if something happens that there is a reason why. You can see something on the shelf and it says he is a certified distributor, but that was last year, you know, but it's still sitting on the shelf.

RADM WEST: How many are certified now?

MR. ENABNIT: None. There is no regulation

RADM WEST: There are roughly 150 companies. This was four years ago when we took the survey. So there is probably more now. So that's a great point. I'm sure that on NOAA's website you can go and -- there ought to be a place where there is a consumer report and a recall list. Is that the plan?

MR. ENABNIT: That's good advice and common sense.

CAPTAIN PARSONS: That shouldn't be difficult to do at all.

RADM WEST: I would like to carry what Andy said. A strong recommendation from this committee is --

MR. RAINEY: Barbara is typing it there, so the recommendation is to provide an up-to-date listing on MR. RAINEY: In favor of adopting the

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as well.

THE PANEL: Aye. MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: That's what I had on the ENC distributors. Are there other comments that folks would like to offer? And, again, I talked to our court reporter here and Barbara and Monica, who are carrying all of this for the minutes, and it will be most helpful if we make a proposal and then we can discuss it. We are going to need to reduce it to writing so we can capture it so we'll know what we are voting on, but are there any further comments or recommendations on this Federal Register?

CAPTAIN MCGOVERN: I just mentioned to Dave, I think somewhere like on a website or something that is up to date on which distributors are certified, and especially -- in fact, when I say up to date, if they do have that certification pulled for some reason that a customer would be able to look at this and know that there was a problem and not necessarily -- there has to be a data base for the distributors. They have a data base for their customers, but it seems like, especially if some of this stuff is over the counter, that it has to be the other way around. There has to be some type of data base

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the Office Coast Survey website, similar to Consumer Reports, that would have a listing of Certified ENC Distributors.

MS. HESS: An up-to-date listing of certified --

> MR. RAINEY: Certified ENC Distributors. CAPTAIN MITOVERN: And the SENC Distributor

MR. RAINEY: Yeah. I was being generic there.

CAPTAIN PARSON: Let's just keep it generic. CAPTAIN MOGOVERN: Yeah, we'll figure it out. And their products, which are certified, especially when you get into SENC, that they could have -- you know, they package a whole bunch together, you know, which ones are good and which ones are --

DR. LAPINE: Is that list also going to include the not certified?

CAPTAIN PARSONS: If it's not on, it's not certified.

MP RAINEY: So the idea is to have a publically accessible data base of certified distributors. Is that clear enough to get a motion that we can vote on? Can I go ahead and have a motion on that?

MR. DASLER: So moved.

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CAPTAIN MCGOVERN: My recommendation was certified products.

MS. HESS: Certified ENC Distributors and their products.

CAPTAIN MCGOVERN: Because we talked about different -- we talked about certified distributors, certified processes, and certified products.

DR. LAPINE: And their certified products? CAPTAIN MOGOVERN: Well, we talked about them. We made that recommendation. The motion was carried, so we are trying to keep it consistent.

DR. LAPINE: They might have other products that might not get certified.

CAPTAIN MCGOVERN: And that's why it's on the list, you know, what's good and what's bad. That's why I mentioned it.

MS. HESS: Provide an up-to-date listing of Certified ENC Distributors and their certified products.

MR. RAINEY: All right. We had a motion down here. We spent a little bit more, but I think everybody understands the concept. All in favor of that recommendation?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

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that it's just stated right there.

Because as I commented, and the next thing we'll get to in just a second, one of my fears or concerns is, if you're going to allow them to put the NOAA logo on that, to me that means that's the real McCoy. That's the official data. When I see the NOAA logo, unless I'm told otherwise, I'm automatically going to think that's the official IHD, artificial data. So I think the recommendation is that in addition to the NOAA logo or when you have a certified product, it should state exactly what that certification is certifying so there is no question what that product is.

MR. DASLER: I would just take it further that it should be a requirement that wherever they use the logo, that statement goes with it.

MR. RAINEY: People are nodding.

DR. LAPINE: We are agreeing with you.

CAPTAIN MODOVERN: If it's quiet, that's

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MR. RAINEY: Can I have a motion on that

then? Do we have it in language?

MS. HESS: I don't have it in language. The NOAA logo should emphatically state --

MR. RAINEY: I don't know about emphatically, but I think -- it seems like it's not

MR. RAINEY: Any other comments or recommendations?

MR. DASLER: I don't know if we just addressed this, just having a certification statement required whenever the NOAA logo or emblem is used in the packaging. It may be a good opportunity to help educate the public, because I think they get pretty confused by what meets the requirements and what doesn't for something. Something similar to the use of this ENC with a type-approved ECDIS system will meet --

CAPTAIN PARSONS: Or we can also reference that and reference the applicable CFRs and address that.

MR. RAINEY: Jon talked to me a little bit at the break, and it's exactly in line with what I was trying to write about on some of these other things, and I think it's an excellent point. My understanding is when you use the NOAA logo, or whatever it is that has a certification on it, that there be accompanying language that would clearly state -- and this goes to the distinction between are you certifying the process or are you certifying the product for a particular use. So in other words, you may or may not have the seal there, but then you would have in addition to that, just in plain language, this is a -- whatever kind of animal it is, and it does or does not meet federal carriage requirements, so

necessarily just in addition to the logo, but it's when the NOAA logo --

MR. DASLER: You can just say that a certification statement is required.

MR. RAINEY: Must accompany the NOAA logo on certified products.

MS. HESS: A certification --

MR. DASLER: Statement must accompany.

MS. HESS: The NOAA logo?

MR. RAINEY: The NOAA logo when used on hydrographic products, because the Act in the reg defines a hydrographic product to be a third party and non-federal product for this instance. Is that clear enough that we can get around that as a recommendation and then NOAA can take that and --

MR. ENABNIT: It's clear to me.

MR. RAINEY: Can I have a motion on that?

MS. BROHL: So moved.

MS. DICKINSON: Second.

MR. RAINEY: In favor?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: Any other comments?

THE PANEL: (No response.)

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MR. RAINEY: Okay. Thanks very much.

That's probably the most detailed one I had. I think I would like to proceed with the Quality Assurance on the hydrographic products and then quickly look at just the portions of the NOAA plan, and then use the balance of the time on the ICOS.

Again I'll ask if other people had anything written or prepared on the Quality Assurance and Certification Program -- but, if not, then I'll go through what I had passed out, and then we can comment on that and open it up again for additional comments.

If you have the paper in front of you, under References and Background, what I did was, I went back to the Hydrographic Improvement Act of 1998 and then I looked at the subsequent amendments of 2002. And what I wanted to do simply was, because I had been involved in some of these discussions and I'm a little bit familiar with kind of what's going on, I wanted to capture exactly what the statutory language said, and also to look at -- there are House Committee Reports that go along with the legislation. So I pulled out what I thought were some relevant comments from the law, the statutory language, and also some indication of Congressional intent as reflected in the report language. All of this is just there for reference.

an excerpt again from the House Report. Let me just read this real quickly. "The Hydrographic Improvement Act authorized the Secretary of Commerce to establish a Quality Assurance Program to certify hydrographic products produced by private firms. No such program has been established." Then it goes into a section. "Subsection A directs NOAA to establish such a program not later than two years after the date of enactment." I included these other portions in here just as a flavor.

Now, this is the House Report, and the Senate did not enact into law some of the provisions that this section by section analysis is talking about, but I put this up there because, at least to my mind, my argument is it shows sort of an increasing intent of Congress.

So what they put in the House Report was, "Section B directs NDAA, to the maximum extent practical, to assure that U.S. certified hydrographic products meet international carriage requirements," and then some extra stuff on that. That was not enacted into the law, but that was put in the House Report.

Then I went from the laws into the NDAA statement of policy and the proposed rule. Again, I just pulled an excerpt that I thought was most relevant to the point I'm trying to get to here. "In general, NDAA does

My point was that in '98 Congress passed a law that said the Administrator may do this. In 2002 the amendments came in that say the Administrator shall do this. In the report language, looking behind the law into some intent, I'll read just a couple of excerpts out of the 1998 report. "Official nautical charts or other information published by the federal government are not hydrographic products under this section. Source data acquired by NDAA from a private entity for the purpose of making nautical charts are not hydrographic products."

Another excerpt out of the same report, "The Committee feels that it is incumbent on the Administrator only to certify that the data included in a hydrographic product are collected and displayed in a manner that satisfies certain standards. By certifying a hydrographic product, the Administrator should not be expected to individually check all data included in that product or assume responsibility for negligent actions or failure to follow appropriate standards by the entity producing the product. " I'm going to be real clear again. Now, this is just report language, so it doesn't carry -- it's not a statutory requirement, but it's some indication.

In 2002 Congress came back and revisited this, is when they reauthorized the Act, and they said they shall, Administrator shall set this up, and I pulled

not intend to write standards and certify products that would be used to meet the nautical chart and publications carriage requirements mandated in the Code of Federal Regulations and elsewhere. The federal government already provides official products for this purpose, and there are valid safety reasons for maintaining a single, official nautical chart or publication where federal regulations mandate carriage and for not certifying private products for that same purpose. The reasons include --" and I bulleted the list.

Going down the page, I pulled out of the proposed rule, Subsection B under Certification -- and this is exactly what David Enabnit was briefing us on this morning. This is going to be a certification of a process to standards that will be developed. This is not a certification for a specific use, i.e., you know, official nautical chart or the carriage requirement. So reading through that, I won't read it all, but just what I have in bold, "Certification conveys no express or implied warranty as to the merchantability or fitness for a particular purpose, and conveys no express or implied liability on the part of the government and no endorsement, conveys no automatic direct or indirect NDAA endorsement."

I went through that whole exercise to make

basically -- to get to where I think is what I'm proposing might be a possible response to this. So I'm on Page 3 now. "The Hydrographic Services Improvement Act makes the following findings, that Congress has strengthened its intent for NDAA to certify hydrographic products. It is not clear, however, that Congress intends for NDAA to certify privately produced hydrographic products to meet federal nautical chart and publication carriage requirements." Again, that's my opinion on my reading of it. They don't mandate it in the law. There was talk about it. There is sort of an implication. There is an implied interest.

Maybe you can find -- you could argue in the House Report, because they talk about that NOAA should make an effort to meet international carriage requirements, but that, interestingly, did not carry the day in the Senate and it didn't go in Congress. It's not in the law. So my take on that is that it's not clear. Some people may think that that's where it's going.

So then I picked up, "There are valid safety reasons for maintaining a single official nautical chart for publication where federal regulations mandate carriage for that same purpose, and the reasons include --- and I'm repeating that list. I'm pulling out of the Rule. And then I concluded, essentially, "Therefore, NOAA's proposed

own products, and for a number of reasons I know NOAA was not really into that. But I think that this is pretty distinct, and I appreciate you taking the time to go back and look at the report and clarify it. It's very helpful. I don't have anything to add to it because I think it's a very good point.

MR. ENARNIT: I would take issue a little bit with your second point when you say it is not clear. To me it's perfectly clear when it's in the draft bill and then Congress explicitly takes it out. They do not want that to happen. There were members that did want it to happen, and that's why it got in the Bill in the first place, but in the end you go with Congress's interpretation and not the interpretation of individual members. So I would say that at this point it is pretty clear.

Having thought about this for awhile and having worked on the issue, I continue to support the idea that we not certify privately made products for chart carriage. The market is well served. Nobody is giving away official products. We are updating them weekly. We are just not going to be in the police business. If private products were certified for carriage, we technically should be out there every week looking at every one of them, and we are not going to do that, and

rule for the Quality Assurance and Certification Program for NOAA Hydrographic Products satisfies the current statutory requirement established by Congress." Then I have two other sort of follow-up recommendations.

All that went before that is sort of -- I tried to capture my take on the status of what's mandated, and my conclusion is that the concept, NOAA's interpretation, at least currently, satisfies the statutory requirements to set up this Quality Assurance Program. Again, that's my personal analysis, and I'll throw that out for discussion or comment. Helen.

MS. BROHL: I have to thank you for taking the time to actually go back and analyze the different aspects, because while I sat through a lot of this discussion on the House side, I didn't always get it, and I kept asking the same questions over, well, does this imply this or does this mean that. I think the way that you outlined its intent -- it may seem like peripheral information, but keep in mind that if we don't help address this very clearly for NOAA, it could be revisited a third time in a way that isn't necessarily productive for, I think, what we want to say here, and there is a lot to be said.

I think that the original intent on the House side was to allow private individuals to have their

the Justice Department is not going to stand behind us if we start jerking licenses.

It's not a practical answer. It's not particularly a safe answer. It's not particularly burdensome on anybody by excluding that, certainly not on the mariners since we are already fully satisfied in that limited part of the market that has mandatory carriage. It does not impact the ability of these hydrographic products to serve the recreational community which is the bulk of the market. So I would say that the intent of Congress is clear, and I think the rationale that we have put forward is actually on balance to the public's best interest.

MR. RAINEY: I would like to hear, obviously, the members views on that, and I really appreciate what Dave said, and I would certainly be willing, if this is perhaps a more accurate statement, but I want to bring members in. This is exactly what I'm doing. I'm throwing out my take on this, but this is a political -- this is in play, so I just want to set forth where my assessment is, and I'm recommending that we look at our assessment of where things are now, not where we think politically it's going to end up two years from now. We have to look at where it is now.

So in light of Dave's comments, the second

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23 24 bullet could be amended to read, "Congress has not required NOAA to certify privately produced hydrographic products to meet federal regulations." Right now I think clearly they have. It's not in the law. There is some report language on the House side that might indicate some intention that some people want to do that, but clearly my reading of the law is that it's not required. They are not mandating that under the law at this time. It's not to say that the next Congress on the next go around may change the language again, but we have to deal in the here and now, not what we think is going to happen down the mad.

MR. GRAY: It seems to me, from what David has said, that's a statement of fact as of right now in what the law actually says. I guess it could be another discussion on whether this group, this Panel feels they probably should move to get NOAA into that business in the future. And, I guess, somebody said this mainly applies to what the main market is, which is small craft or recreational or something like that.

MR. ENABNIT: The target for that would be both SOLAS vessels and the ones to be newly regulated by the Coast Guard by January 2007, which is a total of maybe twenty thousand vessels as opposed to five or seven million recreational boats.

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DR. LAPINE: Do they require or direct? Can Congress direct NOAA or --

MR. RAINEY: Either, but --

CAPTAIN MYRTIDIS: Can we appropriate to also say that that will not happen?

MR. RAINEY: Right. I think the next comment or recommendation would be is -- as you look down the main dark bullet there, after the list of the reasons why there are valid safety reasons on the list, a recommendation could be added or suggested here that we say that the HSRP, that we recommend -- how do we want to word it, that NOAA --

MS. BROHL: How about just to start in the third bullet to say that the Panel supports NOAA's reasons for maintaining a -- and then after that, therefore -- I think you need to say something even more clear.

CAPTAIN MCGOVERN: I think we say that, because if you read the header that this Panel makes the following findings, that there are valid safety concerns, so we have already done that.

MS. BROHL: But Dave is saying that a more specific support of NOAA's position to have a single --

CAPTAIN MCGOVERN: I think we did that, if you read the top, because basically that's a bullet under that top line.

CAPTAIN PARSONS: This proposed rule essentially addresses the non-regulated marketability.

MR. GRAY: Small craft, recreation.

CAPTAIN PARSONS: Those not required to carry products.

MR. GRAY: I would be happy to have it in the way of suggesting an amendment, Scott, in line of what David has said, as thus far Congress has not required that NOAA certify privately produced hydrographic --

CAPTAIN MYRTIDIS: And it should not.

MR. DASLER: The Panel could take that a step further and make the statement that we don't feel that NOAA should, just to help clarify that.

MR. CRAY: That's what I -- Congress has certainly not required that NOAA do it, and this Panel can express a view on whether or not we feel NOAA should consider being in that business.

MS. BROHL: So instead of saying there are valid reasons, the Panel agrees with NOAA's reasons which include the following --

MR. RAINEY: Let me take this in order, though, and then we can add on it. What I would suggest where we are then would be, as I read it with the change in the second bullet, to read that Congress has not required NOAA to certify, and then as written.

MS. BROHL: But are we finding that NOAA is correct in choosing to keep the position that there should be a single official nautical --

CAPTAIN MCGOVERN: I think that would be another recommendation.

MR. RAINEY: Yeah. Again, we have to get a motion to vote on it. We can take it as written here with the amendment we just discussed, and that stands out as a finding. Then we could have, you know, the HSRP further recommends -- and, again, now remember, we are recommending to the Administrator of NOAA, so we have to couch this in the recommendation to the Administrator. So basically we would endorse NOAA's interpretation to the Administrator that the NOAA official -- you know, that the NOAA ENC remains the official product. That's where it gets a little bit --

CAPTAIN MYRTIDIS: Maybe we can leave the wording to later. We agree, but we are trying to figure out how to say that, so --

MR. RAINEY: Let me do this. Let's take it a piece at a time. Is everybody with me to the point where we are talking about as written, and then we changed the second bullet, that Congress has not required or directed NOAA -- how do we want to do that -- certified -that is the one change. Otherwise, I would like to get a

motion to approve that much.

MS. BROHL: So moved.

MR. CRAY: Second.

MR. RAINEY: All in favor of accepting that

as a recommendation or finding?

THE PANEL: Aye.

MR. RAINEY: Opposed?

THE PANEL: (No response.)

MR. RAINEY: So we have that much in. Now, the second additional one then is to make a further recommendation, and, again, it has to be the Administrator, that NOAA does not -- and, again, it's tricky. We will have to take some time with this. The concept is that we recommend that this is the right policy because of these reasons. The Administrator, if Congress tells him he has to do it, he doesn't have a choice. But what we want to do is further endorse -- I guess the suggestion is to further endorse the Administrator's policy position.

MS. BROHL: Because it could be used later as a way to go back and say the Panel also agrees. So I think it's an important point.

CAPTAIN MCCOVERN: We could recommend that NCAA continue to be the single official nautical chart or publication supplier where federal regulations require or

It's under Tab D. It's one page, and these are my comments and observations. "The Panel supports the concept of synchronous strategic plans and the stated vision to be a global leader in the integrated management of the ocean." If you might -- I think maybe I can read through all of these. I don't know if they'll be controversial, but maybe we can take them all at once.

"NOAA needs to continue to raise awareness and increase understanding among NOAA and NOS personnel, partners, and stakeholders, regarding the GLIMO vision, the concept of Ecosystem Management, and NOAA's Planning, Programming, Budgeting and Execution System. NOAA needs to identify and incorporate Corporate Performance Measures in its Strategic Plan." And that is something they say they are going to do in the plan itself. "The Panel could provide advice and recommendations on the development of Corporate Performance Measures regarding Hydrographic Products and Services."

And the last point is, "NOAA needs to utilize the PPBES and Corporate Performance Measures to

mandate carriage. I'm just trying to play off the same words that are here now.

MR. RAINEY: Would this be something we can table at the moment, reduce it to writing, come back, and then approve it and add it later?

CAPTAIN MCGOVERN: Sure, first thing in the morning or something like that.

MR. RAINEY: When we think we have something, we can come back at it. Let's table that. Let's move down to the next one. "The Panel is concerned that the use of NOAA's emblem on a certified hydrographic product will imply that the product is an official chart or publication that meets federal carriage requirements. Therefore, the Panel recommends that the use of NOAA's emblem is authorized for only those NOAA certified hydrographic products that meet federal carriage requirements." I would like to just hold that back, because we've already taken care of that earlier with the comments that we can have the language with the certification. Is that agreed? Okay. So that's done.

I had a very, very short list of ideas on the National Ocean Service Strategic Plan, and I've talked to Captain Parsons and others, and this is out for comment just like these other things are. What you have -- you'll notice you don't have continuous pages. We went through

prioritize the allocation of limited resources among its

many meritorious and diverse hydrographic products and services in a rational and equitable manner."

Sub-points, "This is particularly important because of the very limited amount of discretionary budget authority and reprogramming constraints." We heard quite a bit about that up in New York. "Resources, funding and FTEs, need to be distributed strategically from research to product or service delivery."

In other words my thought is, we have to balance -- and one example would be charting, hydrographic surveying and mapping and charting. To reduce the survey backlog is a good goal, but it seems to me it's an intermediate step, and the data needs to be delivered hopefully as a product, as an ENC, raster chart, or other usable hydrographic product, not just do a whole bunch of surveys and then have it all sit there and stored in the can because you don't have resources to take it from there and put it into a usable product.

"NOS should identify and quantify the benefits from NOS products and services that are provided to other NOAA Line Offices' missions and programs."

Again, this is sort of the crosscutting themes that go through the Strategic Plan, and I think it would clearly identify the other NOAA folks as, you know,

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constituencies, if you will, at some of these NOAA NOS line items, that that can help further support and justify their programs in the budget. Those are just my thoughts. I don't know if that is too much to chew at one time, but I was hoping maybe we could toss those out and see if people want to have a discussion.

MR. GRAY: I don't know whether it's appropriate in commenting on this, because, frankly, I didn't read this thing through before getting here. If this Strategic Plan for the National Ocean Service for the next five years is really trying to describe what their activities are going to be over that period, I hope somewhere in here it says something to the effect -personally I feel the highest priority of what they do should be given to completing the modern survey of the critical areas and to the installation of PORT systems where they don't now exist and in getting federal funding for them. To me that transcends the other issues that I think they're doing. I care a lot more about those things than I do about ECDIS or ENCs or most of the rest of this stuff. Because getting good data in the hands of mariners in the coastal and harbors and inland areas is more important than getting electric charts or any of the rest of the nice little toys that are coming out.

As I said to somebody recently, the

because, as I said, I don't get on as many ships as many as you do these days, but I have seen an awful lot of stuff on the ships that I have been on that are window dressing compared to the importance of really good data, real-time data, accurate hydrographic information in the shallow waters where it's needed. That's more important than what you're talking about, Captain Myrtidis.

MR. RAINEY: One comment on that, I had a similar feeling when I read this. The Strategic Plan's course is a very high level document that basically kind of set out some goals, and they are breaking it down very specifically under this, and then they promised that they were developing these corporate performance measures. And my comment to the plan that gets to Bill Gray's point is, in fact, that this is still being developed.

If NOAA would like, we possibly could play a role in recommending some of these corporate performance measures, but that my comment as far as -- you know, there needs to be some sort of analysis, because as you read all of these strategic plans, you cannot tell -- everything is an important mission, everything is an important goal, and they're going to do everything, if you read the Strategic Plan.

The issue is, if you don't have enough money to do everything, how are you going to pick and choose.

manufacturers have been damn good at getting their toys, their electronic games mandated onto the bridges of ships, and the mariners I've talked to would much rather have good data than those toys. Now, I don't know how that gets put into words in this, and I don't know whether others agree, but that's the way I see it.

CAPTAIN MYRTIDIS: Well, that's not exactly the words I'd use.

MR. GRAY: Let's put it this way. We have an awful lot of very fancy and, as I understand it, very poor reliability stuff being mandated to go on the bridges of ships, much less reliable that the traditional things that ships are navigating with.

CAPTAIN MYRTIDIS: Well, that was maybe a hundred years ago, but there is nothing, you know, like an electronic chart that can talk to you and can tell you that you're getting too shallow so you can see it physically in front of you. But that's despite the fact

CAPTAIN PARSONS: I think if you take the time and take a look at Page 8 under Marine Transportation System, much, if not all of what you said is incorporated, perhaps not specifically, but --

MR. GRAY: I know it's all mentioned in there, Roger. I just offer this as a point of view,

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So what I was trying to say was, NOS needs to utilize the PPBES and the Corporate Performance Measures to prioritize the allocation of limited resources among its many diverse hydrographic products and services, and then try to further break that down.

I'm trying to capture the idea that, yes, you have all that sitting out there, but you're setting up the system to really be able -- I think for the first time NOAA will be able to justify those sorts of decisions and then help better support its budget once they get to that point. Maybe we have a role to play on some of those things, maybe when they come to us with these corporate performance measures, but right now it's a pretty high level document with not a lot of obvious break down on --

MR. GRAY: I would agree with that if we were to say just that HSRP would definitely like to be consulted on the priority setting of these things. Because you're right, there is never enough funds to do all of the things that are described in there, and periodically we should have these discussions that with inadequate funds to do everything, where should the priority go. We already have done that once. I think we should continue to.

CAPTAIN MCGOVERN: If you read the whole document, which I know this isn't here, but one of the

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things that I noticed when I was reading it is just that being that Commerce and Transportation -- it's still a bullet in here, it's not very big.

DR. LAPINE: That's the point. It's the last bullet in here.

CAPTAIN PARSONS: It's not prioritized.

CAPTAIN MOGOVERN: And that, I think, is the scarry part of it. It's kind of an afterthought.

DR. LAPINE: If I were going to read this document, I wouldn't start on Page 6.

CAPTAIN MCGOVERN: That's what bothered me about the whole -- we were just kind of like --

CAPTAIN PARSONS: I would not read the Goal Team Listings as being a priority.

CAPTAIN MCGOVERN: No, and I didn't, but when I read the whole -- I mean, there was a lot of talk about a lot of things, and then there was a little talk about --

DR. LAPINE: It's not even alphabetized. If it were alphabetized --

MR. RAINEY: I think that's an excellent point, but it also kind of highlights the diversity of NOAA. It's a very diverse agency.

DR. LAPINE: This is NOS, and we're not even first in NOS.

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trying.

MR. DASLER: In the interest of maritime safety, it's paramount that NOAA focus on reducing the survey backlog and reducing the time required to obtain the chart.

MR. OSWALD: I would like to follow up on Bill's comment. If you look at the Strategic Plan, it's sort of this pie in the sky. Researchers don't first expand stewardship and implement strong research innovative techniques, so why don't we just tell them, the Administrator, right in the Strategic Plan that we should do something about this critical backlog. I think that's one of the big issues here of this Committee.

They have assets. I give this credit to NOAA, that they have the fair weather map. The contacts have generally increased some of that through this earmark process. Couldn't we just recommend that NOAA increase her capacity in data and production of the ENC, double production in five years, or some conceivable time, six or seven vears?

MS. BROHL: John, is that a reflection of appropriation as compared to capability? Where is the connection?

MR. OSWALD: Well, Scott has it in here, redistribution, but I don't think you can redistribute

MS. BROHL: Ocean Services, Products and Services, and NOAA is diverse and it has a lot of missions, and I know it's hard to prioritize, and we acknowledge that there isn't a lot of money out there, and the Administrator said there isn't a lot of flexibility in moving money around. But I think that we have to hang on very tightly, you know, to the MTS or the marine safety -the navigation safety issues at hand under NOS, because the products very much are tided to navigation safety. I think we should be able to say it in here, and, yes, I think even Ocean Coastal Management is under NOS, correct?

MS. BROHL: But even that, if you look at what they do, is very much tied to a commercial angle of waterfront development versus protection. So, yes, it is, and they're all important but --

CAPTAIN PARSONS: Uh-huh.

DR. LAPINE: In 28 years in NOAA, I can tell you that if the head of NOAA wanted to make this a priority, all the money you needed would flow right into this process. It never happens, and I think that is our responsibility to make the Administrator of NOAA realize how important this is, on a level with the weather even, I think we could do, and money would start flowing into the program.

CAPTAIN MCCOVERN: And some of us have been

that much.

DR. LAPINE: I say that if the Administrator of NOAA felt that this was important enough, he would feed that information to Commerce, would feed it to CMB, and the money would come in.

MS. BROHL: I thought that charting and mapping, NOAA is getting almost the authorized level at this point.

MR. OSWALD: They are. If you read Lautenbacher's Annual Guidance Memorandum, it's like sort of a strategic plan. New ships, we have to have capacity. And the reason I got excited about this, this summer I was working on NOAA projects in Alaska -- and nobody's heard about this, and I've been in some areas where we are taking commercial ships in areas that have never been sounded, right up to the shore, shallow water. If we want to increase commence in the United States, if you want to protect the environment by having fewer ships come aground, and if you want to increase the economic basis of commerce and increase safety, just chart. I don't know if it should be a separate recommendation or --

MR. RAINEY: I was going to suggest that maybe, if we walk through these -- we had a little bit of comment. What if we decide whether or not we want to adopt any or all of these, and then if you can put that

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idea down in something we can capture, then -- we can make a motion while we are doing that, and we can vote on that as an additional or supplemental recommendation on the NOS plan.

MR. GRAY: I think that's not within the authorized -- why are we bound by the authorized letter? I don't think we should be bound by it at all.

MS. BROHL: It was actually just a question as a way to address these, to find a solution.

DR. LAPINE: I think we can recommend anything we want.

MR. CRAY: If we want to say that they cught to get five times the money they do and be the highest priority in the entirety of NDAA, we should say so. And I think your comment, Lou, about where Commerce comes into this NOS document, much less the NOAA document -- I think a strong statement to that effect is a very positive thing that this group could do.

As somebody said down there, one good accident would make the fault clear of what should have been done had it been moved more quickly. And that applies both to getting rid of the backlog of the critical area data to real-time data, which is PORTS, and those things are known technologies or known facts that will increase the safety of navigation, far more, I believe,

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MS. DICKINSON: The important thing, though, about recommending this, and I totally agree with Louis, is that it has never helped us in going to Congress or CMB or anybody else to go and lobby for more money and say this is important, this is critical, when the agency itself has not made it a priority. It's like we are out there on a limb with no back up. We are competing against all these other programs. We go to Congress and we say, look, this is really important, this is critical, it has to be done, and yet NOAA itself has clearly not made it a top priority.

DR. LAPINE: I've had to write letters to Congress thanking them for the money but we don't want it or we don't need it, because that was directed by NOAA and NOS to me.

CAPTAIN MCGOVERN: If you read Lautenbacher's annual guidance, under the support of the U.S. Transportation System, there is nothing in there about charts and there is nothing in there about water levels. It talks about the desirability of travel time and economic loses associated with weather related inefficiencies in the transportation system. Weather isn't the only thing that does that. There are other reasons that --

MR. RAINEY: Captain Hickman?

than ECDIS or electronic charts or weather, you know, all kinds of things, and I think this Panel should say so.

DR. LAPINE: And to further that, I think the first two recommendations should be struck, because all we are deluding, we are deluding attention away from what we really want. I don't care if we are a global leader in integrated management of the ocean, I want new nautical charts. I wouldn't even recommend that we are a alabal leader in that area.

CAPTAIN PARSONS: Let me tell you, the Associate Administrator of NOS does.

DR. LAPINE: We don't report to him. I realize that, but let's keep it simple. We don't report to him. I also don't think we need to advocate this concept of ecosystem management. We want nautical charts. Let's not divert our attention away from that.

RADM WEST: Be careful before you shoot the horse that's bringing money to you. I'll talk a little bit about that. You don't want to shoot that one. That's the horse we want to ride, by the way.

DR. LAPINE: But we don't need to state it as our first two objectives.

RADM WEST: All I'm saying is don't bad mouth it. It's going to have some money attached to it.

MR. RAINEY: Elaine?

CAPTAIN HICKMAN: At the last meeting it seems like we brought it up and we spoke about it, but we kind of sat on it and accepted it that -- 16 years to finish the critical areas at this point; am I correct? CAPTAIN PARSONS: Closer to ten.

CAPTAIN HICKMAN: I thought we talked about 16 years at the last meeting.

CAPTAIN PARSONS: Not for critical.

CAPTAIN HICKMAN: So critical is ten, and that's if we change nothing. There is going to be more going into critical as we progress trying to finish the zoned critical areas now, correct?

CAPTAIN PARSONS: There will be some increases in the critical area.

CAPTAIN HICKMAN: And yet until this moment nothing has been brought up about that, and that seems to be something that we took a lot of time with at the last meeting, and yet we are not bringing it up to this point of having this problem financially, or where we sit on the totum pole with this issue.

MR. RAINEY: The only way I know how to proceed so that -- I think those were all excellent points that are worthy of getting the sense of the Panel on, but the only way I know how to do that, though, is just for folks to reduce that to writing so we can have a distinct

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statement that we can then vote up or down. And that's certainly included in each of these ideas here.

You know, this is not an all or nothing thing. We can vote it up or down. You can't sort of capture the general discussion. We have to be more precise and distill that down into a specific statement that we can actually have in front of us that we can vote on, and then we'll go with the majority decision on the statements on whether to include it, amend it, or delete

MR. SKINNER: Would it help if we sort of went through and say where do we want to put stuff in? There are a lot of good comments about being more specific, about getting something front and center, and also not losing sight of the buzz words or concepts that have funding attached to them. Maybe just going through and -- I was just looking at this page where they have the bullets in this section, and there are a number of things that -- there is really nothing there that relates to what we are interested in. Maybe one of the things is to get a bullet in there front and center about what it is we want along the lines of what we've been talking about.

I'm trying to structure this, if we are looking for an amendment or some recommendations or whatever. One would be specifically to put something

thought I had seen somewhere where there was a comment. period, but obviously this is a draft plan that's looking ahead. I would assume we could always talk to NOS about some of this.

MR. DASLER: I think we could make a general statement, just to get it on the record, and then we could look at more specific wording in the document. But if you had something like in the interest of maritime safety, it's paramount that NOAA's Strategic Plan emphasizes the need to reduce a survey backlog. Something along that line, but having some kind of a generic statement on the record.

I understand probably why a lot of this was put forth, because of trying to lobby and show different approaches, because it hasn't been a big priority on the survey backlog, getting funding for that. But in not placing that high emphasis it sort of propagates that, where I think we might want to turn that around. I'm just trying to get a general statement and then we can go back on specifics.

MR. RAINEY: Do we have comment on that? MR. GRAY: This is a document that's supposed to go out in 2010. If we don't get this solved by tonight or tomorrow morning, I'm sure we could say something important as a Panel within the next week,

right there that says -- where it says these are the keys to our successes, here are our priorities, you need something in there on the coastal survey.

MR. RAINEY: I think that's a good idea. I quess my question is now, how do you want to proceed? Do you want to take a short break for folks that have these ideas to reduce them to writing, and then we can come back and go around and present them and vote on them? We have to get to the point where we are actually putting these ideas down so that we can capture them.

MR. SKINNER: When are the comments due on this, today?

MR. RAINEY: I don't think there is any on this.

MR. SKINNER: I was thinking if we went through and sort of said what is in here that we actually want to change, what sections -- do we care whether the Marine Transportation System is at the end? If we do, then maybe we should change that. If we don't, then we can take care of it somewhere else. I don't know if people want to go around and come up with suggestions or think about it and get back after a break or --

MR. RAINEY: Should we sleep on this one, table it so people can think about that tonight and then come back tomorrow to suggest specifics? I'mean, I

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month, or something like that, and it still might be heard.

Maybe it would be better -- and I would be happy to take a crack at putting some words down. The Marine Board National Academy had a Panel in the early nineties, and they put out a report called "Charting Across the Digital Future, " I think. That, as I remember, when I was doing the Port Terminal Safety Study in '95 and '96, we looked at that, and it basically said here is the level of funding that Marine Safety gets within NOAA. It had various facts there, the critical areas, and all this stuff was ten years ago or nine years ago or something like that, and we reiterated that in the Port Terminal Safety Study.

Everybody that we took that to agreed with it, including the Commandant of the Coast Guard and so forth. That was part of what produced the whole MTS thing. The same statements, I wrote them for the MTS report in the spring of 1999, and we have been saying this for years.

I think a strong statement from this Panel, if it agreed to do so -- where it gets inserted into which document is not important to me. It's important that we get a result, and we have not gotten a result. And this is -- how many years ago do we go on the critical backlog

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thing? I mean, the Port Terminal Safety Study, if that came out in 1996, it was going to take close to 40 years at the rate they were going, which is totally unacceptable.

It's not just the critical backlog. Real-time and accurate information is what the mariners I talk to say they want more than anything else, and somebody, whether it's Spinrad or Lautenbacher or somebody, they ought to get that message, because here we have the largest international commerce in the world that is to and from the United States in ships, 90 percent of it by volume, something like that, and if we have ways to assure that there is a better margin of error for navigational safety in the shallow waters of this country, we would be doing something important for the Nation, and it should be put in those kind of terms.

I would be happy to take a crack -- I'm not going to do it tonight, but within a week or two to get something started in the way of a little paper that describes these things. It's not as though that many people have not been saying this. We've been saying it for a long time. But it just -- it resonated with Bob Kramet that produced the MTS thing, which is losing momentum now, because security is the new kid on the block and so forth, navigational safety.

NOAA on these products and services. So possibly that might be acceptable.

If we have some specific comments that we want to convey out of this meeting on the plan as it's written, we'll take a further in-depth look at that and also as we develop our work plan into the future. Is that acceptable to everybody at this point? If you have some comments that you would like to make, we might outline some intent and then proceed tomorrow on that.

The last one is the biggest, I think, probably as far as folks' comments, on the observing system. Can we take a break and then --

MS. BROHL: Do you want to take lunch and then come back 15 minutes earlier from lunch instead of breaking it up?

MR. RAINEY: We could do that. I expect we'll probably have a lot of good discussion on the ICOS. I don't know about the tour, the flexibility on that.

CAPTAIN PARSONS: It appears as though there is going to be more discussion than we anticipated, so I would suggest that we cancel the tour in lieu of making more time available for these discussions, and the group over at AHB is flexible enough to do that, but that's your call.

MR. RAINEY: I would like to provide time so

This Panel, I would think, was constituted to try and give that kind of viewpoint, if it is a valid viewpoint, to the leadership in NOS and NOAA. So I would be happy to try to do something after we leave here to get a little paper going and figure out where do we go with this thing.

MR. RAINEY: Can I suggest this and see if this works. Tomorrow the day is primarily dedicated to precisely that, taking a look at where we are going to go with this thing. I have done some preliminary checks on some possible ideas that I have to throw out for some working groups, and it's very much in line with what Bill Gray is just now talking about, one of the issues in the way of kind of providing a more in-depth look at sort of NDAA's role and missions and what we think might be priorities. So I think that would lend itself to tomorrow's discussion.

Could I suggest that we possibly table this discussion? If people have specific comments that they would like to propose to this motion, they can prepare them overnight and then we could include them as recommendations to the plan from this meeting, and also with the notion that we are going to take a further in-depth look at it. Again, it's a multi-year document here, and that's what we are chartered to do, is to advise

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everybody felt like they were able to comment on these things, that we don't just race through them. I believe in talking to folks between the meetings that there is quite a bit of interest in the ICOS project. So I think it merits our close look at the proposed draft. So I would like to make that call, if there is no opposition on that, that we can go ahead and stay.

Why don't we break for lunch now and then we can come back and work on ICOS after lunch? Is that okay? MS. HESS: Admiral West's presentation then will be right after lunch or -- that was at 1:30, I think.

RADM WEST: He's flexible. I'm more important than the tour, though.

I don't have the schedule in front of me.

MR. RAINEY: Why don't we just go ahead and go with the ICOS and then proceed right down the agenda, and then the tour would be the thing that would fall off. Everything else would fall in order. Is that okay?

RADM WEST: Are you going to let us go on our own for lunch?

CAPTAIN PARSONS: Barbara, do you have some logistic information about lunch?

MS. HESS: I have menus on the table and there is a list in your book about close restaurants that I found, so you can look in there and see where you want

to go. There are some good recommendations.

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MR. SZABADOS: What time do you want us back?

CAPIAIN PARSONS: At 1:15.

(Whereupon, a lunch recess was taken.)

MR. RAINEY: Thank you for making it back.

I'm going to pass around some preliminary information for part of tomorrow's discussion on moving forward. Also, did any of the public members intend to have a presentation or make comments this afternoon, just FYI, to know what --

CAPTAIN PARSONS: I would hold the public comments for tomorrow.

MR. RAINEY: All right. We sent links to everybody as to where it is on the Ocean U.S. website. It's hefty, obviously. We printed out — this is a downloaded printout copy. We have a number of hard copies that maybe I can just distribute around the Panel, if we need it in the course of our discussion, assuming that everybody didn't download and print the master out, so we can refer to the actual text. If we have to, we also have it in the can where we can call it up to a section. If we need to put it on the screen, we'll have it there. So we

component, and I thought it was good to emphasize that aspect. I'll go through all of them, and unless there are specific comments, we can do it all at once or go back if you have feelings about any one.

Number two, in the absence of authorizing language for ICOS, keep in mind that even though there is this huge Strategic Plan out there, and if there are ways to fund -- there are really only ways to fund pieces of it, but there is nothing that supports the whole systems. But in the absence of an ICOS -- and let me just say, you're not going to see something probably in this Congress, so anything that doesn't happen between now and the end of this Congress, we'll have to start all over next year in a new Congress, even though an ICOS Bill called S1400 did pass in the Senate. There is a number of different types of bills, some attached to a NCAA Organic Act, some on their own, but we really shouldn't see any ICOS language, I don't think, between now and the end of the year.

In the absence of authorizing language for ICOS, the Panel requests that NOS maintain a focus on the core program which supports and provides hydrographic services. This was in terms of just keeping your eye on what our goal is, and our goal is the hydrographic services program under NOS. And the reason I think this

have those things to refer back to if we need to.

I did not prepare a blow by blow because I knew this was involved, and I knew a lot of folks were probably going to want to discuss that. So what I would like to do is -- Helen has some prepared comments on that, so I'll turn the floor over to Helen and we can start the discussion of the Integrated Ocean Observing System.

MS. BROHL: If it's okay with you guys I'll go to the front here, one, because I can see the screen better. I didn't have something to hand out but I did put something up earlier, and I guess I'll do it similarly to what Scott did by having talking points to start with. It gives us somewhere to go. I have engaged in real-time observation efforts for awhile, as I described at the first meeting, and I have done some comments separately. I took out those comments that seem more apropos to the Panel.

So just in general, the first statement says that the Panel supports the development of an Integrated Ocean Observing System that would provide real-time operations at all critical navigational areas around the United States. While on one hand Dr. Lou says why don't we not beat around the bush and just -- I think we do for this because there are so many aspects to an integrated system that are not felated just to the navigation

is important is because of ICOS becoming a bit of the NOAA
version of maritime security, where so much attention in

the Coast Guard is being diverted to maritime security and you're losing that safety component. Everybody's attention is drawn to it. Everybody looks at it as the

attention is drawn to it. Everybody looks at it as the rot of money.

pot of money.

Even though there is an authorizing language for ICOS, the fact is it's my perception that even in NOS, and I don't want to speak for any of the NOS staffers here, but I think a lot of attention at the administration level is really concentrating now on the ICOS vision, which is a good vision, but we need to stay focused on those things that have to do with hydrographic services.

Number three. A couple of points based upon number three, the opening, given that authorizing language already exists which is the Hydrographic Services

Improvement Act, which is how we're authorized, requires

NDAA to acquire such funds to develop and maintain real-time hydro observation systems for maritime use. It says for maritime use. It's very clear in the law that

NDAA, under NDS, already has a program for Quality Control and Observation Integration through CO-OPS, and that the Panel recommends that NDAA be the lead agency for integration of observations carried out by the federal and non-federal partners. I think there is upward of 17

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different federal partners that do some kind of observations. I'm not implying by this that NOAA take over those observations, but actually just be responsible for the integration through CO-OPS because of that experience and because of NOAA having some authorizing language to provide a real-time observation system.

And B, to the extent practical, data collected by federal and non-federal partners be accessed through CO-OPS so they can be used for more stakeholders, because they are a user driven system.

And C, long-term observation systems funded by NOAA research channels be required to integrate through CO-OPS in order to receive and maintain funding. And I say one long term, because the short-term research projects -- there are some university based observations systems, but the short-term ones, I understand, you don't want to take the bit of time and energy to get them integrated. You certainly wouldn't chase after those. But there are some long-term programs that are doing observations, and to quote one gentleman from the Maine Observing System, the Gulf of Maine Observing System, at the follow-up public work shop that was after our meeting in New York, said that their maritime stakeholders in Maine did not find that their information was valuable until it was integrated through CO-OPS.

become essentially almost all PORTS sites around the country. If you combine the two existing sites, that leaves right now 227 stations around the country that could either be increased and enhanced, but they should be fast track for funding because they provide the federal backbone talked about in ICOS. Frankly, those are the ones that are most valuable to us.

Secondly, what I have found through working through the Great Lakes Observing System Program at this point, and you probably would find it in some of the ICOS plans, when we put a matrix up of the different types of -- I mean, there is a wide range of variables that you collect in any observation system, but when you put them all into a matrix, all the ones that are really specifically for maritime use, they can be used by everybody else, by all the other stakeholders. But the mariner cannot use a lot of the data points from research or from resource management. So I think that the maritime component is the baseline from which you go forward. Clearly those have interests in other societal goals and would probably want to expand data points for their use. But I think that the maritime navigation component is the backbone that we should push for and can also be used by other stakeholders.

And E, NOAA's support increase funding for

At the ICOS hearing in July that was held by the resources subcommittee that covers this, a number of -- there were a lot of university based witnesses. And a couple of them, I thought I realized, listening to them, had some very valuable oceanographic observations going on, that they were funded through a research component, but navigation couldn't take advantage of those. So to value add what they do -- and a lot of these are funded -some are obviously through specific appropriations, no doubt about it, but a lot of them do come through the NOS research component.

In another compartment in NOS there is funding of observation programs through a research channel, but we don't have access to that information. So for those long-term programs, I would like to hope the Panel supports that they become integrated into CO-OPS so everybody can take advantage of them.

And D. that NOAA recommend to OCEAN.U.S. -and I think the NOAA component in ICOS and OCEAN.U.S. component are very much intertwined. I think that whatever some people in NOAA might want is what Ocean.U.S. wants and vice versa. But NOAA recommends that PORTS, which is the Physical Oceanographic Real-Time Systems that we talked about, and NWLON, being the National Water Level Observation Network, could be upgraded and enhanced to

Services Improvement Act is reauthorized. Now, that's a little peripheral, but I think as long as we are talking about using PORTS and NWLON as the federal backbone, and it is something that we talked about earlier today -- Bill Gray mentioned quite often that real-time information is

the tides and current line item when the Hydrographic

extremely valuable -- we might as well just remind them at some point if it's going to be the federal backbone you will have to increase the authorized level to make it more

meaningful.

And for your information, the estimates on literally encompassing the country at all -- I don't know how many PORTS, 250 PORTS or something, critical navigation PORTS which would cover 95 percent of the commercial water-born trade in the country. That can be done in ten years at approximately fifty million dollars. That's a cheap price, because the total ICOS package is about seven hundred million dollars. So up-front our goal here is to get real-time information out, and this information will be useful to a number of stakeholders.

Number four, the charting and mapping component be incorporated in the ICOS plan. It's not really mentioned anywhere. It's quite peripheral, but I'm hoping that he would consider it because it will better address -- it's all combined, the real-time system

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Also there are Homeland Security issues, and clearly having that -- if you're going to talk about whatever kind of observation system, taking it into -- I'm not sure how they would do it, but I think after this morning's discussion about charting and mapping, keeping it in the vision, that we consider that.

combines with good charting and mapping data to help meet

these seven societal goals, and in the ICOS plan there are

seven goals, and one of those goals is safe and efficient

The last one is -- this is just a side issue, but we heard at the workshop in New York City the day after our meeting, the gentleman, who came from Ocean Commission, came out and said that I really think that IOOS being an Integrated Ocean Observing System doesn't take into -- it should say coastal, and admitted that most of the data today that we need to meet the seven societal goals in ICOS are really coastal. I wouldn't want to negate deep ocean, but from our perspective, from the mariner's side, it's mostly coastal, and we would like to consider adding them in there just to raise it up front.

Then six, the technology development opportunities under ICOS must be available for a wide range of interests both public and private. We talked about this, because I think at one of our meetings, our

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RADM WEST: Why do you limit it to real-time versus to just critical navigation? Why don't you just support the whole concept of the Integrated Ocean Coastal Observing System, period?

MS. BROHL: Well, the critical navigation component, I think, came a little bit out of wanting to emphasize that -- I mean, I guess I just had always thought of this group as having more of a navigation vent. That could be wrong. After the discussion this morning in talking about the Strategic Plan where we had -- some people felt perhaps it's not enough of a Maritime Transportation System concept.

And, frankly, if you read the IOOS plan, and I'm sure you have, the maritime component is really not addressed much in there at all. The goal of this is to -and we would have to address this to NOAA because we are not addressing it to Ocean.U.S. So I guess it's to NOAA as to any impact it may have on Ocean.U.S. and their ICOS plan development. But the goal would be just to raise the critical navigation areas as an emphasis.

CAPTAIN MCGOVERN: I think I see where the admiral is coming from. I would maybe split that in half and say, number one, we support the Integrated Ocean Coastal Observing System period, and then maybe start a new sentence, because it sounds like you're almost

first meeting when they were talking about the product development and the kind of programs that are going on, one would think that you might want to offer it to a number of people.

The ICOS plan seems just heavily directed that all that universities and specific universities are going to develop this product. Not to discount the importance of the value of those, but I would presume that the private sector should have a stake in it and the ability to participate as well. It may be peripheral to this group, but as I look through the different points from the ICOS plan, this six plus the sub groups under two came to mind.

Now, Scott, would you like me to go back and add stuff or do you want me to go back piece by piece? MR. RAINEY: I think that's a tremendous amount of information and a good walk-through. I guess

what we probably ought to do is go back and bite off a piece at a time and let people comment individually on that maybe. That's probably too much to try to grab all at once.

MS. BROHL: The first one is just a general statement. Any thoughts or comments about it? CAPTAIN MCGOVERN: You have the word coastal

on there, which is good, ocean and coastal.

excluding the other part of the system. But I would definitely want to keep the emphasis on the fact that we don't want the fact that we want to support our needs as well as everyone else's needs. Maybe we just have the --

MS. BROHL: So we should want to just say provide information to a broad number of users including maritime, for the safe and efficient maritime, or just drop it?

CAPTAIN MCGOVERN: I don't want to drop it. I think maybe period and then -- almost like this system must provide, period, coastal observing system, period. The Panel feels this system must provide real-time information for critical navigational areas. It's a component of it, but for us it's the most important component, but I'm not trying to exclude anyone else either.

MR. MCBRIDE: Helen, I would like to retain that emphasis as well. I have looked at the ICOS, and I guess I'm a layman on this, but when I see them talking about transferring space observing stations from NASA to NOAA and the scientific components, I get worried that we are going to lose focus in a hurry on the critical navigation elements, and I don't want to lose that. I think it's vitally important not to take away from the those other pieces, but I'm just worried that NOAA becomes

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a space agency instead of -- you know, it's the navigational safety elements that I'm particularly interested in.

MR. SZABADOS: If I could, just a general comment, because we talked about this quite a bit at the New York meeting. I guess everything that I've seen up there represents what I was trying to say but stumbled around really badly at the New York meeting. So I appreciate your putting those together, because I think it's really, really good, and I think sort of brings together the two items. So I appreciate all the work you're doing.

MR. ARMSTRONG: I was just going to offer a suggestion that, could you replace provide with include? You mentioned something like that.

MS. BROHL: Which would include. That's actually very positive. Okay. That's good. Then it's not exclusionary but raises it. Any other comments about number one?

MR. GRAY: I agree with what Adam said or one or two others have said that the things that I think this Panel should be concerned with and real practical mariners are concerned with are going to get totally submerged in the ICOS, in the -- what were some of the other things we heard about -- the IEOS, the IOOS, all

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anybody from the Coast Guard on it. It had people from other more scientifically oriented disciplines and so forth. I kind of protested the whole thing.

MS. BROHL: Number two kind of addresses it. And we can talk about that through number two. It was a good point. But are there any other comments about number one in particular?

MR. OSWALD: Would this then exclude things that weren't critical navigation? For instance, CO-OPS, just last month, built another NWLON, the last NWLON it built. It was sort of navigation but it was shoreline erosion areas, which is another department of NOS, for the National Geodetic Survey, which had nothing to do with navigation.

CAPTAIN MCGOVERN: I don't see that as excluding anything, though.

MS. BROHL: I think by saying include, I guess -- Mike, would the existing NWLON station -- I mean all the PORTS cites are created for critical navigation, but for the NWLON systems, how many of them do you think are not -- I mean, you can say that --

MR. SZABADOS: Well, for ECDIS they're critical for determining the tidal gages for open charting, even the new ones. So they're all going to support that base.

these things. They are great huge programs driven by academia, a lot of money and everything else like that, and we're just going to get lost.

It's a little bit like I feel about AIS. AIS was first suggested as a device that could help practical mariners deal with collision avoidance issues. Now it's been hijacked and grabbed on to by the security interests and so forth, and it's gotten completely out of

I don't -- I almost feel that it's not even worth this Panel's time to even talk about things like ICOS and IMOS and all the rest of these things. They're not going to bear real fruit for a long, long time. They're just going to swallow a hell of a lot of money and have no practical applications for a very long time indeed. I put some of this in this memo that I sent to the Committee back in September or something like that. It worries me that the basic goal of NOS is going to be lost with the attention on these other big, glamorous programs.

Actually, I don't know whether it was in ICOS, or in one of these things, that I saw they put together a panel, and it had 20 people from the federal government doing one of these things, and I can't even remember which one of them it was, and it didn't even have

MS. BROHL: I guess the point is we don't want to exclude critical navigation because -- what meets the criteria, what critical means. I'm from the Great Lakes, so I think you can argue that there is going to be a lot of tidal areas that are going to be more critical in some areas of the Great Lakes. But that doesn't mean I don't want investment in the Great Lakes, because we have a lot of wind issues and other things, but technically it might not be considered critical.

The point we do want to get across here is that we support the idea of a real-time observing system and that, you know, we want there to be a navigation component, a strong navigation component.

CAPTAIN MCGOVERN: If you dropped the word critical out of that, maybe.

MS. BROHL: Well, we can say include real-time information to meet the societal goal of safe and efficient navigation. The IOOS plan has seven goals it has to meet. One of those is safe and efficient navigation.

MR. MCBRIDE: I think you're thinning it out when you do that. I think if you include that reference to all critical navigational areas, that's pretty firm and pretty clear.

DR. LAPINE: I'd feel better if the word

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MR. MCBRIDE: I'm not sure we want to cover everybody's bases. I'm concerned about navigational bases.

real-time wasn't in there. Include information that meets

societal goals and safe and efficient navigation.

It covers everybody's bases then.

MS. BROHL: But Dr. Lou is right, that it meets the societal goal of safe and efficient navigation. So that does narrow it to -- but we are not narrowing it to real-time information. There might be all kinds of data information that can be helpful to the mariner for navigational purposes that isn't technically real-time. We don't want it limited.

How about if it just says coastal service system? That will include meeting the need for safe and efficient navigation, maritime operations. Actually it says safe and efficient maritime operations.

MR. RAINEY: One observation that I had is, I guess in hearing both comments and Admiral West's question -- it's not only that -- what I'm hearing a lot of people saying is -- I think the argument is -- I mean, it is included. All these things are identified as part of the federal backbone. It's a piece of it.

The real issue to me or one of the fundamental issues of ICOS to me seems to be what is the

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but --

ICOS?

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program, NWLON, NWLON, PORTS and others, that those are going to be in the first priority of the backbone and not get lost.

MS. BROHL: We do say it later. It is said in that other section very clearly that we would like NWLON and PORTS, those things to be fast tracked to be a priority. Well, I mean, again, do you we need to even say anything about supporting ICOS? Our job is not to pat anybody on the back, necessarily, or that our comments will be negative. We are not trying to be negative.

DR. LAPINE: How about if we just put HSRP places the highest priority on the development. Put up front what our priority is in your first sentence. Instead of supports, places the highest priority.

MS. BROHL: Here is my concern.

DR. LAPINE: Maybe it's grand-standing,

MS. BROHL: Do you mean on development of an

DR. LAPINE: On development of an integrated ocean and coastal observation will include meeting the needs for a safe and efficient maritime operation.

MS. BROHL: Well, I don't think it's any different if you're talking about making the maritime side a priority. One of the things to get out of this is,

prioritization going to be. Even within NOAA, NOS is a big player, and I think they have the lead on it, but you have NESDIS who is going to play in this and all your other line offices. I don't know that this document starts to get into the governmental structure of it all, but I think what I'm hearing everybody say is, you don't want to just include it, you want it to be a priority component of the overall.

ICOS is a gigantic idea. It's a great -- it looks like it's a great system, and I don't think anybody argues against it until they realize, well, what if we don't get all of the money you need to do everything. It's coming back to we have a grand plan but we may only get a portion of the money to get there, so then who gets in first and who is at the tail end -- I don't know whether -- this is kind of what I wanted to see with this whole discussion, as we try to work through this stuff, is that we have sort of common ground as the Panel here on comments that we can make to move forward.

I think what I'm hearing a lot of people say is not that it just is included, because it is included, but I think you're saying that you don't feel there is --I think sometimes I should share the same concerns, you know, you're looking for some assurances or just -- you know. We want to make the point that the hydrographic

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since we advise NOAA and not technically Ocean.U.S., that this is an avenue to advise NOAA NOS, that even within NOS, that even though ICOS is a big priority over NOS, that we want these things to maintain high level. And that if you are going to invest NOS money, time, energy, personnel, resources to ICOS discussion, development, that you better still keep the maritime component high on the list. That's what I'm trying to say.

DR. LAPINE: That's what I'm trying to help you say, but I might have --

CAPTAIN MCGOVERN: I think maybe we are trying to massage one recommendation too much instead of just going back to the beginning and saying to support the development of an integrated ocean and coastal observing system, period, end of that first recommendation.

MS. BROHL: Because we do later on talk about --

CAPTAIN MCGOVERN: But I would even make the next recommendation, you know, the HSRP feels the highest priority should be placed in meeting the needs for safe and efficient maritime operations, and then just drop the rest out.

MS. BROHL: The Panel places the highest priority on those components that will support safe and efficient navigation operations -- support safe and

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efficient navigation operations -- that should be maritime operations. Let's just do number one. The Panel supports development of an integrated ocean and coastal observing system. Do I have a motion to approve?

CAPIAIN MCGOVERN: So moved.

MR. SKINNER: Second.

MS. BROHL: Any other comments?

THE PANEL: (No response.)

MS. BROHL: All in favor?

THE PANEL: Ave.

MS. BROHL: Opposed?

THE PANEL: (No response.)

MS. BROHL: So that's one. The second one, the Panel places the highest priority on those components that will support safe and efficient maritime operations.

MR. SKINNER: Moved.

MR. DASLER: Second.

MS. BROHL: Any discussion?

THE PANEL: (No response.)

MS. BROHL: All in favor?

THE PANEL: Aye.

MS. BROHL: Opposed?

THE PANEL: (No response.)

MS. BROHL: Technically number four is

CAPTAIN PARSONS: This is a corporate

number three, but in the absence of authorizing language

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approach.

MS. BROHL: Fair enough. Or should we say in the -- scmething about in the midst of ICOS discussions or developments or something --

MR. MCBRIDE: Just that we want them to maintain that focus on them.

MS. BROHL: I don't care one way or the other. Any other comments? Would you rather take out in the absence of authorizing language for ICOS?

MR. DASLER: Yeah, take it out.

MR. RAINEY: I agree with the sentiment there to an extent, but I wonder if our premise -- I think we have to be a little bit careful that we go into this with an absolute premise that it's a zero sum game and that the entirety of ICOS is pulling away from hydrographic products and services. In other words, I think that the IOOS concept is sort of integrating a lot of stuff that's already going on. I can throw out -there are components in the satellites sensors and that sort of thing.

ICOS is a multi -- it's much, much bigger than NWLON and PORTS, and I think those may be the things that we are most familiar with, most of us on the Panel, and we have been advocating for and supporting. And I'm

for ICOS, the Panel requests that NOS -- this is directed to NOS not Ocean.U.S. -- maintain a focus on the core programs which support and provide hydrographic services to prevent the diversion of administrative and financial resources. Just to clarify again, this is exactly what it says. I think it appears that there is an extraordinary emphasis on ICOS and NOS. Now, ICOS is a good thing, but we have to make sure that -- I mean, there is no real authorizing language that promotes the diversion of this attention. We just want it to stay where it belongs.

MR. MCBRIDE: Helen, what you just said is a true statement. It's true whether there is authorizing language for ICOS or not. You can drop that first clause, for example, and strengthen the statement a bit.

MS. BROHL: Okay. I was just trying to be more politic about it by putting that in there. I know there is a commitment to already fund some of those components in ICOS. Even though it's just in a draft plan, it's already underway.

MR. OSWALD: At NOS?

MS. BROHL: At NOS, and I can't imagine it doesn't impact all of the departments under NOS.

CAPTAIN PARSONS: I would suggest you replace NOS with NOAA.

MS. BROHL: Okay.

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completely in line with that, but I don't know if our premise that -- I guess what I'm trying to say is, that's a piece of it, and I'm hoping we can work collaboratively and advance our piece of it as far as we can go with it.

Obviously there is lot of other stuff NOAA is doing in this ICOS that hooks into programs and a lot of stuff outside of the things that we are trying to safeguard that they're working on, and it's not necessarily pulling away from those programs we are trying to protect.

MS. BROHL: I'll have to say, this really is a loaded sentence. It's intended to be a loaded sentence. It's intended to keep NOS from putting all their money, time, and energy in the ICOS pot when, one, it's not even authorized. It's only in draft form, and there is lots of stuff going on. I see your point. It is just one piece of the pie. It's a whole big issue. This is like a short-term thing. It really comes out of the concern that there is so much diversion away from the main programs that the only way you can function in NOS is if you hook your wagon to the ICOS thing.

MR. RAINEY: I don't know that I accept that premise. That's what I'm trying to say. I'm just not sure I'm convinced of that to that extent. IOOS to me, I'm still kind of learning this. I'm reading everything I

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can, but ICOS, in some sense, it doesn't make these other programs disappear. It integrates them. I guess I just can't, in my mind -- I think I know what we want to say. We want to make sure that the programs that we most care about and work closely with are protected in moving forward and that they're allowed to grow. I'm just personally not sure I understand this enough or in a way that everything is being kind of sucked out of -- that little piece of it to support all of this.

MR. DASLER: It goes beyond just water level observations. It may get into coastal erosion.

MS. BROHL: But it's kind of not about that. I think it's about wanting to make sure that the research component in NOS doesn't become so much more important than the other components in NOS, because the research component had such a strong role in ICOS. But if I'm expressing it wrong or it seems inappropriate then --

MR. SKINNER: Scott, I'm reading it a little bit differently. I think it's brilliant in that it talks about the importance of an ocean observing system, coastal and ocean observing system. It's a big priority for the NDAA leadership, and we've established that we support that.

The second part sort of gets to what Lou said earlier which was, you know, what is it that we want.

Lautenbacher and Dr. Spinrad aren't paying attention to

MR. RAINEY: I guess that's what I'm trying

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their core programs. I would suggest otherwise.

to --

CAPTAIN PARSONS: Remember, you're advising the Administrator, and this suggests that he is not taking care of business the way he should. Do you have reason to believe that's the case? Do you want to offer him that advice?

CAPTAIN MCGOVERN: I don't see it like that. I really don't. I think this even could help him when he needs to go farther up the -- this is support. He can say, look, this is what my advisory committee is telling me. They don't want to lose sight of this stuff. It helps -- I think it would help him.

MS. BROHL: What if the reverse could happen that if they're working on an integrated system and there is no authorizing language and integration truly means interdepartmental, not just within NDAA, and everybody says, okay, we are all -- everybody is going to have to pony up a big chunk of change to integrate something that may, in fact, have to take away from the core programs on the NOAA side. I'm just trying to protect the core programs. If I'm expressing it incorrectly -- I guess I want to get that across in a way that's meaningful.

Well, there it is. And then the third -- actually, I liked it better with the introductory clause there that said in the absence of -- the authorizing thing. Because even if that may not make a lot of difference, it conveys the message that while this big aneba called Ocean.U.S. sort of moves forward, you have some needs out there that have to be taken care of, and we don't want you to forget those. So I guess I read it a little bit differently. I think it plays all of the right notes and gets to the point that people have been talking about.

MS. BROHL: Because there is no authorizing language, and I hate to see a lot of money diverted from the core programs to provide money towards creating an ICOS where there really isn't -- if you don't have authorizing language, then the money is going somewhere

MR. RAINEY: Can I meet you half way and suggest that instead of wording -- it's a minor tweak, but how about the Panel recommends that NOAA maintain a focus on the core programs and does not divert resources -- you know, on the programs that support and provide those services and does not divert resources from those programs.

CAPTAIN PARSONS: I would be careful on this number four. You are presupposing that Vice Admiral

MR. SZABADOS: This might be appropriate.

Part of the PPE process, if you have a zero sum game, if you wanted to fund something new, you take away from something else, you redirect resources. So this is identifying that this is a priority program that you want to maintain, not to redirect resources. So I think it's very appropriate.

MS. BROHL: So maybe we want to get back to in the absence of authorizing language, because really, the money has to come from somewhere.

putting that back in is if you do get the authorizing language, then it's okay to take money from those funds.

MS. BROHL: Well, you would hope that the authorizing language provides --

CAPTAIN MCCOVERN: I mean, what I like about this is, we recommend that we want to keep these core programs whether there is authorizing language or not. That helps in the argument when they are looking at what the authorizing language will say. Well, this says that we want to keep these core programs, so then hopefully we'll authorize the language, but the other one to me says, well, gee, if you have authorizing language and it goes the other way, that's fine.

MR. GRAY: The Executive Summary of the ICOS

CAPTAIN MOGOVERN: The only problem with

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describes that it's envisioned as a coordinated national. international network, et cetera. This is a vision that somebody has, and the things we are trying to protect are existing, functioning, and needed programs that can get totally lost in trying to populate and fund this global vision. Whatever words covey that we are worried that we'll get lost in the shuffle --

MR. WHITING: Isn't this really beyond ICOS, isn't that really beyond hydrographic surveying and the Hydrographic Services Improvement Act and we shouldn't even be going there?

MS. BROHL: Well, the IOOS talks a great deal but does it really clarify the investment to the federal backbone. The federal backbone will definitely include CO-OPS and NWLON, definitely. That's part of it. Until there is -- but there is all kinds of components.

If you read the plan, there are a lot of components that go way off into areas that truly are not what we are here for, no doubt about it, but because the federal backbone, as we've talked about in the follow-up points, that we would like there to be -- that Ocean.U.S. be even more clearly invested in a federal backbone that involves these kind of observations which can help maritime.

I think that because there is no authorizing

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the pie. We are interested in a little piece of this pie here, the hydrographic component of ICOS, which is CO-OPS and the FORT system, that could be fully implemented.

MS. BROHL: On the other hand, it's also an entree to get more attention to these programs that -- I know maritime has been working years to get funding for -just to maintain and operate the PORTS site only costs three million dollars a year, and yet NOAA's research component spends much more than that to fund university based observations.

We are just trying to raise this up and say, hey, if three million dollars is too much for you to invest in PORTS but you don't mind spending much more than three million dollars on research observations on things that don't even tag into CO-OPS for our use -- we are just asking that you make sure you have your priorities in a place that supports these in the midst of an ICOS division that can also divert resources.

MR. DASLER: On Page 21 on Table 4 they do incorporate habitat and bathymetry mapping. They have a specific hydrographic surveying line item, corral reef mapping and a lot of hydrographic survey components. This could be an opportunity for NOAA -- there is a lot of data acquisition, bathymetric data acquisition that goes on throughout academia and other agencies. This could

language, there is no way to fund these without doing bits and pieces, and then the fear is keeping a focus. I mean, if you really -- you can spend a lot of time talking about your vision and writing plans. In the Strategic Plan it has a Catch 22. Congress wants Ocean.U.S. to come up with a plan, but there is no authorizing language to fulfill it. So it's putting the cart before the horse, but they're being asked to do that. But you throw all of that into a pot -- I mean, this may never get authorized and then we are back to bits and pieces and everybody is fighting in the kitchen for just a small piece of pie.

I think what the Panel is trying to do here is to just remind that in that big huge vision, of which NOAA is very much engaged, that we want to make sure that the core programs are maintained and supported. And at such time as it becomes federal backbone, more directly federal backbone, they will be ready to go or something. I just want to protect the integrity of what's going on, the existing program, in the midst of being so diverted into other pots.

MR. WHITING: I don't see right now that even happening as it is planned.

MS. BROHL: I think you're going to see bits and pieces of it come to fruition.

MR. WHITING: But that's a little piece of

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provide an opportunity for NOAA to set standards for that, that they might be able to incorporate that data.

MR. SZABADOS: I've been to quite a few of these meetings, and they include bathymetry along with tides and currents as part of the ICOS parameters. To answer your question, Larry, I think it's important that right now -- it is a vision of having this integrated observing system, but it's something that has taken hold throughout the community, oceanographic community, of a way of everybody trying to work together to ensure data, which I think is a good concept. However, it's also good to make sure you don't lose the core capabilities as this vision grows.

RADM WEST: You have to be careful here we don't shoot the guy coming with the money. One problem we are going to have, and I'll talk about it in the slotted time, the Ocean Commission came along to give a lot of momentum here, and it's going to bring hopefully some money. There is no way NOS can move money around. You know that. Just look at their budgets. So what you just said about them moving it around, they can't, literally cannot. What we really are after is more money for everybody.

So what I'm starting to see, and I've spent a lot of time on this, is everybody says I love ocean

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24 25 observing but only if you give me my piece of it. And that is a group that goes over to the Hill where everybody's constituency has a chance to shoot it. You have to get the momentum going that the Ocean Commission Report is, in fact, important. It says it's unfunded. It does not say that anybody else can come up with internal money. It says it's 3.9 billion dollars. I'd be a little careful in sending a message that looks like ICOS is going to eat up our core programs.

What we want to have is to tell NOAA, remind NDAA, tell everybody else, how important bathymetry, safe navigation is. That's the right message, and it's so important that it better get its fair piece of this extra money, of this 3.9 billion dollars, because it's so important. It's not necessarily we're crunching down on defensive profit sharing that we're afraid we're going to lose our money. I'm just a little concerned about how the tone is as you approach this thing.

By the way, there is no confirmation that NOAA is even going to get this mission. I'll tell you, there is a push to put it in weather service. How about that? Now where do you think you're going to be competing for it? That would be interesting.

MS. BROHL: Given what you just said, do we even need number four? Does number three say what you

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THE PANEL: (No response.)

MS. BROHL: Okay. Then it's gone. Now, there is already existing language that provides for real-time observations, and that NOAA has a program for quality control and standardization and integration because of those two things, and the agent ICOS is the entree by which they get funded and can do this. This reinforces that NOAA -- doesn't the Ocean Commission say that NOAA should be the lead agency? So we are just moving from the agreement, NOAA will be the lead agent for integration of observation carried out by the federal and non-federal partner, and non-federal would be just any of the partners, because we know that NOS has some partnerships right now that they are involved in that are very successful, and there will probably be many more of them as we go on. Do you want to do each one bit by bit?

MR. RAINEY: You're not proposing that you take satellite data from NESDIS and run it through Mike's shop at CO-OPS, right?

MS. BROHL: No. Really, those things that have meaning to navigation, and that could be some things that do go beyond the NOS or NOAA functions at this time.

MR. RAINEY: What really we are saying is to run this through CO-OPS rather than the regional association. Is that --

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RADM WEST: Well, I take a little bit more of the devil's advocate here. Take mine with a grain of salt. Just be very careful in the tone you set.

MR. ARMSTRONG: I do think number four sort of says again what we say in number three.

MS. BROHL: Should we just get rid of it then?

CAPTAIN HICKMAN: Well, if we are basically repeating ourselves and we are concerned with the tone, I would recommend, yes, get rid of it.

MS. BROHL: Any objection to getting rid of it?

MR. DASLER: I think we should have some kind of statement. Again, it's interesting here under table two they talk about their core variables, where bathymetry and shipless waves are the only core variables that impact all of them. So it plays a pretty vital role.

MS. BROHL: Information we use, of course, about all stakeholders, but the reverse is not true.

MR. DASLER: Right.

MS. BROHL: So that's why it just reinforces why it should be the federal backbone and this is a baseline for which to provide observation data. Any objects to eliminating number four?

MS. BROHL: Yes, the integration side. It should be integrated -- so we should clarify that those things that --

MR. RAINEY: Because the data that ICOS is going to have is, you know, it's all sorts of stuff that you wouldn't run through CO-OPS.

MS. BROHL: But the maritime components would run through -- anything that could have value -actually, probably just about all of this could be run through or linked with CO-OPS, but in particular we are talking about those things that provide help for a navigation operation.

CAPTAIN MCGOVERN: Well, you have that in the opening paragraph, for maritime use, systems for maritime use.

MS. BROHL: So integrating observations for maritime use is carried out --

CAPTAIN MCGOVERN: The other is a sub-bullet of that, but it really reverts back.

MR. RAINEY: So the Hurricaine Center is going to run their hurricane satellite imagery through CO-OPS?

MS. BROHL: Isn't the weather service data integrated already? The weather is integrated into CO-OPS, isn't it?

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all their data. CAPTAIN MCGOVERN: But would you if it went the other way, if we did go into this bigger program?

This isn't what you're doing now. This is what you're going to do.

MR. SZABADOS: We don't collect and manage

MR. SZABADOS: The Hurricane Center provides a certain capability, provides a service beyond just navigation. We can utilize some information from the weather service, but it has -- the weather service has a purpose in forecasting weather.

MS. BROHL: Well, it just helps us express it in a way, because NOAA should be the lead agency on the integration issue because they're experienced in user driven integration programs now, and they have the experience and perhaps the knowledge. That doesn't mean that all data points are going to be appropriate or applicable.

CAPTAIN MCGOVERN: To me it's flexible when you have to the extent practical. So you can just leave it like that. To me that's stuff that's not -- you can't put everything in there. That kind of gives the flexibility there, doesn't it?

MR. ARMSTRONG: Are we talking about tides and water levels there?

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MS. BROHL: Well, how about this. To the extent practical and for the benefit of maritime operations, data be collected by federal -- through CO-OPS and services -- or we can get rid of that. They access through the center of CO-OPS. It could be a link. We are just trying to make it be a more one-stop shop.

MR. RAINEY: Could I ask Mike Szabados one question on that? Mike, could I ask you one question on B? Is what is proposed there, is that consistent with your understanding of the draft plan, because I know they talk a lot about -- and you talked in New York and briefed about the regional associations, how they're going to stand up and they have some role to play through the federation and all that. Would this recommendation be consistent with the governance of structure of the draft implementation plan in that it would still allow for those, that they would then QA/QC it from CO-OPS? Is that how you envision this language to be effective?

MR. SZABADOS: Well, the regional associations are looking for the federal government to quality control and sort of certify the information, but they see that there are -- part of the governance is also for the regional association to provide some of those products and services. So the question, wouldn't product services come just through the federal component or

MS. BROHL: We are still actually at A. We are just trying to get through A, that NOAA be the lead agency for integration of observation carried out for maritime, for safe and efficient maritime operations.

Doesn't the Coast Guard have some observations? The Corps of Engineers has a lot of maritime related observations that we might want integrated. I'm not saying that CO-OPS should run them or operate them, but certainly some of the data, it would be nice if it was integrated. USGS has some maritime related data that would be nice to be integrated.

Scott, would it be better if we say that NOAA would be the lead agency for integration of observations carried out by federal and non-federal partners for safe and efficient maritime operations, or integration of observations?

MR. RAINEY: My comment only went to B. A, I don't think is --

CAPTAIN MOGOVERN: I thought we were on B. I don't think A was a problem.

MR. RAINEY: I didn't have any issues with A.

MS. BROHL: I'm sorry. I didn't realize.

MR. RAINEY: The only point I was trying to make with B is, again --

through the regional association, in that respect it is not consistent.

MS. BROHL: The ICOS plan clearly states that the background is federal responsibility.

MR. SZABADOS: But there could be regional observing systems which can provide services too.

MS. BROHL: And, again, we are not trying to say that CO-OPS should operate them all and that all maritime related services be through CO-OPS, but that they be integrated or quality controlled through CO-OPS, and that they might even be accessible through CO-OPS. There could be some kind of link. Like, you don't need to go to every university that has something that can come through or every other operating system. It would be nice to have a one-stop shop for maritime use. So I think that's what we are trying to say here, and I don't think it excludes regional associations or --

MR. RAINEY: I just want to make sure. That would be my understanding, is that that wouldn't be --

MS. BROHL: Data collected by federal and non-federal partners. It's going to be collected by a non-federal partner to be accessed through CO-OPS. That's essentially what we are saying, that data collected for maritime operations be available through CO-OPS.

MR. ARMSTRONG: It seems to me, the way it's

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21 22 is through CO-OPS. Would it not be better to say be integrated with the Center for Operations, because I'm not sure you want to put all the data -- you want all the data integrated with CO-OPS, but I'm not sure you want that the only access point. CAPTAIN PARSONS: And this community doesn't

written, you're saying that the way anyone gets this data

take ownership of the data. There are dozens and dozens of other comunities that have uses for the data that you're referring to as well, correct?

MS. BROHL: It says for the broad number of stakeholders. It's just trying to make it accessible right now. To me CO-OPS is about having a user driven system that provides a product for stakeholders, and we want to enhance that through the integration, not only just say that NDAA be the lead agency to work on the integration component, but that CO-OPS, in particular, because of their experience. Maybe we can be more specific, that it be quality controlled through CO-OPS or integrated through CO-OPS. To me integration means sharing of data, not just quality controlling it.

MR. SZABADOS: This is for navigational services. There are many other uses of this data which I think we may want to --

MS. BROHL: I wouldn't want us saying that

no one else could get it.

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CAPTAIN MCGOVERN: But for maritime use you would get it through CO-OPS.

MS. BROHL: Through CO-OPS.

CAPTAIN MCGOVERN: And they wouldn't have to go everywhere, and it would be all the QA/QC --

MR. SZABADOS: The same standard.

CAPTAIN MCGOVERN: The same standard, which is what you want.

MS. BROHL: Let's say in the Great Lakes, I wouldn't presume that the Great Lakes Observing System people wouldn't still have their own website and their own access to modify the data for different uses. But we would want CO-OPS to be able to have the data available and to enhance the maritime operations component.

MR. SKINNER: Would you still be allowed to -- I don't know if a license, but set protocols for someone to -- for like an RA, to have the data out there? So it wouldn't -- if you're on, say, a GoMOOS website, you would be able to access the data.

MR. SZABADOS: We are working actually with GOMOOS to get the data -- they actually send it to quality control and send it back. So we are sending those standards through e-mail.

MR. SKINNER: So it goes through you and

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back out to them?

MS. BROHL: So there is some way on your site they can get the GOMOOS stuff?

MR. SZABADOS: Not all the GOMOOS stuff, but we have been working with them to take their data and to quality control it and integrate it into navigational services.

CAPTAIN MOSOVERN: The way I read this is that if you're a maritime user and you want this data to be quality controlled, because you need it to be for liability purposes and everything else, that you would go to the CO-OPS site, not necessarily the CO-OPS site, but you go to a site where CO-OPS is pumping the information in.

MR. SKINNER: An authorized CO-OPS site. CAPTAIN MCGOVERN: Otherwise, if you're a researcher or some other user, you can go somewhere else, you can go to a different website. But for the maritime user, this is where you would go, because you want to make sure that what you're getting is standardized.

MS. BROHL: So after that where she has the thing -- federal and non-federal partners with data collected by them with maritime applicability --

CAPTAIN MCGOVERN: I don't see why you have to keep repeating it.

MS. BROHL: I know, but it seems to be --

MR. DASLER: Isn't that going to incorporate bathymetry? In the core you're not going to deal with distribution of bathymetry, at least CO-OPS wouldn't.

MS. BROHL: Yeah, but that's a federal partner. In essence, Roger's shop is a federal partner to vou.

MR. SZABADOS: To the extent practical.

MS. BROHL: I'm just trying to make this where -- right now in terms of a real-time system, in dealing with water levels, they think of CO-OPS. Certainly the charting map is important too.

CAPTAIN MCGOVERN: It has to be to the extent practical. So that's another -- I think you have the flexibility in there.

MR. ARMSTRONG: You have the broadest number of stakeholders written in there too. So that's --

MS. BROHL: Well, then we can get rid of that. Any comments about how it is now?

MR. ARMSTRONG: Jon brought up bathymetry. Bathymetry is not going to go through CO-OPS.

CAPTAIN MCGOVERN: That's the outlet to the extent practical. They have that flexibility.

MR. ARMSTRONG: Well, it would be practical 24 25 to distribute bathymetry through CO-OPS, but I don't think

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MS. BROHL: But probably ENC won't be downloading through CO-OPS and other things. I guess we are trying to say the real-time observation -- there are observation systems out there that are collecting water data that is practical for maritime use, and we would like to see them integrated. And it's just a follow up to the first one, that we would like to see them integrated in a manner that is usable. At this point that data, especially for maritime use, goes through CO-OPS, because the goal of this is actually to basically have PORT systems line the entire critical areas around the country.

CAPTAIN MCGOVERN: You have the real-time up there. So bathymetry generally isn't real-time. It's not a real-time observation system.

MS. BROHL: We could make ourselves nuts trying to be perfect. We're really just tying to get a sense of this, that we are trying to say that NOAA should be the mainstream to help integrate, and that way it will be user driven and have use for maritime operations and that where it's practical and doable and it makes sense and appropriate, I guess, the data collected by the federal and non-federal partners, whether it's USGS stuff, the Corps of Engineers stuff, or a university that has -like GoMOOS has somewhat real-time information that will

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make some comments. Are we at C yet?

MS. BROHL: Are we just going to let B go at this point? Unless there is somebody that just wants to get rid of it completely -- let's go to C. I think that's pretty straightforward.

MR. ARMSTRONG: Representing a research organization, I have some concerns with this, and one is that if I'm doing long-term observations and let's say I chose to do them at one-hour intervals and they're not useful for navigation at one-hour intervals, do I have to start doing them at six-minute intervals thus multiplying my costs by some significant factor and limiting my ability to do research? So I'm concerned that this will put demands on the research programs that will hinder the nesearch.

Now, I certainly have no objection to sending all the data I get to CO-OPS, but I don't want to have some standard of inter-operability imposed on a research project, which it is, after all, a research project not a navigation project.

MR. OSWALD: I deal with CO-OPS data base all the time, and there is data in the data base that is only our findings.

MS. BROHL: There is a lot. The water levels for the most part --

be valuable for maritime use, that it also be integrated and accessible through CO-OPS. But, I mean, is this really a --

MR. MCBRIDE: Helen, are there other items in your A, B, C list that rely on B here in some form or fashion? What else is in here?

MS. BROHL: Well, C is kind of it, but that's being much more specific, that those people doing long-term observation systems funded through research channels be integrated so they value add themselves, so they become more valuable.

Right now a university is not inclined -- I think the witnesses said at the hearing they don't want to be -- they don't want to provide navigation directly. They don't want to be liable for that. That's not their business. I can respect that, but in order to make it more valuable, it has to be quality controlled through CO-OPS or through something like that. So we are just basically saying if you're going to spend money on research and it's good research, that's fine, but you may very well have data that can be valuable to maritime. To that extent if you're spending the money, why not integrate it and make it even more valuable for us, make it more valuable, period.

MR. ARMSTRONG: When we get to C, I want to

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MR. OSWALD: Does CO-OPS accept anything less than that?

MR. SZABADOS: Well, we accept it. Now, in the PORTS program we update our data every six minutes.

MS. BROHL: You're not doing GoMCOS and they only update theirs once an hour.

MR. OSWALD: As long as your standard isn't any data is better than no data.

MR. SZABADOS: Again, you have to represent it properly, make sure it has the right time stamp. Again, as this program grows, our products are going to grow with that. They're going to have to be enhanced to be able to accommodate any growth. So I don't really see a problem. Again, with the time scale, more or less the quality of information, make sure you have the proper meta data.

MR. ARMSTRONG: I don't have objections to the meta data, and I don't have objections to quality. It just concerns me if I have to do things beyond my research needs in order to continue to get funding, because otherwise I may not be able to do the research at all.

MR. SZABADOS: I would agree with you. It would be unrealistic.

MS. BROHL: I'm sorry, Mike, what did you just say?

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MR. SZABADOS: I wouldn't expect that all the research be driven by the requirements of Operations.

MS. BROHL: Okay. So, again, to the extent practicable --

CAPTAIN MOGOVERN: I was just going to say that.

MS. BROHL: Long-term observation systems funded by NOAA research channels should be encouraged to integrate through CO-OPS, but get rid of the to receive additional funding? Because we really want to encourage it when it's capable. The GoMOOS thing may be an exception. It's a long-term observing system. They have some maritime stakeholders who are involved, but the maritime stakeholders said with integrated cost the information was really worthless. So that's what I'm trying to get to, in those circumstances where It's practicable, and it would be great to have access to the information.

MR. SZABADOS: I think taking out the last part --

comment on ICOS, and I just happened to catch it almost by

MR. DASLER: You want to say practical up there, right, not practicable?

MS. BROHL: Should be encouraged to integrate through CO-OPS.

CAPTAIN MCGOVERN: I would put required.

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accident, and it's a notice of availability for public comment on proposed data management and communication standards for the U.S., ICOS, and that is getting into all of this technical stuff on how it's going to be integrated, the protocols that have to be done. And I haven't even begun to be able to get into this, but this

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whether CO-OPS is enable to --MR. SZABADOS: I'm guessing that's the IOOS DEMAC plan, and through NOAA we are going to be compliant. MR. RAINEY: I guess what I'm trying to get a feel for is, do we want to safeguard our existing operating programs that we talked about, priority pieces to us and the backbone through the CO-OPS model, and if they bring on -- because they are bringing on the regional associations that they would feed through the existing system rather than set up a separate system, separate and

is how they're proposing, I think, to integrate this on

the communication data. I don't have a clue yet as to

MS. BROHL: Do you mean a separate new federal system like CO-OPS, like a partner to CO-OPS that can handle more data?

MR. RAINEY: Or are we suggesting that everything in ICOS that has a maritime vent somehow goes

MS. BROHL: Okay. So how does C look? It's open enough. We are just trying to encourage them when it's practical to do it. Okay. Anybody want it changed? Is it okay? No one is jumping up and down.

MR. RAINEY: Two questions I had in trying to get a hold of the premise, when I approached this, my concern was more specifically that if these regional associations stood up and got somewhat antonymous or they went off in a separate paradigm and didn't go through CO-OPS, which is already integrated, like you said, in a quality control of data, and it has certain standards that have been specifically tailored for PORT systems, for maritime efficient navigation, my concern is, as I was thinking of this is, I was most concerned with trying to safeguard the existing CO-OPS QA/QC on an operating systems and subsequent ones that would be added on.

In our comments here, is the premise that we -- is it more than what I just said? Are we trying to funnel all new sources that would be linked under this IOOS concept through CO-OPS, because I'm wondering if that isn't above and beyond what CO-OPS would be capable of doing.

The other little wrinkle, and there was not time to get this out to everybody to try to tackle it, but on November 10th, another thing we received was a public

through Mike's shop?

MR. SZABADOS: DEMAC is a way to exchange data through the federal agencies and the regional association. It's not how you deliver the products and services to the customer. That is a behind-the-scenes management of the information. I think that's what they are asking for comments on.

MR. DASLER: I guess the same would be true, it seems to me, like the bathymetric data set, you're going to have to have a central clearing house and repository. Roger, maybe you can -- that seems like that could really overburden.

CAPTAIN PARSONS: Which is currently the National Geophysical Data Center.

MR. DASLER: Because a lot of it is not going to be suitable for charting.

CAPTAIN PARSONS: Hopefully all bathymetry would one day be collected at a higher standard where it could.

CAPTAIN MCGOVERN: My vision of Mike's shop is that as this system grows, so would his shop. As there is more information that has to go through CO-OPS, CO-OPS would necessarily have to grow.

MR. RAINEY: I guess I was just trying to gauge what was the scope of -- it seems like some things

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would naturally flow there, because they're already doing that. There is a good fit. An awful lot of what's in ICOS, to me, I wouldn't think you would funnel it through, unless you completely change it.

> MS. BROHL: This is maritime. CAPTAIN MCGOVERN: It would only be

MS. BROHL: In the previous one before this we did clarify maritime application, for maritime. I thought we were partners in maritime applicability, trying to be clear.

MR. RAINEY: I understand that. I just think that there are people who are going to say maritime and think, okay, we are going to track marine mammals. There is so much under this IOOS that it's going to be marritime --

MS. BROHL: Maritime operations.

CAPTAIN MOGOVERN: Well, this is real-time. It talks about real-time maritime. Everything we are talking about, real-time maritime.

MS. BROHL: Do you think that the people reading this at NOAA wouldn't understand that we are looking at the maritime component not the marine mammal component?

MR. ARMSTRONG: I think there is a good

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Hydrography has never been real-time, unless you're planning on making it real-time.

CAPTAIN PARSONS: It isn't today. It's not to say we won't in the future.

CAPTAIN MOGOVERN: Well, if it is in the future, then it's probably going to have to go through something like CO-OPS. It could be QA/QC before it goes out.

MS. BROHL: Well, could you say through CO-OPS or essential data base or something? The point is that the mariner has to have access to stuff without going to a lot of different points. It should be integrated and meaningful when you combine all the data.

I don't want to get caught up in the term CO-OPS here necessarily or departmental jurisdiction, because that's not the idea at all. It's just to say that right now CO-OPS -- just like the charting and mapping component, are user driven components. If the term CO-OPS seems too restrictive, we can get rid of that. I don't think anybody here thinks that, by God, it better be CO-OPS. I don't think that's it at all. Just trying to have a sense here that if the access -- that it be accessed through NOAA or a one-stop shop. That it be more --

CAPTAIN MCGOVERN: But the other big part of

chance of that.

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MS. BROHL: That they would not.

MR. RAINEY: I just want to try to get a sense of what you're saying, and I gather your sense is that --

MS. BROHL: Scott, if you could actually give us an idea of what you would like changed, because I'm not sure where we are not meeting that need or where

MR. RAINEY: Well, hydrography is going to be something under ICOS that you would fund to do, to do surveys, because that's an integral part of GIS and all of that. Are you then going to run -- it wouldn't make sense to me to run the hydrographic survey data through CO-OPS.

CAPTAIN MCGOVERN: This is real-time only.

MS. BROHL: I don't think we are suggesting that Roger's stuff go through CO-OPS. That's why it says practical, and we are really talking about the real-time.

CAPTAIN PARSONS: I think what Scott is saying is that the term maritime applicability is a wide-open term, depending on who the reader is defining

MS. BROHL: Again, it says to the extent practical.

CAPTAIN MCGOVERN: And it says real-time.

MR. RAINEY: Where I guess I get tripped up, you're saying -- at the top of the slide you're carrying that down through, and when I get down to B and I read data collected --

CO-OPS is the fact that it's QA/QC.

CAPTAIN MCGOVERN: It would be better if it was written in front of you because then you would see

MR. RAINEY: You're not really talking about the data that's collected, because when it's hydrographic survey, well, that's real-time data you just collected. But you're talking about actually data that you're accessing that's being disseminated in real-time.

MR. SZABADOS: It's being budgeted and

distributed in real-time. Is that what you're getting at? CAPTAIN MOSOVERN: Yeah. If you go back and you scroll back up so you can see the opening paragraph, Real-time Hydrographic Observation Systems For Maritime Use. So that's not hydrography. Maybe some day it will be, but then maybe that day it will go through CO-OPS and CO-OPS will be big enough.

CAPTAIN PARSONS: Well, I know it's transparent to this group whether it goes through a center called CO-OPS or goes through a process that CO-OPS now embraces --

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CAPTAIN MCGOVERN: Yeah, if you want to get wording we could -- how do you describe CO-OPS. Give it to us in a nutshell.

MS. BROHL: We could put a more comparable quality controlled program or something.

MR. SZABADOS: This is real-time observations, which are -- not just a collective distribution. I don't think you're going to -- first of all, we are talking about tide and current information, meteorology, wind speed and direction. As a hydro ship does bathymetry, you're not going to change the chart to real-time for everybody out there. You may collect it and bring it back into Coast Survey, but you're not going to change the chart as a ship is collecting it.

CAPTAIN MCGOVERN: Well, Roger may some day. CAPTAIN PARSONS: Not today, not next year. We are talking about now.

MS. BROHL: In access through CO-OPS or a comparable data center for ease of use or something. Scott, do you have a --

MR. RAINEY: What I threw out, nobody grabbed, so I'm going to let it go. My idea, the way I would set that up is, for existing -- I mean, when I look at this and when I try to write comments, we have been really long-term advocates with you for the PORTS program

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and NWLON, the programs we care about, so I look at this trying to collaborate with ICOS but to advocate for those programs, and I wouldn't want to see them sort of disappear into something that I didn't understand that it was going to get QA/QC'd and have the same data requirement and integrity that we have.

I was wondering if that was our point here is to sort of safeguard the existing data, you know, QA/QC it functionally as we move forward, and as we add to that piece of the backbone, maybe that is the stuff that would continue to go through CO-OPS, the QA/QC, and the real-time dissemination. That didn't seem to have any traction. The more comments were that it seemed to be that you're aiming bigger, that you want more -- anything that's related to maritime under the ICOS to go through CO-OPS, is what I'm --

MS. BROHL: We are trying to say that we want NOAA to be the lead agency for integration and observation because of the experience to do that. So we can go and ask the question in another way maybe. If all the existing data that's being collected now by the federal partners and non-federal partners that have some application to what you do on the bridge or how you navigate, wouldn't you like to have it be Q and A'd and be -- you know, that it was data that you could trust and

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you could use it? If you want it to be data that you can trust and you can use, how would you want to get it? How would you want to get that data?

MR. RAINEY: To me that's sort of a continuation or extension building upon what sort has been placed in the backbone, so that's what I would suggest we would want to continue to run through.

MR. DASLER: So why not just list the core -- I mean, there's not that many. You could just list them.

MS. BROHL: I was trying not to be over restrictive.

MR. RAINEY: That's my point. I think you want to leave that out there to be a broader thing, and I can't just conceptualize here if I would --

MS. BROHL: So basically what we're saying up there is that they already do quality control. It's done at NOAA. We are specifying it, that the existing data that's out there being collected should be for maritime applicability, should be quality controlled by NOAA and made available and distributed in a manner that helps maritime operations? Help me out here.

MR. RAINEY: The thing that I like about the PORTS, and that is that it is QA/QC. And, in fact, going back to the discussion this morning on the certification

program, I would argue that the PORTS information has kind of got the full faith and credit of NOAA behind it, and that's certified for a particular use, navigation.

A lot of other things, as Andy Armstrong pointed out and others, that's not the purpose -- it's still a legitimate ICOS data set, but it's not necessarily ever intended to be for navigation. So those would be the types of things that might be maritime related but maybe not require the CO-OPS --

CAPTAIN MCGOVERN: But it does, if you want to like -- let's say Andy can only give me observations once an hour. That's better than none. But if I know that data is quality controlled, even for those one-hour updates, I can still get a trend on whether the tides are running early, late, high, low. Maybe I don't have it in six-minute intervals, but I have it.

Any information is better than none, but it can't be garbage either. So whether it's spaced out or compressed, as long as you know it's good information -and I think that is what this is saying. We already know that PORTS is QA/QC, so this is --

MR. RAINEY: I think the only disconnect is, I am envisioning there are other types of data that CO-OPS would not be able to really handle. It would be different in kind that CO-OPS can deal with and still be maritime.

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and you're telling me by definition of real-time observations that there wouldn't be and CO-OPS could handle it.

CAPTAIN MCGOVERN: To the extent practical. You have two facts. You have the fact that we are looking at only real-time observations, and we are looking at to the extent practical. So it gives the flexibility. I mean, if it calls for me saying we can't handle it, then -- or the person giving the data says I can't get it into your format, no way, no how, then --

MS. BROHL: Without additional funding we're just going to be limited to how far you can go. I don't want to limit -- what I hear you saying is that you want to emphasize the FORTS NWLON component and the quality control component of CO-OPS and somehow have that integrated in here more. Because, again, I don't want --

MR. RAINEY: Well, it's certainly in what you have there. You have a much broader statement than that. I think that's all implied in there.

MS. BROHL: We do talk about PORTS a little later where we are much more specific in asking for the ICOS plan to actually put PORTS and NWLON way up there in the plan. What I'm concerned about, what Roger says, we don't want to say that it absolutely has to be CO-OPS, because it may be that at some point CO-OPS doesn't fit

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we have tide gauges.

DR. LAPINE: It doesn't exactly say that up there. It just says real-time hydro observations. If you're collecting wind speed, wind speed is wind speed.

CAPTAIN MCGOVERN: Take out the hydro, just put real-time observation systems for maritime users.

DR. LAPINE: I wasn't against it. I'm just giving you a reason why there is a lot of real-time data out there that doesn't fit the CO-OPS model.

The other thing that I have to ask, and maybe it's because I'm a realist, CO-OPS doesn't have the capability to do what they're supposed to do right now, and we are going to suggest that they take everybody else's data on top of it.

MS. BROHL: But in ICOS they're suggesting that there be a true integration of everybody's data that can be integrated and be used. So then there is a way that this data is more meaningful, because we have bits and pieces all over the federal government. ICOS really is looking to try to integrate data. So if that's what this is about --

DR. LAPINE: I don't care if Mike's system grows to accept this. I'm worried that, oh, good, we have a place to send all our data now.

MR. SZABADOS: They have to have the

the bill in its current incarnation. It may have to be something more broadly based. But in the end it would be great if there is a way where it's more one-stop shopping.

CAPTAIN PARSONS: It sounds like you're embracing the system that is currently incorporated by NOAA.

MS. BROHL: And that's not what we really -we are just trying to make sure that since CO-OPS has some quality control responsibilities on specific data, that certainly applies to CO-OPS, but it doesn't apply to all data. So I don't want that to be limiting. I don't think anybody else does either. So we can change that, CO-OPS or Comparable Data Center or Data Management Center, Data Distribution Center.

DR. LAPINE: Just as an example, National Geodetic Survey operates a system of continuous reference on wind direction which is kind of like PORTS data. Now, is CO-OPS going to take that into their system?

MR. SZABADOS: We do take the meteorological data in, at the Great Lakes we do it, but not the GPS data. We do not touch that.

DR. LAPINE: What about the other 50 or 70 stations?

MR. SZABADOS: It's not necessary on the shoreline. We are talking about the ones on sites where

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MS. BROHL: I mean, it's just not going to

CAPTAIN HICKMAN: I don't think we should limit ourselves.

DR. LAPINE: I'm just sticking up for Mike. I don't want him to get involved in --

MS. BROHL: Keep in mind, Dr. Lou --CAPTAIN PARSONS: One person at a time. We have somebody recording this.

MS. BROHL: Let me just respond. This is really about the ICOS plan which has the huge cost of integrating everybody's data, all the federal partners, and then growing the observations, growing them tremendously out there. And they have to somehow be integrated to where they are meaningful or not meaningful. It's a huge, huge job.

With all due respect, it's lofty and it's out there. It's just that it would be a shame that we get all the way down the road and you go, oh, you know, they're collecting data that would be really good in CO-OPS or be really good for maritime or, gosh, you know. If it gets too far down the road, again, it's going to be limited by funding and staying engaged in the issue, I think.

MR. GRAY: Helen, could I make an observation? This really strikes me as a discussion that only those who are experts in this stuff can only contribute to, and I don't count myself among them. Richard, David Enabnit, Roger, and so forth, they can figure — I don't even know which data you're talking about. I don't really understand what the CO-OPS do, and I, as one member of this Panel, can't really understand some of the distinctions that you guys are trying to deal with here. It may be very important, but I think it has to be satisfied by others, drafted or commented upon by people who really know what's going on in this area.

RALM WEST: I think we're out of our expertise here. It's been worked on for four years now, NOAA has been a part of it. This data management thing is a huge, huge problem, and you're not going to solve it with one paragraph up here.

MS. ERCHL: No doubt about it. We are not probably going to solve, you know -- because so many things are depending on funding. If the funding's not there or the authorization is not there to begin with, then in some respects, why bother to even comment on the ICOS plan, but you have to because it's a template out there.

RALM WEST: But unless you're really

MR. ARMSTRONG: It seems like we are spending an awful lot of time playing around with wiring diagrams. What's important is that we, I think as a Panel, let the Administrator know that we have some priorities for data and services that need to be met, and that it includes real-time data that supports navigation. Let the Administrator worry about how he wires his diagram.

MS. BROHL: That's a very good point. So to restate that, data collected by federal or non-federal partners should be managed and distributed to the benefit of safe maritime operations or something, and not define how --

CAPTAIN MOGOVERN: I think you have to believe in the fact that it has to be QA/QC. For the maritime industry to use it, that's something that a lot of people may not realize. Oh, yeah, I can get anything off the web, but it has to be good stuff.

MS. BROHL: Data collected by federal and non-federal partners for maritime applicability should be quality controlled and distributed for maritime use -- distributed for maritime operations use. I think that's better. So B is -- I think it's better. It's pretty straightforward. C, we already did. Do we want to rephrase C?

familiar with DEMAC, once it's been sent to the Hill and accepted, then you're really not talking about what's been sent up there, and that's what's --

MR. SZABADOS: DEMAC talks about how to change data between observing systems data centers, not necessarily delivery of products. That's what we're talking about, delivery of products, and that's where it gets kind of confusing.

RADM WEST: I'm not sure it's going to be responsible for delivery of product in an Integrated Ocean Observing System.

MS. ERCHL: Well, in the end, I don't know that NOAA is going to get the job of kind of managing it and all that. True enough, it is a draft plan. It isn't in stone. In the end a lot might depend on the NOAA Organic Act and all kinds of other things.

To the extent that there is a draft plan out there, I would say that we should comment on it because they're asking for comments. We are not really commenting on the Federal Register notice, per se, because we don't advise Ocean.U.S., but we do advise NOAA. We've engaged in this discussion and there is a rule out there for comment. It's closed today, but still it's real timely.

I guess I have to get back to -- well, we're still on B. We fixed C and now we're back to B.

MR. SZABADOS: Can we rephrase C in light of B?

MS. BROHL: Required to be quality controlled and available for maritime use. Be required to be quality controlled and available for maritime use, and then get rid of CO-OPS.

 $\mbox{MR. DASLER:} \ \ \, \mbox{So for maritime use it would}$ have to be real-time.

MS. BROHL: It would have to be real-time.

Well, we're actually -- no, it doesn't have to be
real-time as long as it's data that can be available for
real-time use.

CAPTAIN MCGOVERN: Well, we have real-time at the beginning. That's covered.

MS. BROHL: I think that's better that way. In D, NDAA recommends to Ocean.U.S. that PORTS and NWLON be fast tracked for funding to provide for the federal backbone. I was thinking that Ocean.U.S. is probably managing the draft plan, so tell them in the plan that we want the plan to reflect higher priority for funds for NWLON and PORTS. But you can get rid of Ocean.U.S. That's just what I was thinking, just to say they're managing the plan and we want the plan to reflect a higher priority for the federal backbone.

MR. SKINNER: Just for the record, I'm not

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sure I would agree with that, but I don't see any other way that this Panel cannot recommend that, if that makes sense. I'm fine with it.

MS. BROHL: Any comments about D, any revisions?

MR. WHITING: Can't we just say that it will be fully implemented?

MS. BROHL: Well, if you just say implemented, then it could be behind some others. There is a lot of stuff in ICOS. We just want to say if it's a backbone, then you want to build your backbone so you can build on a backbone and enhance its use and find out where you need to fill in the spaces and voids, and that's where you take advantage of your non-federal partnership.

MR. RAINEY: Helen, would this work to say that the HSRP recommends that PORTS and NWLON receive priority in funding for the federal backbone?

MS. BROHL: In the ICOS plan? That NOAA recommends that PORTS and NWLON be --

CAPTAIN MCGOVERN: We have to recommend. This is our recommendation.

MR. RAINEY: HSRP recommends that PORTS and NWLON receive priority funding --

MS. BROHL: In support of the federal

backbone?

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mapping should be incorporated into the plan, so perhaps we want to recommend that the programs under PORTS and NWLON, that the maritime services function, the navigation services function.

CAPTAIN MCGOVERN: You may have to move that if you're going to do that, because right now the heading of that needs a sub -- is real-time observation systems. If you're going to talk about all of them, then you're going to have to move it out to another recommendation, which is find, if you want to take B out and move it somewhere else.

MS. BROHL: To get back to the way it was, PORTS and NWLON receive priority funding according to the federal backbone. To say in E the federal backbone should also include --

MR. DASLER: Or you can say second to reducing the critical charting backbone.

MS. BROHL: Remember, this is tied into ICOS, not just the relative value of charting and mapping. Just that other than the bathymetric stuff, there doesn't seem to be a lot of recognition of the charting and mapping components and how it could work with observations in an observing system.

CAPTAIN PARSONS: Again, as Mike pointed out, bathymetry has been recognized as one of the prime

MR. RAINEY: For the planning of the federal backbone of ICOS.

MS. BROHL: In support of the federal backbone.

CAPTAIN PARSONS: Priority funding at the expense of what?

CAPITAIN MOGOVERN: We are not going to say that.

CAPTAIN PARSONS: I'm being the devil's advocate back here.

CAPTAIN MCGOVERN: It's our recommendation. We can say whatever we want.

MS. BROHL: We could change that and say, since number four is charting and mapping, to raise the specter of charting and mapping in the ICOS plan. It's all based upon the ICOS plan. If Ocean.U.S. says that PORTS and NWLON -- they refer more of PORTS and NWLON as the federal backbone. This isn't within NOAA necessarily, but the idea is that within the scope of ICOS and where NOAA has an impact on the priorities in ICOS, we would want the federal backbone to be funded as a priority.

MR. RAINEY: To pick up Roger's point, we could broaden it to NOAA's navigational services, NOAA's hydrographic --

MS. BROHL: Number four says charting and

parameters.

MS. BROHL: But mapping, just a brief structure, it just seems more towards our purposes, which is not a bad thing of course, but isn't charting and mapping relative to maritime needs?

MR. DASLER: But those services are. You wouldn't want it to detract from the need for getting tide data and so forth, charting surveys.

MR. SZABADOS: Couldn't you put it under four, address backlog under four, the recommendation?

MS. BROHL: Put backlog under four. Okay. Let's just talk about -- then if you do that, should we say that we recommend that PORTS and NWLON receive priority funding in support of a federal backbone, let that go, and then address the back end of the survey, charting, mapping, surveying unfunded stuff in number four, so we are covering both bases? This whole idea here is not to make this a NOAA funding priority thing. It really is relative to ICOS. We'll go back to four in a second.

NOAA support increased funding for the tides and current line item -- I don't know that this matches in here, that this should even be in there. We certainly want NOAA to do that. We can put it aside and wait until we get a little closer, because HSIA is funded through

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FY07, and that does give us a little bit of time, so maybe we should just get rid of it because it's peripheral.

MR. ARMSTRONG: Yes.

MS. BROHL: So get rid of B. Then go back to before that, that the charting and mapping component to be incorporated into the ICOS plan to better address, in particular, safe and efficient navigation, and that the survey backlog -- the survey backlog for critical areas be increased to enhance that goal?

CAPTAIN MCGOVERN: We don't want to increase the backlog.

MR. GRAY: Helen, also on four, I'm not sure that charting and mapping relates to some of these seven goals, like reducing public health risk and true prediction of climate change and things like that. Isn't that getting just a little bit chintzy to say that charting and mapping is going to further each of these seven things?

MS. BROHL: No, we don't have to. CAPTAIN PARSONS: Keep in mind that bathymetry is used for more than strictly producing nautical charts, like developing models using bathymetry for instance.

MS. BROHL: By tying it in you kind of reinforce that it is important, but do we just want to

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a consonant between the two vowels. It would be easier to say it.

MR. SKINNER: It's a valid point, because I think there is a tendency to focus on the deepwater areas. From my experience with GoMOOS, it's a real struggle to get everything -- I think it's valuable to have that in there.

MS. BROHL: And I recognize that if you're talking about a Global Observing System, the deep ocean points really become important with the international partners, but to bring it home, the coastal component is what we deal with every day, and I think that it's easy to -- because the broadness of it is so big for everybody out there dealing with it on an international scale, and I can appreciate that, that for us it's bringing it home, and bringing it home is the coastal component.

I'm not so sure -- I don't know, but when you get into deep ocean observations, are they more expensive? Again, Admiral West made a really great point that we don't want to get too bogged down in, well, I just want my piece. I do want to emphasize -- and yet there is a lot of talk about the coastal component, but we are trying to imagine what people are thinking, and the coastal component is just so important. It's what we have now.

bring in -- I'm guessing that when they think about it in the plan, they're thinking about all those other areas. It has a lot of use in other areas, but do we want to emphasize that at all to have a maritime component? I don't think you have to worry about them recognizing all the other wonderful uses of bathymetric data. If anything --

MR. GRAY: If I were deciding, I would say that is a total understatement. That's all.

CAPTAIN MCGOVERN: You want to increase the funding to do away with certain things.

MS. BROHL: Increase the funding to eliminate the survey backlog for critical areas.

DR. LAPINE: In five years.

MS. BROHL: And then, number five, the term coastal will be added to the ICOS name to emphasize the importance of the coastal component.

CAPTAIN PARSONS: Is there anyone who has read the plan and doesn't see the coastal component without it being in the name? I'm just curious. Is the coastal component missing from the plan?

MR. RAINEY: No, it's there. Are you just asking to put a greater emphasis on it? I don't know if I'd want to change all the stationary, but --

CAPTAIN MCGOVERN: It will be easier to put

When you look at the seven societal goals, really the coastal component meets those much more. Public health, Homeland Security, maritime, the coastal component is a far bigger role in that than the ocean stuff. I realize to talk about a Global Observing System you have to talk about deep ocean, but if you're going to start where you invest the money or spend the time and energy as well, well, I would love to see the coastal stuff come up as well.

I would imagine the resource managers might feel that way, at least on the local scale might feel that way. So that's the motivation to emphasize. But, Captain, you're right, it's not as if there isn't a lack of -- because I'm thinking that a lot of this development came out of the global discussion.

Any other comments about that? Do you think it shouldn't be up there at all?

THE PANEL: (No response.)

MS. BROHL: Number six, the technology development opportunity that's under ICOS must be available for a wide range of interests, both public and private. I don't know if this is a valid comment, really, but when I read the program, it seems very heavily into just universities, not that there is anything wrong with that, but you still want -- and maybe universities

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automatically partner with the private sector for private industry investment. But in terms of technology development -- I was thinking this was good for us, but if it's too peripheral and it is maybe a secondary issue --

DR. LAPINE: I don't see any value added to that.

MS. BROHL: Okay. We can get rid of it. Anybody that doesn't want to get rid of it or feels passionally it should stay in there?

THE PANEL: (No response.)

MS. BROHL: Okay. That's fine.

DR. LAPINE: Can you go back to number four for just a second? It's probably just nitpicking, but to eliminate the survey backlog, you know, if we do nothing, some day the survey backlog would be eliminated. So do we need to make it more immediate? I was kidding before when I said in five years, but now I'm thinking maybe that's the kind of statement we need to make.

CAPTAIN HICKMAN: I don't know how you can really say that if we do nothing the survey backlog would be eliminated.

DR. LAPINE: The plan says it's to be eliminated in ten years.

MS. BROHL: But remember, this is for ICOS. This isn't just a general discussion about where we place survey backlog in our scope of priorities overall. Frankly, it's a little secondary to the real issue, I think, for the ICOS plan, and that is we want the charting and mapping component -- let's say the maritime component of charting and mapping to have a greater role in ICOS, because we think they tie in very well. As you're going along looking at the bathymetric stuff, you think it might be really good for resource management and things like that, but you also recognize that it has a really great role in maritime operations and that it's real important.

When I read the plan, I think that that was just kind of missed by the writers. They probably wouldn't disagree with it. We just want, I think, to make it clear that the charting and mapping component has a very strong role to play in ICOS. So in some respects the survey backlog is kind of a -- you know, you want to try to get as much data and improve that data and get it where you need it. Even though it's important for this group, it's kind of another discussion, especially when we talk about HSIA reauthorization in another year.

DR. LAPINE: How about if we say eliminate the ten-year survey backlog. At least it's putting it on the magnitude --

MS. BROHL: But it's still peripheral to the ICOS discussion, I think. It's not, not important.

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MR. DASLER: Well, the sconer they get their data the better it would help the ICOS system.

MR. CRAY: I think Lou has a good point.

DR. LAPINE: It's about what we want for PORTS and NWLON.

> CAPTAIN MCGOVERN: Keep everybody happy. MS. BROHL: I know some people in federal

offices that when there are public comments, they say throw anything in there you want, it doesn't have to be to the subject because we'll still hear it and we'll still see it. So in this case it's just emphasizing a point that's valid.

DR. TAPINE: I'll take the other side. If people think there is a 50-year backlog, well, we don't have the money to support it. But if they see a 10-year backlog, maybe that would raise the level of interest.

CAPTAIN MOSOVERN: Motion to accept the whole thing as written.

MR. SKINNER: Second.

MS. BROHL: Any discussion?

THE PANEL: (No response.)

MS. BROHL: All accepting it?

THE PANEL: Ave.

MS. BROHL: Opposed?

THE PANEL: (No response.)

MS. BROHL: Thank you very much. Now, these are approved, but obviously -- Scott, do you just want to have a discussion if there is any addition?

MR. RAINEY: I would like to ask -- I know this has been a grueling day, but what we are looking at is, I would like to continue if there were other comments or recommendations on the ICOS plan. Admiral West has agreed to give us an update, a presentation on Ocean Commission recommendations. We have had to scuttle our tour, so I'm kind of looking at that we have until 16:30 on our revised agenda to cover the rest of the bases. So I guess that's a long answer to, yes, I would like to continue if there are other comments that folks would like to offer on the ICOS plan.

CAPTAIN MCGOVERN: The only one I had, Helen made the comment but then she didn't put it in writing, and it was kind of simple but I thought pretty good, and it was the fact that maritime components be the baseline because they seem to be the most common of all the -- all the stakeholders need those. That to me is a simple observation that we could --

MS. BROHL: If anything, that's a justification for providing -- using NWLON and PORTS as the federal backbone, because it provides a baseline in existing stations. You have a lot of existing stations

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that you can build on. You build them all around the country and cover 95 percent of the waterborne trade, and then you really -- you take a look at where all your voids are and what's missing for all the other stakeholders, whether it's through regional associations or whether it's through, you know, whatever the federal partners think, and then you say, you know what, we're missing some data that might be available, and you can use those stations and build on them. Or then you say, you know what, now that we have this backbone and we have -- you can use all that information for Homeland Security and then you build from there and then you build a partnership to fill that void and make the difference.

CAPTAIN MCGOVERN: I think to put that in writing is -- to me that's a pretty good observation and it's a pretty good -- it gives justification and so forth to what we are talking about here, that, you know, why should these components be the backbone as opposed to these components? Well, because everyone uses these.

MS. BROHL: Would we wind up with that in as part of the justification early on for what we're talking about, why we think that --

CAPTAIN MCGOVERN: We can do it tomorrow. We can sleep on it and do it in the morning.

MS. BROHL: Where the federal backbone is

funded -- okay, we'll do that. I'll talk to Barbara sometime and we can add it later.

MR. RAINEY: Why don't we go ahead and take a break then. The things that we have is to wrap up any more comments on the ICOS, Admiral West's presentation on the Ocean Commission, and then Captain Parsons is going to do a report out on our recommendations, and then the presentation into the hydro survey priority. That's what we have left to try to tackle this afternoon. So maybe a ten-minute break.

(Whereupon, a brief recess was taken.)

MR. RAINEY: I want to see if there are any other comments on ICOS, if anybody wants to discuss that

RADM WEST: This thing is a work in progress, as you know. This is just -- who knows where this is going to go. My concern is that it's a piece of GOOS too, which is the Global Ocean Observing System, which is the grander thing that actually NOAA has been put in charge of for the administration. My concern there is that the ocean gets second seat to the other part. There are a lot of moving parts.

My only comment is we need to stay on top of

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this and talk about it. There will be more reviews and more language and more bills. But I think we need as a group to ensure NOAA realizes and the Ocean.U.S. folks are much aware of the basic need for Hydro Services.

The other comment is, I always hear this coastal ocean, and by the way, Great Lakes, too, what do you mean by coastal? Tell me what you mean. Anybody?

MR. SKINNER: Change it to near shore.

Either way.

MS. BROHL: I had near shore written down originally.

RADM WEST: I'm not being a wise-guy. There are a lot of definitions out there. So what you mean by coastal, if you take and jump in a airplane and go up and look at the United States and look at what we observe along the ocean and try to color that in a chart, you'd never see it. It's just a little thin -- so what do you mean by coastal, deep water? If we pass a law, what does that mean? Do we have to go get bathymetry? There are a lot of moving parts in all this stuff. I don't think you do any type of ocean observing unless you have the charts to start with.

MR. SKINNER: I was trying to remember when I went through the document, and actually, I should have probably gone through it again to find specific

references, but I was much more interested in the cookies. as was everyone, but it seems that there were a couple of references to maritime operations and navigational services in the document. But then it just sort of loses any references to that later on.

I think one of the recommendations we might want to consider, that those specific elements be mentioned throughout the document. There is one section where it says, well, here is what we are going to look at and it was all biological data, and if one of the key areas is maritime operations, or whatever the term is, then it should be carried through at all levels in the plan.

MS. BROHL: Because there are other element components that are carried through the entire plan.

MR. SKINNER: In greater detail. It started very broad and then they get down more specific, but they don't do that for maritime operation data.

MS. BROHL: I think they actually don't tie enough, just as an aside, tie enough of a point to the seven societal goals. It doesn't have real deadlines. It's like a ten-year plan, but instead of an outline, it's such broad sweeping statements and then there are specifics on the other side. It's a little inconsistent that way, and in the end you go, okay, this is tied to

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Can we also make comments tomorrow? If we look up things overnight, can we present it tomorrow briefly along with the other group?

MR. RAINEY: Okav.

MR. GRAY: I'm not sure if this is the right place, but I would just like to state, and I think I sent this to all of you, but when I went through the guiding principles, and also Rick was good enough to give us the Ocean Policy, U.S. Commission, and I read through this and I said, "I was disappointed to see that no where did I find reference to mankind's use of the oceans for commercial transport of goods, fishing, or recreation, et cetera, in a safe and sustainable way."

And then I went on to say some other things, "With the country's ocean, Congress valued at 20 to 25 percent of U.S. GED, one would think an Ocean Commission would pay more attention." I also said, again, "I may have missed it, but I seem to see these missing elements a direct link between the crucial safety need mariners have for the best hydrographic and water real-time information and charting. To me this is the essence of NOS within NOAA. The need for specific attention to be paid to land based sources of oil pollution, which are several fold larger than vessel source pollution, and the need for

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slides, just trying to give you an overview of what's going on with the Ocean Commission. It's a big deal for us to deal with ocean issues.

The last time anything of this magnitude was done was in the Stratton Commission of 1968. One of the recommendations out there was to establish an organization to manage the ocean, and that turned out to be NDAA, which was established in 1991.

It's interesting that this group over a period of a couple of years, and we'll talk a little about that, came back and said NOAA is even more important now than it was then, but it's in trouble. It needs to be strengthened. So that should be of interest to us. As we talk about our little piece of NOAA down at the NOS, down at Hydro Services, the health of the mother organization is in a little bit of trouble right now when we need it especially bad, when we need it especially.

I actually did this from the report that's going to be out on December 20th. I kind of snuck in and got a hold of it. I actually did some word searches, and I'll give you some references to what Bill just talked about, some small boat stuff and all sorts of stuff I pulled out of it, and I'll tell you where it is. This is what is going to be called -- it will be out on the 20th.

This is a group -- there were several folks

government, ours included, to do something effective to ensure the installation of charter reception facilities as an obligation under MARPOL 1973, and a lot of earlier conventions, which have been totally disregarded. This is one of the main reasons why our response citations for ships are on the rise worldwide."

These things aren't necessarily NOAA issues, per se, but they are gut maritime issues, I think, and I know there was a lot of criticism in the Marine Quest of the Ocean Commission's report where they set out 535 pages, and I guess eight or nine of it dealt with shipping or something like that, and it speaks to the fact that, I guess, the Committee didn't realize the crucial nature of -- where would this country be without ocean commerce and the many elements that make it work?

I hope that -- this is not an ICOS, IMOS, or IGOS or whatever anything else like that, but these are points that -- even if they go a little bit beyond our charter, I hope at some point we will take them up, because maybe somebody will listen to them. Several of us have said these things many, many times over.

MR. RAINEY: If there are no other comments today, we'll ask Rear Admiral West to speak.

RADM WEST: Sure. If you don't mind, I'll just sit here and do it. I just picked out about 10 or 12

up there, one of them the executive director, and Jim Watkins, who was the chairman, they did do a lot of homework, a lot of work, a lot of public meetings. Many of you were a part of those public meetings. Some of you testified. I had an opportunity to represent the Department of Defense for the first year, so I did that part of it and testified in one of the public hearings. I went to three or four of the other ones, and I reviewed a lot of scientific papers, and, in fact, the core of the consortion of oceanographic research did a lot of the homework on the education piece of the ocean demographics and stuff like that.

There were a lot of public meetings. Everybody had a chance -- even the guy that came flying down the auditorium on a skateboard down in Charleston with a backpack on -- to talk about some other things. We saw all sorts of folks during these visits. They did a lot of homework, and that's the point there.

On the 19th of September, the report was submitted to both Congress and to the President. He has 90 days to respond. Not too many people are optimistic that we are going to see anything great out of this administration, unfortunately. I think you'll see some type of acknowledgment of the report. What we take from there will be what the community wants to make of it.

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We have some things going against us, obviously the budget problem. We have a nasty war going on right now. And I mentioned this a couple of months ago, it's some organization, some quy jumped up in the back and fust let me have it. He told me to get off from that feel sorry for yourself kick. He announced he was on the Stratton Commission. He said, "Back then we had Vietnam, we had this opened up, and we didn't have problems." So those are not excuses, they are things we ought to concerned with, but we really do need to get on with the issue.

It's interesting, I deal with a lot of folks in this business, and the one thing is, "What do you mean by the oceans are in trouble?" That's a good question. What do they mean by that? I need to know that before I can invest in finding out why they're in trouble. And that's a very good question. They have 560 pages.

The final report, I think, will be somewhere around 700 and some pages. It talks about a lot of this stuff. You really do need to know where should I invest and how can I find out where the problem is. There are 212 recommendations. About 60 percent of the recommendations in the Ocean Commission, if they are carried out, by definition have to go through NOAA.

MS. BROHL: Sixty percent?

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where should that money go? So it's a good argument that NOAA can back into, where the taxes are coming from. So the funding issue is -- and they go into great detail about three point nine billion dollars and it should come from this trust fund.

This is probably of most interest to everybody, and it looks like the most simplest slide, but what they found was, and it shouldn't be startling to anybody, is the fact that we have made decisions on ocean issues that are very limited -- let me explain this right -- a very small scope, limited data. If I have a fishing problem over here, so I'll go count the fish there, and they'll let you fish until that number gets up, or I have a harmful bloom over here and therefore I have to watch it. What they're saying is, that's not the way to do business. We have to go look at an ecosystem, look at the physical characteristics, the biological, chemical, and all that stuff, management, that way.

I think I understand what that means. It's different than just doing fisheries or doing physical oceanography or chemical. It's putting all that together and making the right decisions for the livelihood of the geographic area around.

What are the three major functions to let that happen is -- the governance issue, by the way, was

RADM WEST: Roughly 60 percent. That's the way I look at it. So if they're going to happen, by definition, by federal mandate, by the mission of NOAA, they have to go through NOAA. They're not NSF. They're not the Navy. They're not anybody else. They're NOAA.

What's our concern there is that NOAA has some problems right now. The fact that it has literally hundreds of budget lines is a major concern. Helen talked a little bit about our concern about NOS moving dollars around. You literally cannot move dollars around in NOAA, because their budget comes over so detailed. That's of concern.

One of the around rules of the Commission was there would be no unfunded mandates, so I came in and said I have a four billion dollar mandate, so where should the money come from, and they identified the trust fund on gas rights from the ocean. At first blush that sounds like a good idea to me, but it's meeting a wall of resistance, because somewhere that money is going to other things already. So those folks that are getting that money are not going to think it's a good idea, so that's going to be a little bit of a battle.

The argument the Commission made, and if you listen to the hearings, and there will be more of this next summer too, if you're going to tax the ocean, then

late. It was basically a year late. They could not come up with -- I mean, the governance of our ocean is just mind boggling. The last chapter to be written right now is being written by a university of law school, interpretation of laws and all that stuff. It's still not

probably the reason why the Ocean Commission was a year

done, because there are literally an infinite number of rules and regulations, state, federal, local, all sorts of rules and regulations under oceans. So it's going to be very, very hard to do the ecosystem based on management until I figure out how to govern the damn oceans.

We really don't know much about the oceans. We know very, very little. We have explored less than five percent. I've been told we only know about one percent of the life that lives in the ocean. That's amazing. So we really don't know much, so you need to put some money into getting good science so you can make good decisions on marrine mammals or fisheries or whatever that

The other major problem, and most of us that work in the ocean all the time know this to be a fact, nobody knows about the oceans and so really nobody cares except maybe you folks. My theory is 99.4 percent of the United States don't know a dam, thing abou; our oceans! Not that they don't care, they just don't know. The other

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6.6 percent are us, folks that work in navigation. That's got to change. That's got to change.

The public outreach and education process was way down at the bottom. I even talked to the head of the Commission, and they were even going to just maybe, if they had time, get to that part of it. It very quickly became obvious to them that if they were going to make any progress in any of the recommendations of the Ocean Commission, the general populus has to know more about our ocean, period. As I've been told many times, until you have a large public lobby that's screaming out for changes to our oceans, you're really going to just be putzing around with the little bit of money that we have so far.

One of the figures that came out of the Commission report was, of the total investment, the federal investment of public money into ocean research is 630 million dollars. If you just look at that, it seems like a lot of money. It is not. It's just peanuts. The total investment of the federal government is less than a billion dollars. That doesn't even get you one span of the Wilson Bridge going cross the Potomac. To me that was amazing when I thought of it. So these are -- I just wrote it up there because those are the issues.

NSF now has in everyone of their proposals, you have to come back -- if you're going to get funded

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22 23 25 largest scale of what I tried to say a little earlier, be careful starting to pull the little pieces -- you know, I really like that Ocean Commission, but only if you give me my -- what the Nation ought to being do now is to be pushing like hell to get this thing through. It may not fund everybody's program, but it's certainly going to be a hell of a lot more than we have now. So that's a little hit of the concern I have.

I talked a little bit about the strength in NOAA. If for no other reason, if you look at the budget structure, it should tell you something. For you folks that have worked on the report, I think I said this last time, it would be an embarrassment to have somebody tell me how to spend my money like that. But the agency has gotten themselves into that position over the number of years. So right now the difference between what they submitted for the budget and what the Senate appropriation wants to give them, which they will start working on tomorrow morning, is almost one billion dollars. I have never seen anything like that in my life in Washington, D.C., where almost 25 percent of the budget is still being dehated on the Hill.

One of the problems is, of course -- and by the way, most of it is in NOS, about 40 percent of that, a huge amount of money is in jeopardy, but one of the

through NSF, you have to say how you can educate American with your project. Everybody has to do that. NOAA has to do that. The Navy has to do it. Everybody is going to have to have this tagged to it to do that. Anyway, those are three big issues.

Some of the major recommendations dealt with U.S. investment in ocean research proposal, about 630 to roughly 1.2, 1.3 billion. NASA spends roughly 50.5 billion dollars a year. Billion, I said, billion. The space program is wonderful, don't get me wrong. I love it. It's marvelous. But I just think there is a relative difference there that has to be evaluated. Less than a billion, where do I live, you know things like that.

To double U.S. investment in ocean research is not going to be easy because there are 15 federal agencies that have a piece of the ocean pie. If you say put so much in NOAA, if 60 percent of the recommendations are in NDAA, they should get the bulk of this money, then some of the other fellows will say, well, wait a minute, I don't know if that's the right thing to do. And that's what happening right now, this little dance around town.

I'm very concerned about making progress, because if the Ocean Commission goes over and we start to get the federal agency long enough to ensure that it all goes no where or maybe over to NSF, it's kind of the

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problems is it's been earmarked. QMB takes earmarks and wipes it out on the way over, which took most of NOS's money. Historically constituents put it back in on the Hill, and then there is this other incentive by the Senate to put more money into NOAA for the Ocean Commission. So it's all being thrown into this pot. It's not very friendly right now, so we have to be very, very careful.

The strength between -- just to back up a little bit, the strength between coastal and watershed management, most of you know a lot more about this than I do, but really what the issue is, the issue is coasts and oceans do not start at the high-water mark. It's a lot further in there.

I had lunch with Mr. Paneta, who did the PEW Commission, a wonderful gentleman, by the way, but he said one of the things that was amazing to him when he did the PEW Commission was, he said now there are 41 states in the central part of the United States they now know are polluting the Gulf of Mexico. They had no idea. It's because of run off and so forth. This is back to the education part of it. So the Commission said there has to be a better link between what happens up in the watershed.

These are some things you probably would expect. There is a strong support for ocean exploration. We ought to all encourage this. The problem with it is,

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there was a National Academy NRC report that said do it but don't give it to NOAA. Along comes the Ocean Commission and says do it but give it to NOAA. So you have that debate going on. We don't need debates right now. We need action.

We talked a lot about the ICOS. There is ICOS. There is GCOS, which is global. There is GEOSS. There is Ocean Observatory's Initiative, and that's NSF. There are all sorts of "cozes" running around that also compete for discussion. And when you go to the Hill, they say tell what the relationship of all these are. We can't do that. We have to be able to sit down and say this is NSF, Ocean Observatory's Initiative, this is NOAA's ICOS, this is Global Observatory and it's part of GEOSS. That story has to be told, but it has to be told as a team, not as a NOAA or NSF or whatever.

> MR. RAINEY: In the back there is a question.

> > RADM WEST: Sure.

UNIDENTIFIED PUBLIC SPEAKER: I see up there a number of times coastal. Does the Commission define --RADM WEST: Yes. They talk about coastal a lot. I don't know what that means. I don't want to be facetious either. What does it mean? I ask wherever I go. Somebody will tell me ten nautical miles up, EEZ, a

hundred fathoms. I don't know. What does it mean? Somebody needs to decide what it means.

The Commission said if you do what I tell you, it's about four billion a year. Some is taken out of tax -- out of trust fund -- enough said. If you're getting money out of there now, you probably don't like that idea, and that's going to be a competition that will have to be resolved.

MR. RAINEY: Maybe you can explain that. RADM WEST: It goes a lot to bridges, construction, repairs. I think there is something with PORTS too. I'm not real sure. That's what I've been told. Capitol, you'll see we are going to do a new bridge on the Tampa Bay, maybe, the Sunshine Bridge, when you see the matching funds.

RADM LARRABEE: Surface transportation? RADM WEST: Don't quote me. I don't know. I just heard.

RADM LARRABEE: Isn't most of that money --I thought that was set aside by the Transportation Bill.

> RADM WEST: Which one? RADM LARRABEE: That kind of construction. RADM WEST: I don't know.

MR. ENABNIT: Do you know who manages that

25 fund?

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RADM WEST: Yes. There is a committee in --I did know that. Do you know what subcommittee does that? MS. BROHL: I'm sorry. I didn't hear the question.

> RADM WEST: Where that money is managed? MS. BROHL: What money?

RADM WEST: There is a committee out there. I don't remember offhand who does it.

MS. BROHT: I don't know.

RADM WEST: The bottom bullet was a strong push for the U.S. to sign up for the -- you know what I'm talking about. I'm amazed that we haven't put our signature on that. By the way, the Arctic is being chopped up right now, and we're not there to chart. All sorts of stuff is happening.

A lot of people say, well, we don't care about the international piece because we have stuff to do at home, but I tell you what, you don't want to wake up some day and see the rest of the world chopped up and you didn't have anything to say about it. There is a lot of issue about the mineral rights too, methane hydrates and all sorts of other stuff we should be involved with internationally.

I had a chance -- I think I told you this last time, that I went to the State Department last year

and the discussion was over the Prestige and the oil spill. They were going to open up the single, double hull thing off of Spain because of this. The U.S. stood up to say something, and they said sit down, you don't have a right to say anything because you're not a part.

MR. CRAY: Can I just mention, back when this current edition was being drafted in the seventies, the oil industry and the mineral industry came out very, very strongly against it. They said we, the developed world, we have the know-how, the technology to mine the oceans and the sea beds and so forth, and we are not going to give that away to the rest of world by making it a common heritage. At that time, and that was twenty-odd years ago, there was a really strong argument, but some fairly significant industries were against the United States participating.

RADM WEST: I thought I knew where the 17 18 problem was six months ago. I don't anymore.

MR. CRAY: I don't know whether that is true now, but they came out that way twenty years ago.

MR. ARMSTRONG: My understanding is those issues have been resolved and now the deep-sea mining, the mining industries are now supportive of the convention.

MR. CRAY: I think that would wonderful if that's so. The other thing you mentioned, the Arctic,

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there was a very big article about the Canadians want to declare way, way around on all of their Arctic. They want to carve it up with six or seven others, Greenland and Demark.

RADM WEST: The Russians are already doing the same thing. They have almost a third of the Arctic right now.

As you probably know, there is not a lot of interest. There was no presidential debate that I know of over ocean issues. I would think it would be an easy win just to say I agree with the Commission, you know, just say that and let's get on with things. We actually talked to key offices over there, CEQ. We have a running dialogue. We're not going to get a lot of support, but we hope we at least can get lukewarm support so we can take advantage of the Commission.

Collective sustained efforts by stakeholders are very, very important. Even in my regular day job, I have to tell my folks, damn it, we'll pick it up later. Let's support the Commission for now. It's the old tide rises all boots theory, and we'll hope it rises all the boots. There are a couple of them with some holes in them that may not get there, but let's do that, because that's very, very important at this point, or the opportunity the Ocean Commission has given us after 35 years will be

There is one thing that wasn't in here, that I thought should have been, was more issues on propagated sound ships. It hasn't been addressed for quite awhile. So there is quite a bit in here. Ocean exploration. That's kind of what's going on. We are going to push hard.

There were 27 bills or parts of bills on the Hill not too long ago. We are down to probably two or three that are being actively worked, and the only one that has a real chance is maybe the Organic Act for NOAA. It's a good Organic Act because it puts into law what they're supposed to do. It allows them to have independent budget authority for discussions with ONB. So it takes Commerce out of the situation, which will help a little bit, although I think NOAA kind of hides behind Commerce a little bit. But it would help if that gets through. The Ocean Ops and some research stuff I think will get through next time, but they start tomorrow and they're going to want to get out of town in a hurry. I think you may see the Organic Act.

Key, I think, in my personal opinion with the Ocean Commission, is a healthy NDAA. You may have some issues you have with NDAA, but get over them and help them to get better, and let's push hard for them. We have to push hard for NDS. We have to push hard for Hydro missed, because you have a cycle or two in the budget cycle to take advantage of things like this. We have almost had a half a one. We have another one coming up, the '07 process, and then maybe part of the next one and that's it. It goes away. It becomes old stuff.

There is a lot of information. You can go to the website. I did do a quick search before I came down of what I thought you folks would be interested in. Bill, for example, the FORT system in maritime commerce is in the Executive Summery for three or four pages. It talked about DOT. Chapter 13 is all supporting Marine Commerce and Transportation. There's quite a bit in there, actually, IMO, all that stuff, recreational fishing and boating has a section in the Executive Summary, and on and on. So there is a lot in there, if you wanted recreational boating, a lot of navigation.

FORTS is mentioned specifically in the navigation, the ENCs. I like DNCs, by the way, but that's a whole different -- it's very specific about surveying requirements, by the way. If you look at NOAA's mission, they are very specific of what they should do and what the Commission said, and we should take these and run with it because it was very specific about the surveying capabilities. There is a lot in here on pollution and cruise ships. The discharge, stack gas emission.

Services. It's more like making a point of why they are so important, not necessarily make sure you take care of me. Explain to them why you're important, why Hydro Services is important. You can't overlook it. You can't do Ocean Observing without geospatial charts.

The other issues, I spent two days, actually -- Helen calls them my academic research -- up at Rutgers. It's probably one of the better ones, but it's very limited and small. But they don't even want to involve you. How come? That's easy to do. Let's start showing them that if you hook up with a university of research -- they even label themselves an operation -- but hook the two together and show what can be done.

The only other comment is, we have to work hard to make NOAA better. We need them desperately. In this federal backbone, if it's funded as an information system by the federal government with public money, there is a law that says it has to be validated and verified data or it cannot fund. So if it's part of the backbone, then one of the checks that you have to have is that you want validated data, because some of this data will be used for regulatory purposes, health and safety, welfare, and all this other stuff. So if you're part of the backbone system, then you have to be QC'd or QA'd or whatever the right word is to be part of that.

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The best way to do it, obviously, is to have your own and have it verified by the federal government, rather than them telling you what to do and then having them fund you. You don't want to wait to do all that effort and say can I be part of your system and there is no way they'll ever validate the data. There is no way. So, anyway, I'm done.

CAPTAIN PARSONS: Admiral, is it fair to say that if the White House embraces PORTS with a rather ho-hum attitude, it's not going to be a surprise to anybody?

RADM WEST: Absolutely not. In fact, we'll take a ho-humer. We just don't want to be ignored.

MS. BROHL: Admiral, do you think that NOAA should be an independent agency or through Commerce?

RADM WEST: The other argument three years ago was the Commission wanted to make NOAA independent. What happens is, NOAA is 65 percent of Commerce. So if you pull NOAA out of Commerce, guess what goes away, a cabinet position, and that was not about to happen. They found that out pretty early. So they said, okay, what's the next best thing. It was to make it as independent as they can from the bureaucracy of Commerce, because they have some other issues.

MS. BROHL: By reporting directly to ONB.

about transferring all the overhead oceanography capability from NASA to NOAA. That's a huge debate right now. That's going on right now. That's the one we were messing with last week.

What it would do though is bring in over a billion to a billion-and-a-half from NASA to NOAA. That makes NOAA a roughly five billion dollar agency which starts around of being independent, and as such it's probably on the small side. About the smallest you want to be for an independent agency. That's five point five billion or something like that.

But I think the key is for everybody to feel comfortable that NOAA is the right -- if I put money into to do the Ocean Commission, that's going to happen. And as long as we don't have people picking at them, yanking and pulling and arguing -- because as soon as they see that, it goes away. They have better places to put money. That's my personal opinion.

MS. BROHL: In terms of the appropriation for authorization process right now, NDAA's functions are divided up between a number of different committees. Can that be rectified through the Organic Act and in the end we just have a lead committee?

RADM WEST: Yeah, that's kind of one of the reasons they wanted to go to Interior, to get them all

RADM WEST: For budgetary purposes is what this Organic Bill is going to do. So they will be part of Commerce, and somebody told me there are other agencies -like the Nuclear Regulatory Agency has the same thing where they're part of, what, DOE? I don't remember. They have independent budget authority, and they can go directly to ONB with their budget. There is no interface.

MS. DICKINSON: Wasn't there a bill from Jim

Saxton to put NOAA in the Department of Interior? RADM WEST: It's still alive. I think

10 11 that's a little harder.

MS. DICKINSON: I guess the idea was that they both managed resources and that there was some -they were in sync somehow with that.

RADM WEST: It's still alive.

MS. BROHL: Do you think that the other Ocean Commission recommendations are dependent upon a NOAA Organic Act?

RADM WEST: The other Ocean --

MS. BROHL: It's just that all the

21 recommendations, the non --

> RADM WEST: No, but it certainly would be much easier for us to get, because so much of this goes through NOAA, that to have it more independent budgetary-wise would help. Somebody mentioned earlier

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under Interior. Right now they have Commence and they have the issue of -- they have to split -- in fact, I had to testify twice on the NOAA research review team that I was on. That does create a problem, you're right.

MS. BROHL: When you're trying to get a professional committee making budget recommendations, they're coming from every different direction, and you're looking at your own piece of the pie. NOS said that what we are concerned with is under a research subcommittee, but the research component in NOS is under the science committee. It's hard to get a big picture when -- and it doesn't help in looking in a wholistic marmer, like we'd like to see that we are all in this together. But if you divide it up into pieces of the pie, when you're talking to people who make it happen, it doesn't help.

RADM WEST: One of the recommendations that the Commission makes was to tell NOAA to go to three missionaries. It's going to be hard to do, but probably the right thing to do.

> MS. BROHL: What do you mean? RADM WEST: Three missionary, one is

observations, research and education -- and what was the other one?

MS. BROHL: To divide it up into three

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that. Whether they do that or not, I don't know. But another big boost here is the fact that Lautenbacher will be there.

UNIDENTIFIED PUBLIC SPEAKER: Are there any recommendations for Hydro Services for ecosystem management?

we have weather, and we have OAR. That's old stuff. You

need to get into, I think, environmental forecasting,

research and education, monitoring, or something like

RADM WEST: Yes. Right now we have NOS and

RADM WEST: I don't know of any specific one, but what was suggested in the testimony, which I did, here is a place for NOAA to step up to the plate. They have all the pieces to do ecosystem management. They have Hydro Services. They have NOS. They have the OAR. They have the fisheries folks. They have labs. They have the Gulf geographic area. Take everything that's there, and then take a look at looking at an ecosystem based management perspective. It's hard for them to do because it's in OAR, it's in NOS, it's in -- now is the time to step up to the plate.

UNIDENTIFIED PUBLIC SPEAKER: Is that something this group could look at?

RADM WEST: I think we could.

MR. RAINEY: Thank you.

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what the administration will have said. We'll have a feel for where the Hill is going, and plus some of this stuff -- we can come out with something stronger.

MR. GRAY: That would be good. We can go to the website and go to the area of interest to us, this part or that part, and be a little bit more informed.

MR. RAINEY: Captain Parsons is sort of coming back from New York on the hydro priorities.

CAPTAIN PARSONS: Let me jump up here. At the last meeting the Panel had an opportunity to review the then draft, the NOAA Hydrographic Survey priorities, and provide your comments. We took those comments back, incorporated most, if not all of them, into the new March 2004 edition, and don't let that be misleading. The reason it's dated March is that's when the survey progress was frozen in time to capture these progress sketches, if you will, although the plan was signed off just last month. But I wanted you to know that the comments that you provided, the suggestions you made were incorporated.

Take a look at Tab F. There was a summary of those recommendations and then input that you provided, and there are copies over here on the table for those that are interested, and also posted on the website. Let me again stress, this is a living, breathing document. It will be periodically updated, because we get input from

RADM WEST: And I'll keep sending you stuff. As it comes across, I'll send it out to you. You should see the report here soon.

MR. RAINEY: You cited some excerpts and things, and we sent out some things as well, and some of them were similar, but maybe if we could grab those when they're provided.

MR. CRAY: That raises to me the question, is there something that this Panel should say, and if so, to who, about how we feel about this?

MR. RAINEY: Well, I guess what I would do is -- we can pick it up tomorrow and figure out how we go forward with it. The plan has been reviewed and they have had a comment period. Now they're publishing the final plan. That will be coming out shortly. But still, all of the recommendations are hanging there, and maybe there will be some little hanging proof relevant to our Panel.

MR. GRAY: That may come at a future time, but in the mean time I guess what you're suggesting is that we become a little bit more familiar with those parts of support which we feel are relevant to what we are trying to promote here.

RADM WEST: I think by our next meeting, which might be in March or something like that, we ought to have something we could sign up to, because we'll know

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our constituents as to areas that need more attention, as areas emerge that require placement in the critical category that may not have been there in the past.

So I ask you to take a look at what we incorporated, perhaps this evening take a look at it. The actual technical nature of the plan is only 12 pages long. It's pretty quick reading. But I'd ask that when we leave here tomorrow we have a final endorsement of this plan, or if you don't approve of it, we would like to hear that as well. But based on your input from last time, we have a sense that you approved the way we were going, having incorporated the input that you provided us last time. And, again, this is open for comment at any point in time, because things change.

MS. BROHL: I would like to just compliment you guys for being so aggressively user driven, and it's been that way for a long time. Coast Survey has always been responsive, and I can speak from that from a personal perspective, just in dealing with my job in the Great Lakes. And the fact that you have always had a document that was fluid and could change, and the amount of -- you know, when we first started talking about almost eight years ago, when the marine navigation coalition came into play, the survey backlog was so many more years back, I mean, three hundred years, if you look at everywhere,

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and then it got down to a hundred years. Then we got rid of -- you know, got rid of some of the critical areas, and a lot of the Great Lakes done, having you come in and look at some of the charts and maps and adjustments to be more meaningful for industry, and I just wanted to say for the record that it really compliments this effort to be just so responsive, and I thank you.

CAPTAIN PARSONS: I think NOS as a whole prides itself on being customer driven. I wouldn't say any more so than the other line components, but NOS in particular has a real close network with its constituents.

MS. BROHL: No doubt about it, but since we were talking about this, I just wanted to direct it to you guys at this point.

MR. OSWALD: I think some discussion was made -- maybe it's on the web. Are you going to be making some digital format available?

CAPIAIN PARSONS: Of the plan? MR. OSWALD: Of what's on there now, the maps. Well, the old plan was just J PEG files.

CAPTAIN PARSONS: I don't know what format is on there, but I'll make sure there are several different formats on there.

> MR. OSWALD: Shape files, think Shape files. MR. RAINEY: If I could recap real quick,

and then we'll adjourn here for the day. Very quickly, thanks very much for hanging in all day. Today was -- I wasn't sure how we were going to get through it, but we hung in there, and I'm just delighted that we actually delivered, I think, on all of the things we set out to do.

Between now and tomorrow, just in my notes, we'll capture the recommendation that we put in on the three documents, the ENC distributors, the Q & A and certification of hydro products, and then ICOS. We agreed that we would table until tomorrow if people had specific recommendations that they wanted to make at this meeting on the NOAA, or rather the NOS Strategic Plan. If they could get those down in writing, we can address those tomorrow morning specifically and forward any recommendations that we agree on. But, also, that that's a living document, and we'll proceed and be able to provide comment down the road as well.

There were a few other comments, and quite good ones I thought, that people raised about a number of different issues. So if there is an opportunity tomorrow to -- if you can put those -- some of them were very, very, close, I thought, to being able to just articulate them in a fashion that we can have a motion on and approve. So it's still open tomorrow morning to add any specific recommendations you might be able to write down.

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We passed out today the preliminary operating principles, so if you could, take a look at that sometime before tomorrow. This is something that will be part of our discussion.

Tomorrow is a lot different day, and it's the day I was most looking forward to. We will really have a lot of time tomorrow just to really have a good discussion about how we are going to proceed. I have a few ideas to maybe quick it off with. I have been doing quite a bit of thinking about this and struggling with how do I tap into all of the experience and talent here, and I had a couple of calls with a few folks just trying to get a handle on this. So I would like to throw out some ideas to start us off thinking and then kind of go from there.

We do not have a whole laundry list of things, hurdles to jump tomorrow. We have some big idea time to sit down and say, okay, let's start flushing out how we can proceed best to accomplish what we are charted to do and kind of get a work plan out in front of us so that we can work more efficiently.

Tonight there is a reception for the Panel at 5:30 here back at Nauticus, so there is a little bit of time to go back and then came back for that. I guess it will run about an hour, and then there is a reservation for dinner for folks that would like to go in a group.

Obviously it's your choice on those things, but it will be fun to have everybody. What else am I missing?

CAPTAIN PARSONS: Let me add one thing to Scott's mention of the operating principles. A number of Panel members had asked that NOAA provide a set of proposed operating principles by which the Panel could then take a look at revised, add to, delete, however you want to handle it. So this is the NOAA administration's first stab at a set of operating principles that will last -- that you can take a look at tomorrow and see if this defines NOAA's Navigation Service Program as you understand them. It's not long in reading. Take a look at it and see how you may want to revise it, accept it, change it, however you want to tackle it.

MS. BROHL: Is this an out source of Dr. Spinrad's suggestion at the last meeting that we -that he had requested that we consider?

CAPTAIN PARSONS: That's correct. The initial discussion was that the Panel would come up with a set of operating principles. There was a lot of inner struggle and turmoil about how to go about that. Rick and I sat down and agreed that NOS would provide the first stab at the first set. It's always easier to tackle something when you can see it.

RADM LARRABEE: I think the point though is,

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23 24 25 operating principles should be something that comes from the organization, not something that comes from outside the organization in. I think that was the point that we are trying to make. This has developed from the culture within the organization that says this is how we are going to work together. The fact that we give comment to it, I think, is useful, but I think in the end those principles are only good if they are created by the people who have to actually --

CAPTAIN PARSONS: There are two schools of thought there. I think that both schools were approaching the same end. There were two approaches to it, and Rick finally agreed that we provide them and ask that the Panel take a look at it and revise it or make recommendations on how best to go about it.

RADM LARRABEE: Roger, can you comment on how these were created?

CAPTAIN PARSONS: Sure. I sat down and I took a look at a number of documents, and set about creating a dozen or so of what I would call principles and then -- if you take a look at these, these define in my mind and Rick's what the NOAA Navigation Services Program's goals and objectives are. The structure by which we provide products and services, is perhaps the best way to define that.

COMMONWEALTH OF VIRGINIA AT LARGE, TO-Wit:

I, Nancy C. Marm, a Notary Public in and for the Commonwealth of Virginia at Large, whose commission expires October 31, 2006, certify that the foregoing meeting was duly taken before me at the time and place and for the purpose in the caption mentioned, and that the foregoing is a true and correct transcript to the best of my ability.

I further certify that I am not a relative or employee or attorney or counsel of any of the parties or financially interested in the action.

> Given under my hand this ____ day of , 2004.

> > Notary Public

1 RADM LARRABEE: So it was created by you and 2 Rick? 3 CAPTAIN PARSONS: Yes. I would ask that 4 everybody, voting and nonvoting members, to take a look at that and see if this is accurate and reflective of what 5 you understand Navigation Services to be. 6 7 RADM WEST: And you determined these based 8 on what you were told to do by Congress? 9 CAPTAIN PARSONS: Yes. 10 RADM WEST: Because that's in our book some place. So you took what they told you to do and put those 11 12 in, what you thought were operating principles? CAPTAIN PARSONS: This is a historic mission 13 14 as well as recent legislation. 15 MR. RAINEY: Any other further discussion 16 for today? 17 THE PANEL: (No response.) 18 MR. RAINEY: And a motion to adjourn? MR. GRAY: So moved. 19 20 21 (Whereupon, the meeting was adjourned 22 for the day to be reconvened the

following morning at 9 a.m.)

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